1	Research Article
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3	Overcoming cancer-associated fibroblast-induced immunosuppression
4	by anti-Interleukin-6 receptor antibody
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1 Abbreviations used in this article: BMDM, bone marrow-derived monocytes; CAF, cancer-2 associated fibroblast; CI, confidence interval; COVID-19, coronavirus Disease 2019; CTL, 3 cytotoxic T-cells; DFS, disease-free survival; EC, esophageal cancer; EMR, endoscopic 4 mucosal resection; ESD, endoscopic submucosal dissection; FoxP3, forkhead box protein 3; 5 GLUT, glucose transporter; HIF1a, hypoxia-inducible factor 1a; HR, hazard ratio; Iba1, 6 ionized calcium-binding adaptor protein 1; IF, immunofluorescence; IHC. immunohistochemistry; IL, interleukin; IL-6Ra, interleukin-6 receptor alpha; JCRB, Japanese 7 8 Collection of Research Bioresources; MDSC, myeloid-derived suppressor cell; M-CSF, mouse 9 macrophage colony-stimulating factor; OS, overall survival; PI3K, phosphatidylinositol-3 10 kinase; PMA, phorbol-12-myristate-13-acetate; RT, room temperature; SMA, smooth muscle 11 actin; STAT signal transducer and activator of transcription; TAM, tumor-associated 12 macrophage; TCZ, Tocilizumab; TIL, tumor-infiltrating lymphocyte; TME, tumor microenvironment; Treg, regulatory T-cell; TTDR, Tumor & Tissue Dissociation Reagent; 13 14 UICC, union for international cancer control; VEGF, vascular endothelial growth factor; XTT, 15 sodium 2.3.-bis (2-methoxy-4-nitro-5-sulfophenyl)-5-[(phenylamino)-carbonyl]-2H-16 tetrazolium

1 Abstract

Cancer-associated fibroblasts are a critical component of the tumor microenvironment and play a central role in tumor progression. Previously, we reported that cancer-associated fibroblasts might induce tumor immunosuppression via interleukin-6 and promote tumor progression by blocking local interleukin-6 in the tumor microenvironment with neutralizing antibody. Here, we explore whether an anti-interleukin-6 receptor antibody could be used as systemic therapy to treat cancer, and further investigate the mechanisms by which interleukin-6 induces tumor immunosuppression.

9 In clinical samples, interleukin-6 expression was significantly correlated with α -smooth muscle 10 actin expression, and high interleukin-6 cases showed tumor immunosuppression. Multivariate 11 analysis showed that interleukin-6 expression was an independent prognostic factor. In vitro, 12 interleukin-6 contributes to cell proliferation and differentiation into cancer-associated 13 fibroblasts. Moreover, interleukin-6 increased hypoxia inducible factor-1a expression and 14 induced tumor immunosuppression by enhancing glucose uptake by cancer cells and competing 15 for glucose with immune cells. MR16-1, a rodent analog of anti-interleukin-6 receptor antibody, 16 overcame cancer-associated fibroblast-induced immunosuppression and suppressed tumor 17 progression in immunocompetent murine cancer models by regulating hypoxia inducible factor- 1α activation in vivo. The anti-interleukin-6 receptor antibody could be systemically 18 19 employed to overcome tumor immunosuppression and improve patient survival with various 20 cancers. Furthermore, the tumor immunosuppression is thought to be induced by interleukin-6 21 via hypoxia inducible factor- 1α activation.

22

23

1 Introduction

2 Cancer immunotherapy has led to breakthroughs in cancer treatment; however the effects of 3 immunotherapy are limited and have yet to overcome intractable cancers. Esophageal cancer 4 (EC) is the seventh most common cancer and the sixth most common cause of cancer-related deaths globally.(1) Despite recent advances in EC-associated chemotherapy, targeted therapy, 5 6 and immunotherapy, the prognosis remains poor with a 5-year survival rate of approximately 7 15–25%.(2, 3) Moreover, preclinical or clinical studies consistently report mixed results, which 8 suggests that the tumor microenvironment (TME), especially the immune microenvironment 9 in EC, may be implicated in the regulation of those therapies.(4, 5)

10 Numerous studies have demonstrated that the TME composition significantly 11 influences tumor outcomes.(6-8) Cancer-associated fibroblasts (CAFs) are critical components 12 of the TME and play a central role in tumor growth, metastasis, and invasion, (9, 10) and have 13 recently attracted attention as potential therapeutic targets.(10-12) In EC patients specifically, 14 CAFs contribute to tumor development by promoting angiogenesis,(13) chemoresistance,(14) 15 lymph node metastasis.(10) and tumor immunosuppression.(15) Previously, we reported that 16 CAF elimination suppresses tumor growth(16) and neutralizing local IL-6 in the TME secreted 17 by CAFs improves tumor immunosuppression.(15)

18 Although it is widely known that CAFs are central players in shaping the TME toward 19 immunosuppression by mediating the immune system,(17) we focused on the IL-6-mediated 20 recruitment of tumor-infiltrating immune cells by CAFs and their fate in a hypoxic TME. Most 21 solid tumor regions are permanently, or transiently, hypoxic due to aberrant vascularization 22 and poor blood supply.(18) Hypoxic environments and subsequent activation of hypoxia-23 inducible factor 1a (HIF1a) are common features of advanced cancers. Under hypoxic 24 conditions, HIF activity contributes to increased tumor glycolysis, causing "metabolic 25 competition" between cancer and T-cells, while suppressing T-cell function and the antitumor

1	response.(19) Although we reported that CAFs alter T-cell distribution in the TME to an
2	immunosuppressive state via IL-6,(15) the precise mechanism is not yet clear.

3 Tocilizumab (TCZ) is the first marketed interleukin-6 (IL-6) blocking antibody that 4 targets IL-6 receptors and has been used to treat rheumatoid arthritis.(20) Although the tumor 5 growth effect of IL-6 is well known and the application of anti-IL-6 receptor antibodies to 6 cancer treatment has been attempted, there are few reports showing clear therapeutic 7 effects.(21-23) We hypothesized that IL-6 produced by CAFs promotes tumor growth in the 8 TME and is the target of anti-IL-6 receptor antibody therapy. Specifically, we aimed to 9 determine whether anti-IL-6 receptor antibody overcomes tumor immunosuppression and 10 suppresses tumor progression using systemic administration of MR16-1, which is a rodent 11 analog of TCZ.(24) Further, we explored the mechanism by which CAFs induce 12 immunosuppression via IL-6, especially focused on hypoxic TME.

1 Material and Methods

2 Patients and clinical information

3 A total of 185 EC tumor samples were obtained from patients who underwent esophagectomy 4 with lymph node dissection at Okayama University Hospital between 2008 and 2011. The 5 outline of our study was published on our web page to explain the study, and to provide 6 opportunities for disagreement. Surgeries were performed according to the Japanese EC 7 treatment guidelines.(25, 26) Patients were excluded if they: i) underwent follow up 8 procedures; ii) were diagnosed with melanoma or distant metastases; or iii) were in remission. 9 Resected specimens were fixed with 10% formalin. Tumor classification and stage were 10 determined according to the TNM Classification of Malignant Tumors 7th edition (UICC 7th 11 edition).(27)

12

13 Reagents and antibodies

A rat anti-mouse IL-6 receptor antibody, MR16-1, was kindly provided by Chugai
Pharmaceutical Co., Ltd. (Kamakura, Japan). Details of the other reagents and antibodies used
in this study are listed in Table S1.

17

18 *Cell lines*

Murine colon cancer (Colon26), fibroblast (NIH/3T3), human esophageal squamous cell cancer (TE4), and esophageal adenocarcinoma (OE19) cell lines were purchased from the Japanese Collection of Research Bioresources (JCRB, Osaka, Japan) Cell Bank. The murine fibroblast cell line (MEF) was purchased from the American Type Culture Collection (ATCC, Manassas, VA, USA). The murine squamous cell carcinoma cell line (SCCVII) was kindly provided by Professor Yuta Shibamoto (Nagoya City University, Nagoya, Japan), and pancreatic ductal adenocarcinoma (Pan02) was obtained from the National Cancer Institute

1	(Frederick, MD, USA). Primary human esophageal fibroblasts (FEF3), were isolated from the
2	human fetal esophagus as described previously.(13) WI-38 fetal lung human fibroblasts were
3	purchased from the Health Science Research Resource Bank (Osaka, Japan).

4

5 Immunohistochemistry

6 All immunohistochemistry procedures were described previously.(15) Stained slides were evaluated using ImageJ software (http://rsb.info.nih.gov/ij/). Briefly, the number of cells 7 8 expressing CD8, forkhead box protein 3 (FoxP3), ionized calcium-binding adaptor protein 1 9 (Iba1), CD163, and HIF1a were counted in four randomly selected high-magnification fields. 10 The scores of alpha smooth muscle actin (aSMA), IL-6, and vascular endothelial growth factor 11 (VEGF) were evaluated using an "area index," calculated in low magnification fields. All 12 evaluations were performed by an independent pathologist who was blinded to clinical 13 information.

14

15 Immunofluorescence

Primary antibodies were added to deparaffinized slides for 60 min at room temperature (RT)
(20–22 °C) or overnight at 4 °C, followed by secondary antibodies for 60 min at RT. Coverslips
were coated with a drop of mounting medium (P36983; Invitrogen, Thermo Fisher Scientific,
Waltham, MA, USA) and subsequently photographed using a fluorescence microscope (IX83;
Olympus, Tokyo, Japan).

21

Cells were seeded in 96-well plates (10×10^4 cells/well) and treated with recombinant IL-6 and recombinant IL-6 receptor alpha (IL-6R α). According to the manufacturer's protocol, cell

²² Cell viability assay

viability was determined 2 days after treatment using a Cell Proliferation Kit II (XTT; Roche
 Diagnostics, Rotkreuz, Switzerland).

3

4 ELISA

5 Cell culture supernatants and human serum samples were assessed for the levels of Mouse-IL6 6, Mouse-IL-6Rα, and Human-IL-6 using appropriate ELISA kits (R & D Systems), according
7 to the manufacturer's protocol.

8

9 Western blot analysis

10 Proteins were extracted from whole-cell lysates or nuclear proteins, electrophoresed on 11 polyacrylamide gels and transferred onto membranes. The membranes were incubated with 12 primary antibodies overnight at 4 °C, followed by secondary antibodies 60 min at RT, and then 13 visualized using the Amersham ECL chemiluminescence system (GE Healthcare, IL, USA). 14 Equal loading of the samples was confirmed using β -actin.

15

16 Animal studies

Animals were maintained under specific pathogen-free conditions at the Department of Animal
Laboratory at Okayama University. Mice were purchased from Clea (Tokyo, Japan) and
housed under sterile conditions.

20

21 Subcutaneous syngeneic cancer mouse model

Colon26 (0.5×10^6) cells with and without NIH/3T3 (0.5×10^6) cells were subcutaneously inoculated into the right flank of six-week-old female BALB/c mice. The perpendicular diameter of each tumor was measured every 3 days. Tumor volume was calculated using the formula:

1 Tumor volume (mm³) = $L \times W^2 \times 0.5$

2 L represents the longest diameter. W represents the shortest diameter, and 0.5 is a constant used 3 to calculate the volume of an ellipsoid. Treatment with intraperitoneal injections of 20 mg/kg 4 of isotype control (BE0088; BioXcell, Lebanon, NH, USA) or MR16-1 every 3 days began when tumors reached 50–100 mm³. To generate other cancer models, Pan02 and MEF models 5 6 were established in C57BL/6 mice, while SCCVII and MEF models were established in 7 C3H/He mice, which were then inoculated and treated in the same way as the Colon26 model. 8 For T-cell depletion studies, anti-CD8a antibodies (BP0061: BioXcell) were injected 9 intraperitoneally at 10 mg/kg per day before the first injection of isotype control or MR16-1, 10 and every 3 days thereafter, for a total of four treatments. The animals were euthanized via 11 cervical dislocation, and serum and tumor tissue were collected for further analyses.

12

13 Culture of mouse bone marrow-derived monocytes

Mouse bone marrow-derived monocytes (BMDMs) were isolated from the femur bones of 6to 10-week-old BALB/c female mice according to previous studies.(28-30) BMDMs were used as a positive control for macrophage differentiation experiments using IL-4 or IL-6 as stimuli.(29)

18

19 Isolation of TILs

Tumor tissues were dissected from the mice and TILs were harvested using BD Horizon Dri Tumor & Tissue Dissociation Reagent (TTDR), according to the manufacturer's protocol. All cells, including TILs and tumor cells with indicated fluorescence-labeled antibodies, were subjected to flow-cytometric analysis.

24

25 Flow-cytometric analysis

Cells were washed and incubated with monoclonal antibodies for 30 min at RT in PBS
 containing 2% FBS. Cells were then washed and analyzed on a BD FACSAria III or
 FACSLyric (BD Biosciences).

4

5 Intracellular cytokine staining of tumor infiltrating lymphocytes

Tumor infiltrating lymphocytes (TILs) were harvested as described above and stimulated for 6
h in the presence of phorbol-12-myristate-13-acetate (PMA), ionomycin, and Brefeldin A at
37 °C. Next, cells were harvested and labeled with a cell surface marker followed by
intracellular cytokine staining and flow-cytometric analysis on a FACSAria III.

10

11 Statistics

12 All statistical analyses were performed using JMP software (SAS Institute, Cary, NC, USA). Overall survival (OS) and disease-free survival (DFS) were calculated using the Kaplan-Meier 13 14 method, with the log-rank test to compare subgroups. Hazard ratios (HRs) and 95% confidence 15 intervals (CIs) for clinical variables were calculated using Cox proportional hazard regression 16 in univariate and multivariate analyses. Spearman's correlation was used to assess relationships 17 between variables. For group comparisons, the Mann-Whitney test or Student's *t*-test was used. 18 For multiple-group comparisons, analysis of variance with Tukey's test was used. Statistical 19 significance was set at P < 0.05.

20

21 Study approval

This study was conducted in accordance with the Declaration of Helsinki's ethical standards and the ethical guidelines for medical and health research involving human subjects. The use of clinical samples was approved and reviewed by the Ethics Review Board of Okayama University (No. 1801-023; Okayama, Japan). The experimental animal protocol was approved

- and reviewed by the Ethics Review Committee for Animal Experiments at Okayama University
 (OKU-2020166).
- 3

4 **Results**

5 IL-6 expression is an independent prognostic factor in EC patients

6 We conducted IL-6 immunohistochemistry (IHC) analysis of surgically resected specimens, and the mean value was calculated as an "IL-6 area index" (Figure 1A). The expression of IL-7 8 6 was significantly correlated with the expression of α SMA (r = 0.67, P < 0.001) (Figure 1B). 9 When patients were divided into high- and low-IL-6 groups based on the median value (7.21) 10 of IL-6 area index, immunofluorescence (IF) imaging revealed that the expression of α SMA 11 (green) and IL-6 (red) overlapped in both high- and low-CAF groups (Figure 1C). We 12 evaluated the relationship between IL-6 expression, clinicopathological features, and clinical 13 outcomes in 185 patients with EC (Supplementary Table 2). Univariate analysis revealed that 14 sex, tumor depth, lymph node status, prior neo-adjuvant therapy administration, αSMA and IL-15 6 expression, CD8⁺ (cytotoxic T-cells (CTL)) and FoxP3⁺ (regulatory T-cells (Treg)) TILs 16 status, and CD163⁺ tumor-associated macrophages (TAMs; M2 macrophages) status were 17 significant prognostic factors for OS (Table 1). Patients with high IL-6 expression had significantly shorter OS and DFS than those with low expression (Figure 1D). OS stage-related 18 19 subgroup analysis using intratumoral tissues revealed that lower IL-6 expression tended to 20 reflect a better OS for all stages (Figure S1). Multivariate analysis was performed using all 21 variables via univariate analysis with P < 0.10; a backward selection was performed using the Akaike information criterion. Multivariate analysis identified IL-6 expression as an 22 23 independent prognostic factor for OS (HR = 1.82, 95% CI = 1.03-3.20, P = 0.039: Table 1). 24 Similar trends were observed for DFS (Table S3).

1 CAFs induce immunosuppression via IL-6 in the TME

2 In intratumoral tissues, negative correlations between CD8⁺ TILs and IL-6 (r = -0.18), and positive correlations between IL-6 and FoxP3⁺ TILs (r = 0.33), and CD163⁺ TAMs (r = 0.51; 3 4 Figure 2A), were observed. In a comparison based on the IL-6 area index, those with a high IL-6 area index showed significantly lower CD8⁺ with higher FoxP3⁺ TIL and CD163⁺ TAM 5 6 quantities in intratumoral tissues. In contrast, no significant correlation was observed between 7 Iba1⁺ TAM (pan-macrophage) numbers and IL-6 (Figure S2). 8 IF imaging revealed that CD8⁺ TILs were scarce in high IL-6 patients, despite α SMA 9 accumulation. Unlike CD8⁺ TILs, the abundance of FoxP3⁺ TILs and CD163⁺ TAMs increased 10 in the high IL-6 group compared to the low IL-6 group (Figure 2B). 11 12 IL-6 directly contributes to cancer and stromal cell proliferation and differentiation into CAFs and TAMs 13 14 An XTT assay was conducted to evaluate cell proliferation. IL-6 induced proliferation of both 15 cancers and fibroblasts for murine and human cell lines; no difference was observed in Colon26 and WI38 cells (Figure 3A). Western blot analysis revealed that IL-6 treatment increased the 16 17 expression of αSMA; thus, IL-6 differentiates normal fibroblasts into CAFs (Figure 3C). 18 The effect of IL-6 on macrophage polarization was investigated using BMDMs that 19 were primed for differentiation and pretreated with mouse-macrophage colony-stimulating 20 factor (M-CSF). Flow cytometry analysis of F4/80 (pan-macrophage), CD80 (M1 marker), and 21 CD206 (M2 marker) expression showed that, compared with control cells, treatment with IL-6 increased differentiation of F4/80⁺CD80⁻CD206⁺ macrophages, indicating an M2-like 22 23 phenotype (Figure 3D).

24

25 MR16-1 overcomes tumor immunosuppression and suppress tumor growth in vivo

1 Previously, we demonstrated that CAFs contribute to tumor growth by inducing tumor 2 immunosuppression via IL-6 using in vivo experimental models.(15) To evaluate the effect of 3 MR16-1, a TCZ analog for tumor suppression, we performed in vivo experiments using 4 Colon26 cells and BALB/c mice. Tumors that developed through inoculation with cancer cells 5 (Colon26), co-inoculation with fibroblasts (Colon26 + NIH/3T3), or co-inoculation and 6 treatment with MR16-1 (Colon26 + NIH/3T3 + MR16-1) were compared. MR16-1 7 significantly reduced the accelerated growth (Figure 4A) and tumor weights (Figure 4B and 8 Figure S3A) that were observed in the co-inoculated tumors. IHC demonstrated that the number 9 of CD8⁺TILs in the Colon26 + NIH3T3 group was lower than in the Colon26 group. In contrast, 10 an increased proportion of FoxP3⁺ TILs and CD163⁺ TAMs were observed in the Colon26 + 11 NIH3T3 group compared to the Colon26 group (Figure 4C). No difference was observed in the 12 number of Iba1⁺ TAMs (Figure S3B). Notably, MR16-1 influenced the TIL and TAM populations in the TME, with a significant increase in CD8⁺ TILs and a significant decrease in 13 14 FoxP3⁺ TILs and CD163⁺ TAMs, compared with the Colon26 + NIH3T3 group. IHC revealed 15 that the expression of α SMA was higher in the Colon26 + NIH3T3 group than in the Colon26 16 group and decreased in the MR16-1 group (Figure 4C).

We performed the same experiment, substituting Colon26 cells for the pancreatic cancer cell line Pan02 in C57BL/6 mice (Figure 4D and Figure S4). The same trends of tumor suppression and overcoming immunosuppression by MR16-1 were observed as for Colon26 tumors. We conducted the same study with a dermal squamous cell carcinoma cell line SCCVII in C3H/He mice to mimic esophageal squamous cell cancer (Figure 4E and Figure S5). The same trends were observed. Importantly, MR16-1 treatment did not induce significant weight loss in any of the animals tested (Figures S3D, S4C, S5C).

24

25 MR16-1 suppresses tumor growth by affecting CD8 in the TME in vivo

1 We hypothesized that MR16-1 suppressed tumor progression by increasing and activating 2 CD8⁺ TIL and evaluated whether the efficacy of MR16-1 was CD8⁺ TIL dependent. Colon26 3 + NIH/3T3 mice were administered the CD8α depleting antibody during treatment. Four 4 fibroblast groups co-inoculated with cancer cells were compared: no treatment (control), 5 treated with MR16-1 (MR16-1), treated with anti-CD8a antibody (anti-CD8a), and treated with 6 MR16-1 and anti-CD8 α (MR16-1 + anti-CD8 α). Administration of CD8 α depleting antibody 7 abrogated the efficacy of MR16-1 in mice bearing Colon26 + NIH/3T3 tumors (Figure 5A and 8 Figure S6). Tumor progression was significantly suppressed in the MR16-1 group compared 9 to the control and combined MR16-1 and CD8α depleting antibody groups.

10 We investigated the status of CD8⁺ TILs in the control and MR16-1 groups. IF 11 staining and flow cytometry demonstrated that the number of total CD8⁺ TILs in the MR16-1 12 group was higher than the controls (Figure 5B, C). Furthermore, the capacity for triple cytokine 13 production of CD8⁺ TILs was increased by MR16-1 treatment (IFN γ , *P* = 0.170; TNF α , *P* = 14 0.007; IL-2 *P* = 0.004).

15

16 Serum IL-6 may serve as a biomarker of CAFs in the TME

17 Serum samples from mice were analyzed for IL-6 and IL-6Ra. Serum IL-6 concentration in 18 the Colon26 + NIH3T3 group was higher than the Colon26 group, while MR16-1 treatment 19 decreased IL-6 (Figure 5D). In contrast, IL-6Ra was highest in the MR16-1 group (Figure S3C). 20 To investigate the relationship between CAFs in the TME and serum IL-6, we analyzed three 21 groups with varying amounts of fibroblasts: cancer cells alone (Colon26), co-inoculated cancer 22 cells and fibroblasts (Colon26 + 1NIH/3T3, 1:1), and co-inoculated cells with Colon26 + 23 2NIH/3T3 (1:2). The protocol was followed by tumor resection and simultaneous blood sampling once the tumor volume exceeded 500 mm³ (Figure S7). Tumor growth was 24 25 accelerated in the co-inoculated groups, although the difference between the three groups was

not significant. Interestingly, serum IL-6 correlated more strongly with the amount of αSMA
 in the tumor than with tumor weight (Figure 5E).

Two cancer groups were compared to investigate the effect of MR16-1 treatment in the cancer model: no treatment (Colon26) and cancer cells treated with MR16-1 (Colon26 + MR16-1). MR16-1 showed neither tumor suppression nor immune activation in the cancer cells alone model (Figure S8).

7

8 IL-6 regulates tumor immunosuppression via HIF1a activation

9 To evaluate the relationship between IL-6 and HIF1 α activity under hypoxic TME, HIF1 α , 10 VEGF, and glucose transporter-1 (GLUT-1), a hypoxia marker, were evaluated by IHC in the 11 in vivo and clinical specimens. In vivo expression of HIF1a and VEGF increased in the high 12 IL-6 state of CAFs present and decreased with MR16-1 treatment (Figure 6A, B and Figure 13 S9). Although GLUT-1 expression was heterogeneous within the tumor tissue samples, it was 14 downregulated in the MR16-1 group compared to the control. In both groups, there were 15 significantly fewer CD8⁺ TILs at the sites of high GLUT-1 expression and more CD8⁺ TILs at 16 the sites of low expression (Figure 6C, Figure S11A, B). HIF1a and VEGF expression in 17 clinical specimens were elevated in patients with high IL-6 levels (Figure 6D, E). The heterogeneity of GLUT-1 expression within the tumors was similar to the in vivo specimens. 18 19 Patients with high IL-6 expression also showed high GLUT-1 expression and low CD8⁺ TILs, 20 while the opposite trend was observed in patients with low IL-6 expression (Figure 6F, Figure 21 S11C, D).

Next, we used Western blotting to evaluate whether IL-6 activated HIF1 α signaling by hypoxia-independent mechanisms. This analysis showed that IL-6 administration increased the expression of HIF1 α , VEGF, and GLUT-1 in both murine and human cell lines under normoxic conditions (Figure 6G, Figure S12). CAFs are the major regulators of IL-6 in TME and secrete

- 1 much higher levels of IL-6 than cancer cells, TAMs, or normal fibroblasts. Furthermore, IL-6
- 2 secretion is increased under hypoxia compared to normoxic conditions (Figure S10).

1 Discussion

2 We demonstrated that CAFs induced TILs and TAMs within the TME, into an 3 immunosuppressed state via IL-6 to promote tumor growth and explored a mechanism of IL-4 6-mediated immunosuppression by CAFs. Furthermore, we showed that systemic administration of MR16-1 alleviated CAF-induced immunosuppression and suppressed tumor 5 6 growth in vivo, suggesting that an anti-IL-6 receptor antibody could be used for cancer 7 treatment. Regarding the induction of IL-6-mediated immunosuppression by CAFs, we found 8 support for the 'metabolic competition' hypothesis between T-cells and tumor cells, which 9 results in T-cell dysfunction and immunosuppression due to the increased glucose metabolism 10 of tumor cells caused by hypoxia-related signals, which were improved by MR16-1 treatment 11 (Figure S13).

12 Drug repositioning refers to the use of known drugs for the treatment of diseases other 13 than those for which they were initially designed.(31, 32) TCZ was recently repurposed to treat 14 coronavirus disease 2019 (COVID-19) caused by the severe acute respiratory syndrome 15 coronavirus 2.(33) Therefore, we investigated the application of TCZ in cancer treatment. In 16 this study, we found that the primary source of IL-6 was CAFs. Additionally, we previously 17 reported that cancer stimulus activated normal fibroblasts into CAFs and also triggered IL-6 secretion from CAFs(15). Furthermore, CAFs created an IL-6-mediated positive feedback loop. 18 19 IL-6 increased the differentiation of CAFs and TAMs, which further increased the secretion of 20 IL-6. Meanwhile, MR16-1 treatment suppressed tumor growth by activating tumor immunity 21 and inhibiting the growth of CAFs. However, no therapeutic effect was observed with CAF-22 poor models, indicating the limitations of IL-6 blockade therapy. This result may be one of the 23 reasons that TCZ showed no significant benefit for a novel cancer therapy, (22, 34, 35) 24 suggesting that the anti-IL-6 receptor antibody treatment could be specifically effective in

treating tumors with high CAF abundance, and TCZ repositioning is expected to improve the
 survival of patients who develop refractory cancers.

3 Although no effective biomarkers for estimating CAF abundance were previously 4 described, we identified blood IL-6 levels as a potential candidate for estimating CAF 5 abundance in the TMEs. The relationship between blood IL-6 levels and survival has been 6 reported in various cancers, (36-38) but few studies have examined this relationship 7 histologically. In vivo, we demonstrated that serum IL-6 concentration in mice showed a 8 stronger correlation with α SMA positive IHC than with tumor weight, indicating that the 9 amount of IL-6-producing CAFs in the TME influences tumor development. Although this 10 trend was observed in other cell types, the difference was not significant, suggesting that the 11 amount of IL-6 produced by CAFs varies from cell to cell. Since our results highlight blood 12 IL-6 concentration as a potential biomarker of CAFs, as well as a predictor of anti-IL-6 receptor 13 antibody efficacy, further analyses using clinical specimens are warranted.

14 IL-6 suppresses immune functions in TME by increasing competition between tumors 15 and T-cells for glucose in hypoxic TME. CD8⁺ TILs demonstrate cytotoxicity toward tumor 16 cells, while FoxP3⁺ TILs and CD163⁺ TAMs suppress antitumor immunity, contributing to 17 tumor progression.(39, 40) The mechanism by which IL-6 suppresses T-cells remains unclear. Although IL-6 suppresses the function of Tregs,(41) our results showed the opposite. To 18 19 investigate this contradiction, we focused on hypoxic TME. Tumor hypoxia forms in advanced 20 cancers with actively proliferating cells, and CTL numbers are reduced due to glucose 21 deficiency. Meanwhile, Treg and M2 macrophage numbers increase by using oxidized lipids 22 as a fuel source under hypoxic conditions, leading to an immunosuppressive state.(42-44) Our 23 results demonstrate that CAFs are the major regulators of IL-6 in TME and IL-6 increased cell 24 proliferation, while IL-6 production by CAFs was enhanced under hypoxia, suggesting that IL-25 6 and hypoxia exert mutually positive feedback.

1 Although most solid tumors have hypoxic regions, not all regions or tumors are hypoxic 2 and it is difficult to attribute cancer pathogenesis to hypoxia. Evidence has revealed various 3 hypoxia-independent mechanisms for HIF1a signaling activation, which are termed 4 'pseudohypoxia'.(45) We observed that HIF1 α expression was upregulated by the addition of 5 IL-6 in normoxic conditions, while VEGF and GLUT-1 were continuously upregulated. IL-6 6 is known to increase the transcriptional activity of HIF1a via signal transducer and activator of 7 transcription3 (STAT3) signaling under hypoxia, and furthermore, HIF1a upregulate VEGF 8 expression via STAT3 pathway and activate GLUT-1 via phosphatidylinositol-3 kinase (PI3K) 9 pathway.(46-48) We revealed that IL-6 regulated HIF1a activation through a hypoxia-10 independent mechanism.(49) On the other hand, HIF1a, VEGF, and GLUT-1 expression 11 correlated with IL-6 expression in clinical samples and decreased following MR16-1 treatment 12 in vivo, which may reflect hypoxia-mediated HIF1a activation. In tumor tissues, HIF1a shifts 13 glucose metabolism from oxidative phosphorylation to anaerobic processes (the Warburg 14 effect).(50-52) GLUT-1 upregulation accompanying accelerated glucose metabolism in the 15 tumor is associated with low infiltration of effector T cells.(53) Meanwhile, HIF1a and VEGF inhibit the development and activation of CTLs while increasing the number and 16 immunosuppressive functions of Tregs and TAMs.(54, 55) IL-6 might induce tumor 17 immunosuppression by decreasing effector T cells by enhancing cancer glucose uptake and by 18 19 increasing regulatory cells through HIF1a and VEGF function via hypoxia-pseudohypoxia-20 mediated HIF1a activation. Therefore, CAFs would mediate tumor immunosuppression by 21 regulating hypoxia-pseudohypoxia-mediated HIF1a activation via IL-6. Furthermore, IL-6 22 secretion was increased under hypoxia (56-58)(supplementary figure S10D), and HIF1a itself 23 is also known to upregulate IL-6 expression. (59, 60) Thus, there would be a positive feedback 24 loop between IL-6 signaling and HIF-1 α expression in the TME.

1 Our study revealed some interesting results, but also has limitations. First, it is known 2 that IL-6 has two signaling pathways, classical signaling and trans-signaling, and we evaluated 3 the effects of trans-signaling of cancers and fibroblasts on tumor immunity by simultaneous 4 administration of IL-6 and IL-6R.(61-63) In this study, the effect of IL-6 via classical signaling 5 on cells originally expressing membrane IL-6R, such as B cells or myeloid cells, was not 6 evaluated. Further additional effects may be observed by assessing the tumor immunity 7 generated by these cells. Second, we demonstrated that HIF1 α was elevated in both allograft 8 models and clinical specimens with high IL-6 expression, and anti-IL-6R decreased HIF1 α 9 expression in vivo models, suggesting improvement of hypoxia. However, the mechanism of 10 the direct relationship between anti-IL-6 receptor antibody and local hypoxia is still unclear, 11 therefore further investigation is required. Finally, the TCZ analog (MR16-1) was the used to 12 evaluate the effects of the anti-IL-6 receptor antibody. Future trials are needed to evaluate the exact effects of TCZ on cancer treatment. 13

14 In conclusion, we demonstrated that CAFs are the major regulators of IL-6 in the TME, 15 and blood IL-6 concentration could be a potential biomarker of CAFs, while systemic 16 administration of an anti-IL-6 receptor antibody overcomes CAF-induced immunosuppression 17 and halts tumor progress. Furthermore, we described the mechanism by which IL-6 mediates tumor immunosuppression by focusing on metabolic competition between T-cells and tumor 18 19 cells via hypoxia-pseudohypoxia-mediated HIF1a activation. Hence, the anti-IL-6 receptor 20 antibody may be applied for treating tumors with high CAF abundance, overcoming tumor 21 immunosuppression, and improving the survival of patients with various cancers.

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- 3
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1 Figure legends

2

Figure 1. Correlation of IL-6 expression and CAFs distribution in esophageal cancer tissues.

5 (A) Tissue staining with H&E and IL-6. ImageJ was used to evaluate the area index at 200 \times 6 magnification. The IL-6 area index is plotted as a histogram (red bar, median value). Scale 7 bars: 100 μ m. (B) The area index of α SMA at 100 \times magnification was recorded using 8 ImageJ. Scale bars: 200 µm. Correlation between IL-6 and CAFs is shown by the scatter 9 plot (Spearman's correlation coefficient). Violin plots show comparisons based on high or low 10 IL-6 area index. **P < 0.01, Student's *t*-test. (C) Immunofluorescence images of IL-6 and 11 α SMA. Representative high and low IL-6 cases at 100 × magnification. Scale bars: 200 μ m. 12 (D) Survival curve according to the IL-6 expression (low or high group). Cox regression hazard 13 model, 95% confidence intervals, and log-rank test.

Figure 2. Relative distribution of CAFs, IL-6, and immune cells in resected human esophageal cancer samples.

3 (A) Average number of CD8⁺ or FoxP3⁺ TILs, and Iba1⁺ or CD163⁺ TAMs at 400 × 4 magnification. Scale bars: 50 μ m. Correlation between IL-6 and CD8⁺ or FoxP3⁺ TILs, 5 CD163⁺ TAMs is shown by scatter plot (Spearman's correlation coefficient). Violin plots 6 show comparisons based on high or low IL-6 area index. ***P* < 0.01, Student's *t*-test. (B) 7 Immunofluorescence images of CD8- or FoxP3-expressing lymphocytes, CD163-8 expressing macrophages, and α SMA. An example of high and low IL-6 cases at 100 × 9 magnification. Scale bars: 200 µm.

Figure 3. Direct contribution of IL-6 to cell proliferation and differentiation of CAFs and TAMs.

3 (A and B) Percentage of viable cells at various concentrations of recombinant IL-6 (1, 5, 10, 4 20 ng/mL) relative to untreated cells (0 ng/mL). Recombinant IL-6R alpha was administered at five times the IL-6 concentration; n = 5; mean \pm SE. **P* < 0.05, Student's *t*-test compared to 5 6 untreated control. (A) Murine cell lines. (B) Human cell lines. (C) Whole-cell lysates of 7 NIH/3T3, MEF, and FEF3 cells collected 2 days after IL-6 treatment (20 ng/mL) subjected to 8 western blot analysis of α SMA and β -actin expression. (C) Flow cytometry analysis of cell 9 surface F4/80 (M1/M2 marker) and CD80 (M1 marker), and intracellular CD206 (M2 marker) 10 expression in BMDMs with or without IL-6 (20 ng/mL) treatment for 2 days. The bar chart 11 shows the quantification of the F4/80⁺, CD80⁺, and CD206⁻ (M1) population and F4/80⁺, CD80⁻, 12 and CD206⁺ (M2) populations, n = 3.

Figure 4. MR16-1 treatment overcomes tumor immunosuppression and suppresses tumor growth.

3 (A) Volume and (B) weight of tumors excised from Colon26 + NIH/3T3 mice, n = 7mice/group; mean \pm SE. *P < 0.05; **P < 0.01, Tukey's test with ANOVA. (C) 4 5 Immunohistochemical staining for CD8, FoxP3, CD163, and aSMA in tumor tissues. The 6 average number of CD8⁺ or FoxP3⁺ TILs and CD163⁺ TAMs at $400 \times$ magnification and the area index of α SMA at 200 × magnification. Scale bars: 100 µm (200 ×); 50 µm (400 7 ×). P < 0.05; P < 0.01, Tukey's test with ANOVA. (D and E) Tumor volume of the 8 9 transplanted mice in each group. (D) $Pan02 + MEF \mod n = 5 \mod group$, (E) SCCVII + MEF model, n = 5 mice/group; mean \pm SE. **P* < 0.05; ***P* < 0.01, Tukey's test with ANOVA. 10 11

1 Figure 5. MR16-1 suppresses tumor growth by affecting CD8.

2 (A) Tumor volume in transplanted mice with or without MR16-1 treatment. Mice depleted of CD8⁺ T-cells; n = 5 mice/group mean \pm SE.^{*}, P < 0.05, Tukey's test with ANOVA. (B) 3 Immunofluorescence images of aSMA and CD8-expressing lymphocytes in control and 4 5 MR16-1 treatment groups at 100 × magnification. Scale bars: 200 µm. (C) Colon26 + 6 NIH/3T3 tumors treated with or without MR16-1 analyzed for TILs via flow cytometry. TILs 7 were stimulated with PMA/ionomycin for 6 hours, stained for surface CD8, and intracellular 8 staining for IFNy, TNFa, and IL-2. Flow-cytometric analyses of cytokine-producing CD8⁺ TILs were statistically assessed between control and MR16-1 treatment (n = 5). P < 0.05; P < 0.059 10 < 0.01, Student's *t*-test. (D) Serum IL-6 quantification in Colon26 + NIH/3T3 model by ELISA. ^{**}P < 0.01, Tukey's test with ANOVA. (E) Correlation between serum IL-6 and 11 12 tumor weight or aSMA (Spearman's correlation coefficient).

Figure 6. IL-6 regulates tumor immunosuppression via hypoxia/pseudohypoxia-mediated HIF1α activation.

3 (A) Immunohistochemical images for HIF1a and VEGF in tumor tissues. (B) Average number 4 of HIF1 α^+ cells at 400 × magnification and the area index of VEGF at 200 × magnification. Scale bars: 100 μ m (200 ×); 50 μ m (400 ×). **P* < 0.05; ***P* < 0.01, Tukey's test with ANOVA. 5 6 (C) Immunofluorescence images of GLUT-1 and CD8-expressing lymphocytes in 7 control and MR16-1 treatment groups at $100 \times \text{and } 200 \times \text{magnification}$. Scale bars: 200 µm 8 $(100 \times)$, 100 µm $(200 \times)$. (D) Immunohistochemical staining for HIF1a and VEGF in human 9 esophageal cancer tissues. (E) Average number of HIF1 α^+ cells at 400 × magnification and 10 the area index of VEGF at 200 \times magnification. Scale bars: 100 µm (200 \times), 50 µm (400 ×). ^{**}P < 0.01, Student's *t*-test. (F) Immunofluorescence images for GLUT-1 and CD8-11 expressing lymphocytes in a high and low IL-6 case at $100 \times \text{and } 200 \times \text{magnification}$. 12 13 Scale bars: 200 μ m (100 ×); 100 μ m (200 ×). (G) Western blot analysis for HIF1 α , VEGF, 14 GLUT-1, and β -actin.