

## Title

Baseline gut microbiota as a predictive marker for the efficacy of neoadjuvant chemotherapy in patients with early breast cancer: a multicenter prospective cohort study in the Setouchi Breast Project-14

Shogo Nakamoto<sup>1</sup>, Yukiko Kajiwara<sup>2,3</sup>, Kohei Taniguchi<sup>4</sup>, Akira I. Hida<sup>5</sup>, Yuichiro Miyoshi<sup>6,7</sup>, Takanori Kin<sup>3</sup>, Mari Yamamoto<sup>8,9</sup>, Daisuke Takabatake<sup>6,10</sup>, Shinichiro Kubo<sup>8</sup>, Hajime Hikino<sup>11</sup>, Yutaka Ogasawara<sup>7</sup>, Masahiko Ikeda<sup>8</sup>, Hiroyoshi Doihara<sup>2,12</sup>, Tadahiko Shien<sup>2</sup>, Naruto Taira<sup>2,13</sup>, Takayuki Iwamoto<sup>2,13\*</sup>, Shinichi Toyooka<sup>1</sup>

1. Department of General Thoracic Surgery and Breast and Endocrinological Surgery, Graduate School of Medicine Dentistry and Pharmaceutical Sciences, Okayama University, Okayama, Japan
2. Department of Breast and Endocrine Surgery, Okayama University Hospital, Okayama, Japan
3. Department of Breast Surgery, Hiroshima City Hiroshima Citizens Hospital, Hiroshima, Japan.

4. Department of Pathology, Hiroshima City Hiroshima Citizens Hospital, Hiroshima,  
Japan.
5. Department of Pathology, Matsuyama Shimin Hospital, Matsuyama, Japan.
6. Department of Breast Oncology, NHO Shikoku Cancer Center, Matsuyama, Japan
7. Department of Breast Endocrine Surgery, Kagawa Prefectural Center Hospital,  
Takamatsu, Japan
8. Department of Breast and Thyroid Surgery, Fukuyama City Hospital, Fukuyama,  
Japan
9. Department of Breast and Thyroid Surgery, Onomichi Municipal Hospital,  
Onomichi, Japan
10. Department of Breast and Thyroid surgery, Kochi Health Science Center, Kochi,  
Japan
11. Department of Breast Surgery, Matsue Red Cross Hospital, Matsue, Japan
12. Department of Breast surgery, Kawasaki Medical School General Medical Center,  
Okayama, Japan
13. Department of Breast and Thyroid Surgery, Kawasaki Medical School Hospital,  
Kurashiki, Japan

**Corresponding author:**

Takayuki Iwamoto, M.D., Ph D.

Breast and Thyroid Surgery, Kawasaki Medical School Hospital

Address: 577 Matsushima, Kurashiki City, Okayama, Japan 701-0192

Phone: +81-86-462-1111; Fax: +81-86-462-7897

E-mail: tiwamoto@med.kawasaki-m.ac.jp

ORCID: 0000-0001-5835-5160

**Abstract (238/250 words)****Purpose:**

Various studies have demonstrated the causal relationship between gut microbiota and efficacy of chemotherapy, however, the impact of gut microbiota on breast cancer is not fully elucidated so far. This study aimed to evaluate the associations between the gut microbiota before neoadjuvant chemotherapy and its consequent efficacy in breast cancer.

**Methods:**

This prospective observational study included patients who received neoadjuvant chemotherapy for primary early breast cancer at eight institutions between October 1, 2019, and March 31, 2022. We performed 16S rRNA analysis of fecal samples and  $\alpha$  and  $\beta$  diversity analyses of the gut microbiota. The primary endpoint was the association between the gut microbiota and pathological complete response (pCR) to neoadjuvant chemotherapy.

**Results:**

Among the 183 patients, the pCR rate after neoadjuvant chemotherapy was 36.1% in all patients and 12.9% (9/70), 69.5% (41/59), and 29.6% (16/54) in those with the luminal, human epidermal growth factor receptor 2, and triple negative types, respectively. The  $\alpha$

diversity of the gut microbiota did not significantly differ between patients with pCR and those without pCR. Among the gut microbiota, two species (*Victivallales*,  $p = 0.001$  and *Anaerolineales*,  $p = 0.001$ ) were associated with pCR, and one (*Gemellales*,  $p = 0.002$ ) was associated with non-pCR.

### **Conclusions:**

Three species in the gut microbiota had potential associations with neoadjuvant chemotherapy efficacy, but the diversity of the gut microbiota was not associated with response to chemotherapy. Further research is needed to validate our findings.

### **Keywords:**

gut microbiota, predictive marker, neoadjuvant chemotherapy, early breast cancer