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2 Sequential flotation of 4 components in silicon-based
3 waste solar cells

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5 “Author name”

6 Mami Mizukawa¹, Noriko Nishimura¹, Md. Azhar Uddin¹, Yoshiei Kato^{*1}, Yu-ichi Uchida²

7

8 “Author address”

9 1: Department of Material and Energy Science, Graduate School of Environmental and Life
10 Science, Okayama University, 1-1 Tsushima-naka, 3-chome, Kita-ku, Okayama 700-8530
11 Japan

12 2: Department of Applied Chemistry, Faculty of Fundamental Engineering, Nippon Institute of
13 Technology, 4-1 Gakuendai, Miyashiro-machi, Minamisaitama-gun, Saitama 345-8501 Japan

14

15 “Corresponding author”

16 *Yoshiei Kato, E-mail address: y-kato@cc.okayama-u.ac.jp

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1 Abstract:

2 Si, Al, Cu, and Ag particles mixture which mainly composes pulverized silicon-based
3 waste solar cells were individually separated by the batch flotation experiments with high
4 recovery and content, and then a general flow chart of the sequential flotation procedure of n -
5 component was postulated including 2-, 3-, and 4-components. The n -component mixture was
6 separated to $1 : n-1$ or $i : j$ ($i + j = n$) by a flotation procedure and $n-1$ times operation was
7 necessary to divide into the individual component. The first flotation process to separate Al
8 into the froth layer was carried out with a collector of SDS solution after dipping Si, Al, Cu,
9 and Ag mixture into the SDS solution. Si was separated in the froth by the second flotation
10 with a collector of a commercial neutral detergent after Al etching by HCl, and Si, Cu and Ag
11 mixture dipped in the detergent. The Cu and Ag mixture was calcinated at 673 or 773 K and
12 dipped into the detergent, and the third flotation with the collector of the detergent led to Cu in
13 the froth and Ag in the sediment. The 4-component mixture was successfully separated into
14 each component by the 3-consecutive flotation processes.

15

16 Key words:

17 Flotation, Multicomponent, Waste solar cell, Silicon, Recovery

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1 1. Introduction

2 Material recycling is performed to recover valuable materials and isolate hazardous
3 materials derived from waste products, and it has been addressed in a variety of technical
4 approaches such as physical/mechanical, chemical and metallurgical processes [1]. As the
5 physical/mechanical processes, there are manual or automated disassembly, crushing,
6 sieving, gravity, magnetic, electrostatic and flotation separations. The flotation
7 technology, which has been used for concentrating the valuable components of a raw
8 mineral in the mineral industry for an extended period of time, began to be investigated
9 for separation of waste mixed matter such as hazardous poly (vinyl chloride) [2-4],
10 microplastics recovery [5, 6], water treatment [7], removal of fly ash [8-10], and gold
11 recovery [11-13] as a new separation approach. The combination of the flotation
12 procedure with microwave [14-16], surface modification [17, 18], Fenton pretreatment
13 [19] and jig separation [20, 21] was applied to obtain the higher flotation efficiency of
14 waste plastic mixture.

15 Photovoltaic (PV) power as a promising renewable energy has been increasing its
16 capacity since the 1970s and the most prevailing crystalline silicon (Si) solar cell modules
17 are estimated to be sharply scrapped before too late. The Waste Electrical and Electronic
18 Equipment (WEEE) directive on European Union (EU) was revised in 2012 to impose

1 the recycling and reusing of waste solar cell modules mandate [22], and a guideline on
2 the recycling of waste PV facility was announced by the Japanese government in 2016
3 [23]. Metallic frames and glasses to protect the solar cells, which are relatively easy to
4 separate, divided by a manual disassembly. The assembled solar cells including Ethylene
5 Vinyl Acetate (EVA) is detached by a chemical process dissolving the EVA dissolution in
6 the organic solvent [24, 25] or by the thermal decomposition of the EVA alone [26]. The
7 subsequent separation between the pulverized solar cell mixture without EVA is usually
8 carried out by a hydrometallurgical process where targeted substances are etched in acid
9 or basic water solution [27-29]. For example, Matsubara et al. [30] studied the etching
10 rates of copper (Cu) and aluminum (Al) particles derived from waste Si-based solar with
11 a mixed solution of HNO₃ and HCl, and obtained the optimal conditions for achieving
12 high yield Si. The typical chemical compositions of the pulverized mixture of the waste
13 solar cells are indicated as follows: Si/87.5 mass% Al/9.5 mass%, Cu/0.5 mass%, silver
14 (Ag)/1.2 mass%, Tin (Sn)/0.8 mass%, Lead (Pb)/0.3 mass% etc. [30]. Meanwhile, Dias
15 et al. [31] distinguished each component such as Si, Cu, Ag, EVA, and glass in the waste
16 silicon-based PV modules using combining a crushing and electrostatic separator which
17 are classified as a mechanical separation.

18 Recently, Harada et al. [32] reported that Al- and Si- mixed particles, which

1 constitute the main material of pulverized waste crystalline Si-based solar cells, which
2 were successfully separated into froth and sediment zones, respectively, by the flotation
3 technique described above as one of the physical/mechanical recycling processes. Sodium
4 Dodecyl Sulfate (SDS) solution was mainly used as a collector and frother. Kato et al.
5 [33] analyzed the froth flotation kinetics of fine Al fine particles derived from waste Si-
6 based solar cells and compared the froth recovery between batch, continuous, and column
7 flotation practices according to the rate-determining steps of the gas flowrate and feed
8 rate. However, there is little research on the sequential flotation procedure for the three-
9 component mixture to separate each material, although the other valuable substances
10 besides the Al- and Si particles are contained in the scrapped Si-based solar cells. The
11 ideal sequential flotation of n -component is to repeat to completely divide a particle
12 mixture into two groups at a time and finally separate into each component. A flowchart
13 is useful to clarify a process flow and indispensable for facilitating complicated and
14 sequential operations. However, the sequential flotation procedure has been insufficiently
15 discussed on the basis of a flowchart.

16 In this study, a general flow chart of sequential flotation separation of n -component
17 particles was first postulated including 2-, 3-, 4-components, and then for the purpose of
18 simulating the sequential separation of the 4-component mixture of Si, Al, Cu, and Ag

1 particles by the combination of different pretreatment and subsequent flotation, the
2 flotation experiments of 4- (Si, Al, Cu, Ag), 3- (Si, Cu, Ag), and 2- (Cu, Ag) component
3 mixtures were individually carried out and each kind of particle was almost successfully
4 separated into the froth or sediment zone with high recovery and content. The appropriate
5 pretreatment and flotation conditions were also discussed.

6

7 2. Flowchart of sequential flotation separation of the n-component mixture

8 Harada et al. [32] carried out the dipping pretreatment of Al-Si mixed particles into
9 SDS solution, an anionic surfactant, for a predetermined time, and then separated the Al
10 particles to the froth layer and Si ones to the sediment zone by a flotation procedure.

11 Conversely, the Si particles transferred to the froth layer and Al remained on the bottom
12 in dodecyltrimethylammonium bromide (DTAB) solution, a cationic surfactant. The

13 flowchart of flotation separation of 2-, 3-, and 4-component mixtures is shown in Fig. 1.

14 As seen in Fig. 1 (a), a single pretreatment and flotation procedure enabled us to isolate
15 each component due to a binary system. The 3-component mixture is divided into 2-

16 and 1-components by the first pretreatment and flotation procedure as indicated in Fig.

17 1 (b), and the second procedure for 2-component was needed to separate each other.

1 In terms of the flotation separation of the 4-component mixture, one is the
2 sequential 1-component separation by the combination of one pretreatment and flotation
3 procedure as denoted in Fig. 1 (c), the other is that two 2-components are initially
4 separated, and then each 2-component mixture is divided into 1-component by each
5 pretreatment and flotation procedure as shown in Fig. 1 (c'). Both numbers of the
6 separation procedure of Fig. 1 (c) and (c') became $4-1=3$.

7 The general flowchart of the combination of the pretreatment and flotation to
8 individually separate n -component mixture is shown in Fig. 2. It is confirmed that the
9 flowchart of Fig. 2 covers those in Fig. 1. The iteration number, k , is easily found to be
10 $n-1$. While the pretreatment and subsequent flotation proceed continuously, the n -
11 component separation is achieved by $(n-1)$ -cells continuous flotation separation devices
12 with the $(n-1)$ -cells pretreatment vessels. However, a combined application of the
13 continuous and batch operations must be applied when the batch-typed pretreatment
14 such as calcination described in Chapter 3 is needed.

15

16 3. Experimental

17 3.1 Sample preparation

18 Si (Junsei Chemical Co., Ltd.), Al (Fujifilm Wako Pure Chemical Corporation), Cu

1 (Fujifilm Wako Pure Chemical Corporation) and Ag (Fujifilm Wako Pure Chemical
2 Corporation) were used for the experiment. The purity of these elements was 99.0% or
3 more. The original flake silicon was pulverized by a grinder mill (Osaka Chemical Co.,
4 Ltd, WB-1). The Si, Al, and Cu particles were screened between 100 – 212 μm , whereas
5 the Ag between 212 – 425 μm which was the minimum size available to us in this study.
6 The silicon particles were immersed in 0.1 M nitrate acid solution for 1 min, cleaned with
7 ion-exchanged water and dried in a chamber to prevent contamination by foreign
8 materials in the grinder.

9

10 3.2 Pretreatment and flotation procedures and experimental conditions

11 The schematic diagram of a flotation apparatus is shown in Fig. 3. The glass
12 cylinder of 80 mm inner diameter and 270 mm height was used as separation part. A
13 porous glass filter was set at a lower part. After pretreating and dipping the sample
14 mixture in a given concentration of collector solution with ion-exchanged water for a
15 given time, this suspending solution of 250 mL was put into the vessel. At the same instant,
16 air was blown through the porous filter. The test duration was fixed to 5 min. The same
17 concentration of the collector solution was added from the vessel side through a pump to
18 compensate for the solution in the vessel during the experiment.

1 The experimental conditions of sequential flotation separation are summarized in
2 Table 1 and each procedure is set forth below. The pH of the collector solution was
3 maintained at 6.8 throughout all stages of flotation separation as with the case of Harada
4 et al. [32].

5 3.2.1 Procedure 1 for Al separation from Si, Al, Cu, and Ag mixture

6 The total mass and ratio of Si, Al, Cu, and Ag mixture was 6.0 g and 2: 2: 1: 1,
7 respectively. Here, a simple mass ratio instead of the typical chemical compositions of
8 the waste solar cells was used to conform a flotation procedure functions well. SDS was
9 used as a collector to isolate Al into the froth layer from the sample mixture. The SDS
10 concentration was varied to 0.5, 2.0 and 4.0 g/L, whereas the dipping time of the sample
11 was changed to 10, 120, 240 and 360 min to find the optimal flotation recovery
12 condition. The air flowrate was fixed to 4.0 L/min (STP). The average bubble diameter
13 recorded by a video camera (SONY, HDR-CX700) was 3.0 mm without SDS, reduced to
14 1.0 mm at 1.0 g/L SDS concentration, and then slightly decreased to 0.8 mm at 3.0 g/L
15 SDS solution.

16 3.2.2 Procedure 2 for separation of Si, Cu, and Ag mixture

17 Before the second flotation sequence, 3.0 g of Si, Cu, and Ag mixture was adjusted
18 in equal mass proportion and 0.2 g of Al were etched in 0.1 M HCl solution for 60 min

1 to simulate the removal of a small amount of Al recovered in the froth layer by the first
2 flotation separation procedure. After the Al etching, the Si, Cu, and Ag sample mixture
3 was dried naturally and dipped in a collector solution for 120 min. Here, the second
4 flotation experiments were also carried out by a simple mass ratio of samples instead of
5 the product that sank in the first flotation to check the successful second flotation. The
6 commercial dishwashing neutral detergent named Cucute Masukatto-no-Kaori (Kao
7 Corporation) [34] was used as a collector to recover Si in the froth layer. The main
8 components of the collector were shown in Table 2. There were many kinds of
9 ingredients such as anionic, ampholytic and non-ionic surfactants, stabilizing agents,
10 sequestering agents, etc. The collector concentration was changed to 0.25, 0.5 1.0, and
11 1.5 g/L. The air flowrate was kept to 3.0 L/min (STP).

12 3.2.3 Procedure 3 for separation of Cu - Ag mixture

13 The equal mass of Cu and Ag were used for the third flotation procedure. The total
14 sample mass was 2.0 g. As a pretreatment, the sample mixture was calcinated at 673 and
15 773 K for 4 h to change the wettability of the metal surface before dipped in the collector
16 solution. The neutral detergent indicated above was used for a collector to recover Cu in
17 the froth layer, and varied to 0.25, 0.5 1.0, and 1.5 g/L. The dipping time of the calcinated
18 samples and air flowrate were fixed to 120 min and 3.0 L/min (STP), respectively.

1

2 3.3 Calculation of flotation recovery and content

3 The froth flotation recovery rate, R_i [%], and content, C_i [%], of the i -component
4 were calculated as Eqs. (1) and (2), respectively, as follows:

$$5 \quad R_i = (M_i/M_{i,0}) \times 100 \quad (1)$$

$$6 \quad C_i = (M_i/\sum_1^n M_j) \times 100 \quad (2)$$

7 Here, M_i is the mass [g] of the i -component recovered in the froth layer, $M_{i,0}$ is the initial
8 mass [g] of i -component, and $\sum_1^n M_j$ is the total mass [g] recovered in the froth layer.

9 To measure the mass of each component after the experiment, the sample including Al
10 and Cu were dissolved in HCl and HNO₃ solution [30], respectively, and Si was separated
11 from Ag by a sieve method due to the difference in the particle size distribution.

12

13 4. Results and discussion

14 4.1 1st sequence of pretreatment and flotation separation

15 4.1.1 Zeta (ζ) potential of Al, Si, Cu and Ag

16 When physical adsorption caused by electrostatic interaction is used to separate one
17 material from another, ionic collector such as SDS is selected on the condition that each
18 material has the opposite electric charge [35]. The surface electric charge of each sample

1 was evaluated by a device for the measurement of ζ potential (Malvern Panalytical,
2 Zetasizer Nano ZS). The relationship between ζ potential and pH for Al, Si, Cu, and Ag
3 is shown in Fig. 4. There was no surfactant in the solution. The ζ potential of Si, Cu, and
4 Ag indicated the negative value in the total pH range, whereas that of Al became positive
5 in the acid and slightly negative in the neutral and alkaline range. It means that an anionic
6 collector such as SDS has the potential to change only the Al surface to hydrophobic
7 property as shown by Harada et al. [32]. Thus, only Al particles float with bubbles in the
8 SDS solution with Al, Si, Cu, and Ag mixture. Moreover, Si indicated the highest negative
9 values throughout all the pH range.

10 4.1.2 Effect of SDS concentration and dipping time on 1st flotation separation

11 The effect of SDS concentration on the froth recovery and content is shown in Fig.
12 5. The sample dipping time into the SDS solution before the experiment was fixed to 120
13 min. As expected in the previous section, only the Al particles were recovered in the froth
14 layer and the high content was maintained. However, the Al recoveries at 0.5 g/L and 4.0
15 g/L of SDS concentration were lower than that at 2.0 g/L. The lower Al recovery at 0.5
16 g/L is due to the lower amount of hydrophilic group in SDS and at 4.0 g/L results from
17 the admicell generation above the critical micelle concentration of SDS [32, 36].

18 The effect of the sample dipping time into 2.0 g/L SDS solution on the froth

1 recovery and content is shown in Fig. 6. The Al recovery became more than 80% when
2 the sample was dipped into SDS solution beyond 10 min and the Al content reached
3 96.3% at a dipping time of 120 min. The Al recovery and content at a dipping time of 10
4 min were lower because it took insufficient time for the sample to soak in the SDS
5 solution.

6 Based on the above experimental results, 2.0 g/L SDS concentration and dipping
7 time of 120 min was most suitable for the first flotation condition of Al, Si, Cu and Ag
8 mixture.

9

10 4.2 Second sequence of pretreatment and flotation separation

11 The Si, Cu, and Ag sample including Al was etched and perfectly dissolved in 0.1
12 M HCl solution. The relationship between the recovery and content of the froth layer, and
13 the commercial dishwashing neutral detergent concentration after the second flotation
14 separation is shown in Fig. 7. Si was stably floated in the froth layer and the maximum
15 recovery achieved 98.9% at the detergent concentration of 0.25 g/L as indicated in Fig. 7
16 (a). The Si recovery was gradually decreased with the increase in the detergent
17 concentration until 86.7% at 1.5 g/L. It's difficult to explain the reason, however, the
18 assumption of the admicell generation between 0 and 0.25 g/L detergent concentration

1 might result in the decrease of the Si recovery as the detergent concentration increases.
2 The reason for the Cu entrainment is difficult to interpret at present. Meanwhile, the Si
3 content at 0.25 g/L detergent concentration was 83.9% due to the Cu recovery of 16.1%
4 and increased to 96.6% at 1.5 g/L detergent concentration as shown in Fig. 7 (b). There
5 was no Ag recovery in the froth layer as shown in Fig. 7 (a). In terms of the higher content
6 of Si, the detergent concentration of 1.5 g/L seems to be preferable in the second flotation
7 procedure.

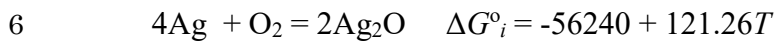
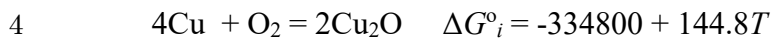
8 The reason why Si was recovered in the froth layer is assumed as follows. The dish
9 washing detergent ‘Cucute’ contains an ampholytic surface active agent, alkyl hydroxy
10 sulfobetaine (HSB) which exhibits cationic surface active properties in the acid solution
11 as indicated in Table 2. AlCl_3 , the reaction product of Al and HCl during the second
12 pretreatment, was partially attached to the dried sample after the Al etching, and estimated
13 to acidize the detergent ‘Cucute’ solution as a collector. Since the ζ potential of Si in Fig.
14 4 becomes the most negative value in the acid region, the HSB in the detergent ‘Cucute’
15 adsorbed on the Si particles and Si transferred to the froth layer.

16

17 4.3 Third sequence of pretreatment and flotation separation

18 As the third pretreatment, the calcination of the sample mixture of Cu and Ag was

1 done at 673 or 773 K for 4 h and then this sample was dipped in the detergent solution.
2 The possible calcination reactions and Gibbs free energy, ΔG_i , of i (=Cu, Ag) are as
3 follows [37]:



7 Here, T is the calcination temperature [K]. The critical calcination temperatures of Cu_2O ,
8 CuO and Ag_2O were calculated as 2312, 1728, and 463 K, respectively. Thus, Ag is
9 unoxidized under the calcination conditions of 673 and 773 K. The Cu_2O with smaller
10 ΔG_i° value is preferentially formed in this experiment. According to the mass
11 measurement of Cu before and after the calcination, 32.9 and 84.1% in Cu was oxidized
12 to Cu_2O at 673 and 773 K, respectively.

13 The relationship between the recovery and content of the froth layer, and the
14 commercial dishwashing neutral detergent concentration after the third flotation
15 separation is shown in Fig. 8. The calcinated sample was used. It was found that Ag almost
16 remained in the sediment zone. The recovery of Cu corrected to the mass of Cu_2O in the
17 sample, was low at detergent concentration of 0.25 g/L, however above 0.25 g/L it
18 indicated the higher values. The Cu recovery after the calcination at 773 K was higher

1 than at 673 K due to the larger Cu oxidation. The Cu content in the froth layer was kept
2 above 90%.

3 There are two reasons for the froth flotation of the calcinated Cu. One is the ζ potential
4 difference between Cu and Cu₂O. The relationship between the ζ potentials of Cu and
5 Cu₂O measured by Behera and Giri [38] is shown in Fig. 9. The ζ potential of Cu₂O was
6 larger than that of Cu, which indicates the anionic surfactants in Table 2 float the Cu
7 covered with Cu₂O in the froth layer like Al in Fig. 5. The other reason for the increased
8 floatability of behavior of Cu particles is the activation effect by calcination.

9

10 4.4 Overview of sequential flotation of fine particles mixture in silicon-based waste 11 solar cell

12 As discussed in earlier sections, the 4-component of fine particles mixture was
13 individually separated by three sequential flotation procedures. The froth flotations of the
14 first, second, and third procedures were Al, Si, and Cu, respectively. The flowchart of the
15 4-component mixture separation is shown in Fig. 10. It was comparable to Fig. 1 (c),
16 however, the flotation does not always separate each material as 1: $n-1$ (n : number of
17 components).

18 In this study, artificial pulverized materials such as Si, Al, Cu, and Ag were used

1 by a simple mass ratio and successfully separated from each other. The real waste of solar
2 cells containing EVA, which is typically composed of 87.5 % Si, 9.5 % Al, 0.5 % Cu, and
3 1.2 % Ag, will be tried on the next stage. Small amounts of components such as Cu and
4 Ag are generally advantageous to float and separate from the pulverized waste of solar
5 cells. The sequential flotation separation must be chosen by pursuing both the principle
6 of physical chemistry and cost-minimum operations.

7 Moreover, the froth flotation of each component was mainly explained by the
8 difference of ζ potential. The Ag particle size was different from the Si, Al, and Cu ones
9 as described in Section 3.1. The particle size is also one of the most important factors in
10 flotation. The effects of the ζ potential and particle size in relation to each other on the
11 flotation must be discussed next time around.

12

13 5. Conclusions

14 A general flow chart of sequential flotation procedure of n -component particles
15 mixture was first explained including 2-, 3-, 4-components, and then Si, Al, Cu, and Ag
16 particles mixture were individually separated by the sequential flotation experiments with
17 high recovery and content. The results obtained in this study are as follows.

- 1 1. n -component mixture was separated to 1: $n-1$ or $i : j$ ($i + j = n$) by a flotation
2 procedure and $n-1$ times flotation operation was necessary to divide into individual
3 component.
- 4 2. Al and Si had the highest and lowest ζ potential value throughout all the pH ranges,
5 respectively, among Al, Si, Cu, and Ag.
- 6 3. After dipping Al, Si, Cu, and Ag mixture in SDS solution as a pretreatment, Al was
7 only separated in the froth layer by the first flotation with a collector of SDS.
- 8 4. After the Al etching by HCl treatment and dipping of the Si, Cu, and Ag mixture
9 sample in a commercial dishwashing neutral detergent as a pretreatment, Si was
10 only separated in the froth layer by the second flotation with the detergent.
- 11 5. After calcinating Cu and Ag mixture at 673 or 773 K and dipping it in the detergent,
12 Cu transferred in the froth layer and Ag remained in the sediment zone by the third
13 flotation procedure.

14

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18

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Table 1 Experimental conditions

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		1st stage	2nd stage	3rd stage
Sample	Component	Al, Si, Cu, Ag	Si, Cu, Ag	Cu, Ag
	Total mass [g]	6.0	3.0	2.0
	Mass ratio	Al:Si:Cu:Ag= 2:2:1:1	Si:Cu:Ag= 1:1:1	Cu:Ag=1:1
Pretreatment	Pretreatment before dipping	-	Al etching in HCL solution	Calcination (673 K, 773 K)
	Dipping time in collector [min]	10, 120, 240, 360	120	120
Flotation	Collector	SDS	Neutral detergent	
	Collector concentration [g/L]	0.5, 2.0, 4.0	0.25, 0.5, 1.0, 1.5	0.25, 0.5, 1.0, 1.5
	Air flowrate [L/min(STP)]	4.0	3.0	3.0

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3 Table 2 Main ingredients and their functions for ‘Cucutte Muscat-no-Kaori’ [34]

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Function		Ingredient
Surface active agent	anionic	Sodium polyoxyalkylene alkyl ether sulfate (AES), Sodium dialkyl sulfosuccinate
	ampholytic	Alkyl hydroxysulfobetaine (HSB)
	non-ionic	Alkyl glyceryl ether, Alkyl glycoside
Stabilizing agent		Toluene sulfonic acid sodium salt, Butyl carbitol, Propylene glycol, Polypropylene glycol
Sequestering agent		Citrate
Aroma		Aroma chemical

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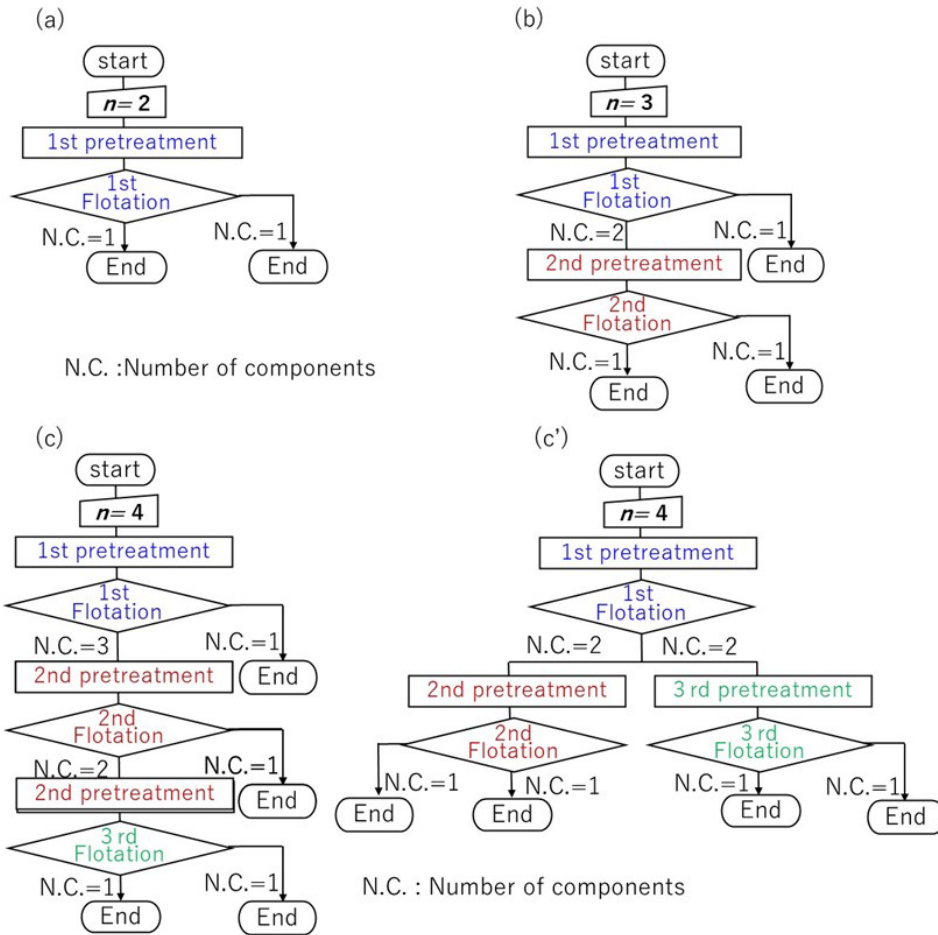
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Fig.1 Flotation flowcharts for separation of each component from 2- and 3-, and

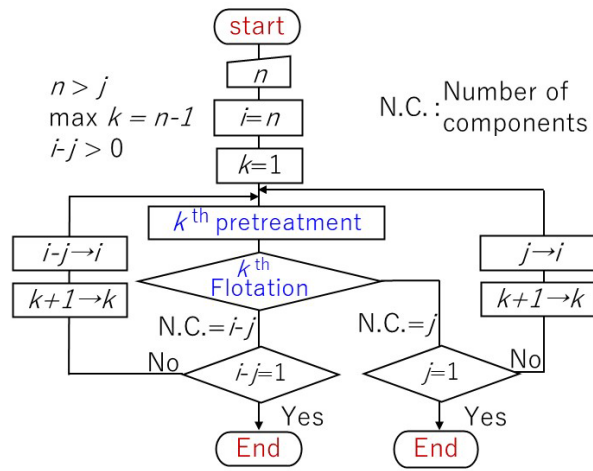
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4-component particle mixtures

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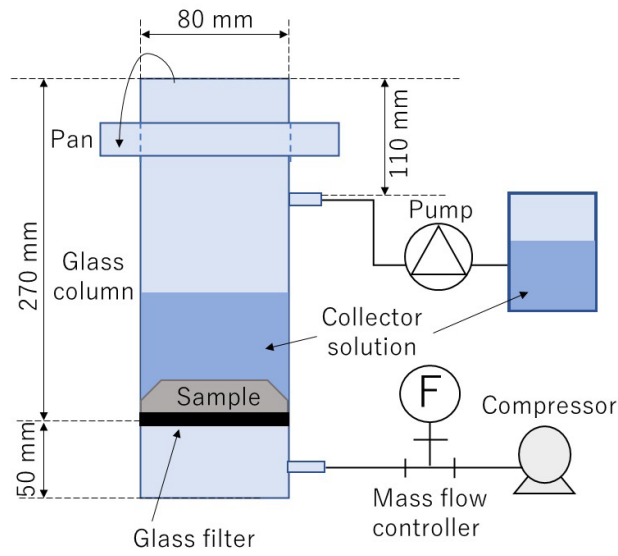
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4 Fig. 2 Flowchart of separation procedure of n -component mixture by flotation technique

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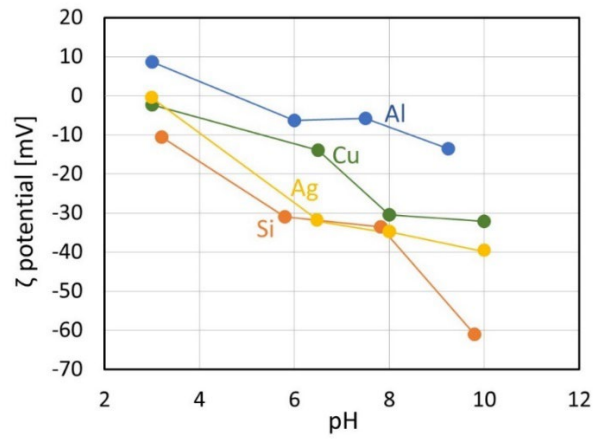
Fig. 3 Schematic diagram of flotation equipment

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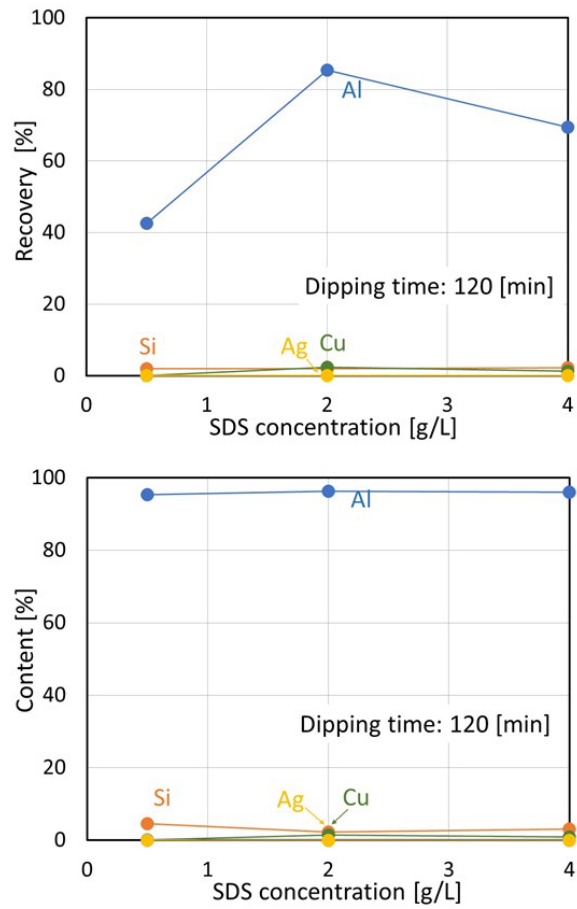
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Fig. 4 Relationship between ζ potential and pH

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4 Fig. 5 Relationship between recovery and content of froth layer, and SDS concentration

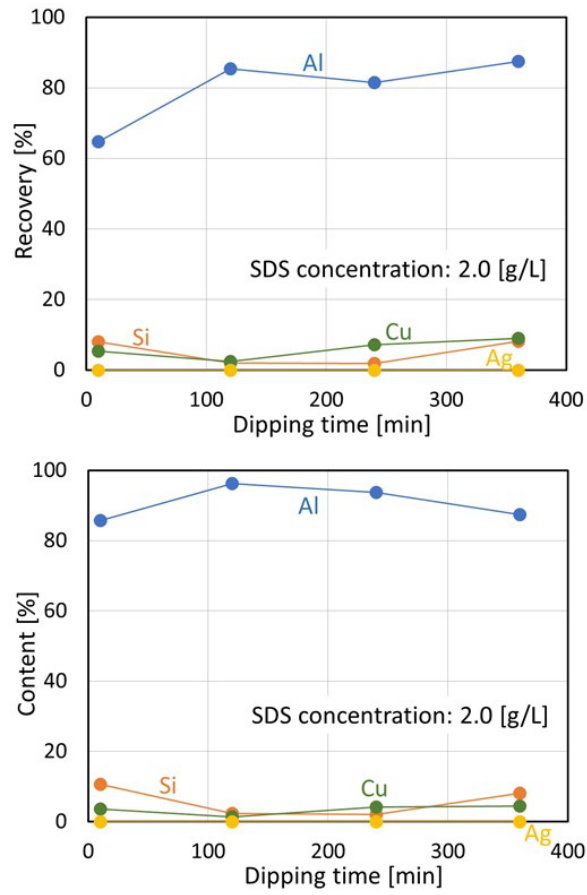
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after the first flotation separation between Al, Si, Cu, and Ag

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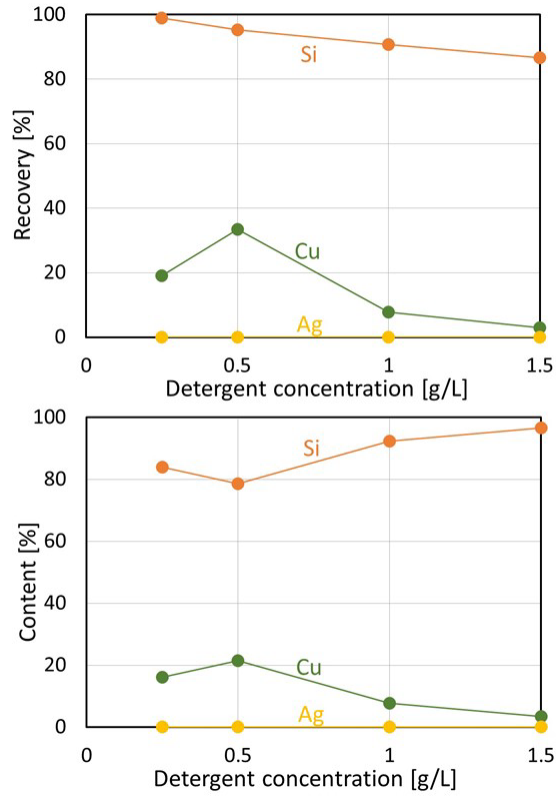
4 Fig. 6 Relationship between recovery and content of froth layer, and dipping time after

5 the first flotation separation between Al, Si, Cu, and Ag

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Fig. 7 Relationship between recovery and content of froth layer, and detergent

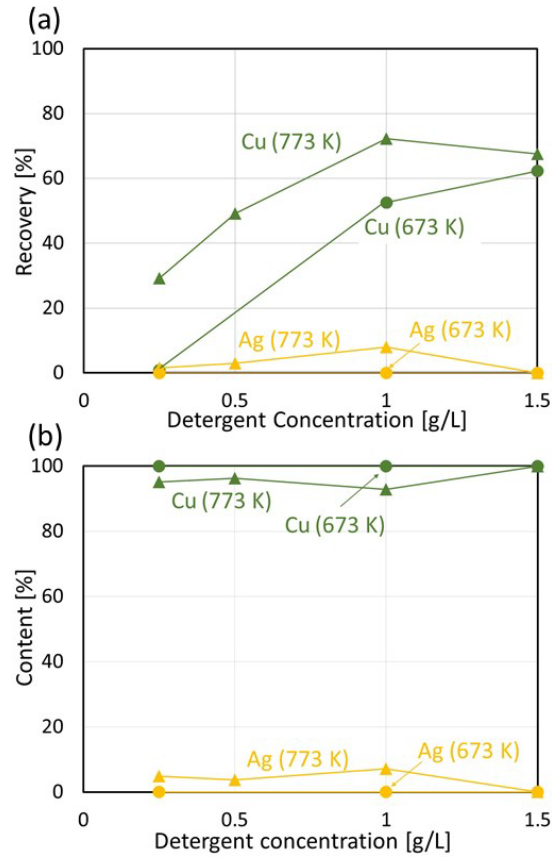
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concentration after the second flotation separation between Si, Cu, and Ag

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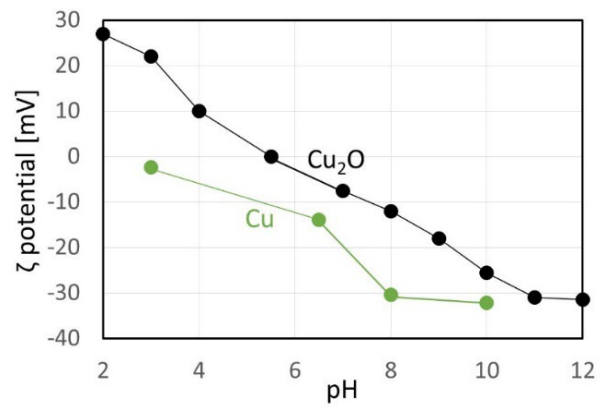
4 Fig. 8 Relationship between recovery and content of froth layer, and detergent

5 concentration after the third flotation separation between Cu covered with Cu_2O and Ag

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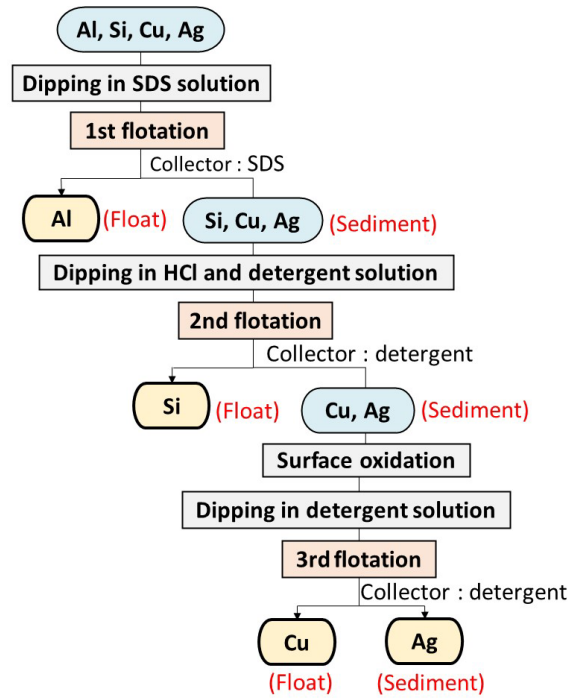
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Fig. 9 Comparison of ζ-potential between Cu₂O [38] and Cu

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4 Fig. 10 Flotation separation procedure of Al, Si, Cu, and Ag particle mixture derived

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from waste Si solar cells

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