

1 **Title page**

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3 **Title:**

4 Histidine-rich glycoprotein as a novel predictive biomarker of postoperative
5 complications in intensive care unit patients: a prospective observational study

6

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20

21 **Abstract**

22 **Background**

23 Decrease in histidine-rich glycoprotein (HRG) was reported as a cause of dysregulation
24 of the coagulation-fibrinolysis and immune systems, leading to multi-organ failure, and
25 it may be a biomarker for sepsis, ventilator-associated pneumonia, preeclampsia, and
26 coronavirus disease 2019. However, the usefulness of HRG in perioperative
27 management remains unclear. This study aimed to assess the usefulness of HRG as a
28 biomarker for predicting postoperative complications.

29 **Methods**

30 This was a single-center, prospective, observational study of 150 adult patients who were
31 admitted to the intensive care unit after surgery. Postoperative complications were defined
32 as those having a grade II or higher in the Clavien–Dindo classification, occurring within
33 7 days after surgery. The primary outcome was HRG levels in the patients with and
34 without postoperative complications. The secondary outcome was the ability of HRG,

35 white blood cell, C-reactive protein, procalcitonin, and presepsin to predict postoperative
36 complications. Data are presented as number and median (interquartile range).

37 **Results**

38 The incidence of postoperative complications was 40%. The HRG levels on
39 postoperative day 1 were significantly lower in patients who developed postoperative
40 complications (n=60; 21.50 [18.12–25.74] $\mu\text{g/mL}$) than in those who did not develop
41 postoperative complications (n=90; 25.46 [21.05–31.63] $\mu\text{g/mL}$). The Harrell C-index
42 scores for postoperative complications were HRG, 0.65; white blood cell, 0.50; C-
43 reactive protein, 0.59; procalcitonin, 0.73; and presepsin, 0.73. HRG was independent
44 predictor of postoperative complications when adjusted for age, the presence of
45 preoperative cardiovascular comorbidities, American Society of Anesthesiologists
46 Physical Status Classification, operative time, and the volume of intraoperative bleeding
47 (adjusted hazard ratio=0.94; 95% confidence interval, 0.90–0.99).

48 **Conclusions**

49 The HRG levels on postoperative day 1 could predict postoperative complications.
50 Hence, HRG may be a useful biomarker for predicting postoperative complications.

51

52 **Keywords:** biomarker, Clavien–Dindo classification, histidine-rich glycoprotein,
53 intensive care unit, perioperative management, postoperative complication, predictor
54

55 **Background**

56 After surgery, approximately 3–22% of patients develop postoperative complications
57 [1–4]. Once a complication develops, the patient’s hospitalization is prolonged,
58 worsening the prognosis [1]. Previous studies have reported that white blood cell
59 (WBC), C-reactive protein (CRP), procalcitonin (PCT), and presepsin (P-SEP) levels
60 may be useful biomarkers for predicting postoperative complications [5–13]. However,
61 standard biomarkers for predicting postoperative complications have not been
62 established.

63 Histidine-rich glycoprotein (HRG) is an approximately 75-kDa glycoprotein mainly
64 synthesized in the liver and present at a level of 60–150 $\mu\text{g/mL}$ in healthy individuals
65 [14–17]. HRG binds to various ligands and regulates coagulation fibrinolysis, the
66 immune system, and angiogenesis [17, 18]. In mice with sepsis, HRG levels decreased
67 because of decreased production in the liver and increased degradation. Furthermore,
68 decrease in HRG levels caused dysregulation of the coagulation-fibrinolysis system,

69 abnormal neutrophil morphology, endothelial cell abnormalities, and immune
70 thrombosis, leading to multiple organ failure [17, 19]. Earlier clinical studies have
71 reported that decrease in HRG levels may be a biomarker for sepsis [16, 20], ventilator-
72 associated pneumonia [21], preeclampsia [22], and coronavirus disease 2019 [23].

73 However, the usefulness of HRG in perioperative management remains unknown. We
74 hypothesized that HRG levels on postoperative day 1 (POD 1) could predict
75 postoperative complications and conducted a prospective observational study to assess
76 the usefulness of HRG as a biomarker for predicting postoperative complications.

77

78 **Methods**

79 **Study design and ethical considerations**

80 This single-center, prospective, observational study was approved by the Institutional
81 Review Board of the Okayama University Hospital (Okayama, Japan) on August 14,
82 2020 (approval number: 2007-006). The need for registration of the study was waived
83 because this was an observational investigation. The requirement for written informed
84 consent was waived by the Institutional Review Board because this was a non-invasive
85 study using residual blood samples collected from routine blood tests performed on

86 POD 1. We described the study protocol to the all patients and obtained verbal informed
87 consent for study participation and publication were obtained from them. This
88 information was preserved as an electronic medical record before their inclusion in the
89 study. The patients received a copy of the study description and were provided with
90 contact information, in case additional questions or concerns arose. In addition, the
91 study protocol was published on the website. We followed the Strengthening the
92 Reporting of Observational Studies in Epidemiology guidelines [24].

93 **Patients and data collection**

94 Patients admitted to the intensive care unit (ICU) after surgery at Okayama University
95 Hospital (Okayama, Japan) during consecutive periods were prospectively included.
96 At our institution, all patients post respiratory surgery, neurosurgery, hepato–biliary–
97 pancreatic surgery, esophageal surgery, cardiovascular surgery, and highly invasive oral
98 and otolaryngological surgery are admitted to the intensive care unit. In other
99 departments, patients are admitted to the intensive care unit post-surgery at the
100 discretion of the physician. According to previous studies, HRG levels are higher in
101 adults than in children [15] and decrease during pregnancy [22]; thus, patients who were
102 pregnant or <20 years old were excluded. We planned to enroll 150 patients based on a

103 power calculation. According to our previous study [16], we expected that the HRG
104 levels would vary by 20 $\mu\text{g}/\text{mL}$ between patients with and without postoperative
105 complications; this calculation was based on the number of patients required for an 80%
106 power to detect a 20 $\mu\text{g}/\text{mL}$ difference in HRG levels. A two-sided type I error of 0.05
107 was considered for the 10% incidence of postoperative complications and loss to follow
108 up.

109 All enrolled patients' information was collected from electronic medical records.
110 Preoperative comorbid cardiovascular diseases included arrhythmia, coronary artery
111 disease, heart failure, and macrovascular diseases. Chronic kidney disease was
112 classified with an estimated glomerular filtration rate <50 mL/min. The surgical Apgar
113 score (SAS) was calculated using anesthesia records. Preoperative and postoperative
114 sequential organ failure assessment scores and acute physiology and chronic evaluation
115 II scores on admission to the ICU were calculated using clinical variables and blood-test
116 results.

117 Postoperative complications were defined as an extended Clavien–Dindo
118 classification [25] grade II or higher, occurring within 7 days after surgery. Among the
119 postoperative complications, we defined infectious complications as those that required

120 antibiotic therapy or drainage due to infection. The mortality rate was assessed 28 days
121 postoperatively. The enrolled patients were followed up to the day of discharge or 28
122 days postoperatively.

123 **Measurement methods**

124 To measure HRG levels, we used the residual blood samples collected for routine blood
125 tests in tubes containing K2-EDTA in the morning of POD 1. The samples were then
126 centrifuged at 3,000 rpm for 10 min. Plasma components were transferred to
127 polypropylene tubes with a pipette, and a protease inhibitor cocktail (Complete mini
128 EDTA-free; Roche Diagnostics, Basel, Switzerland) was added. The samples were
129 stored at -80°C.

130 Plasma HRG levels were measured using a modified quantitative sandwich enzyme-
131 linked immunosorbent assay, in which the detection and chromogenic reagents were
132 changed from those previously described [16] because of discontinuation of the reagent.
133 In brief, a rat monoclonal antibody (mAb) against human HRG (made in-house, number
134 75-14) was used as the capture antibody, and a nickel (Ni^{2+})-activated derivative of
135 horseradish peroxidase (HisProbe™-HRP Conjugate; Thermo Fisher Scientific,
136 Waltham, MA, USA) was used for detection. Plasma samples were diluted 200-fold and

137 400-fold in phosphate-buffered saline containing 1% bovine serum albumin and 0.1%
138 K₂-EDTA and pipetted into mAb-coated 96-well plates (Clear Flat-Bottom Immuno
139 Nonsterile 96-Well Plates, Thermo Fisher Scientific). A microplate washer (Immuno
140 Wash™ 1575 Microplate Washer; Bio-Rad Laboratories, Hercules, CA, USA) was used
141 for the washing process. Subsequently, o-Phenylenediamine (FUJIFILM Wako Pure
142 Chemical Corporation, Osaka, Japan) and 30% H₂O₂ were used for the chromogenic
143 reaction; the reaction was stopped with 3M H₂SO₄. Plasma HRG levels were measured
144 using a 96-well plate reader (Nivo™ 5S Multimode Plate Reader; PerkinElmer,
145 Waltham, MA, USA) at an absorbance of 492 nm. A standard curve was established
146 using serial dilutions of known amounts of purified HRG (prepared in-house). Each
147 plasma sample was measured in duplicate, and plasma HRG levels were determined by
148 averaging two independent assays. The intra and inter-assay coefficients of variability
149 were 7.4% and 13%, respectively. WBC, CRP, PCT, and P-SEP levels were measured
150 from the same blood used for the HRG-level measurements. PCT and P-SEP levels
151 were determined using a chemiluminescent enzyme immunoassay (SRL, Tokyo, Japan).
152 WBC and CRP levels were measured at the Clinical Chemistry Laboratory of Okayama
153 University Hospital.

154 **Outcomes**

155 The primary outcome was the HRG levels on POD 1 in the patients with and without
156 postoperative complications. The secondary outcomes were the WBC, CRP, PCT, and
157 P-SEP levels on POD 1 in the patients with and without postoperative complications,
158 the association of HRG, WBC, CRP, PCT, and P-SEP with postoperative complications,
159 and their ability to predict postoperative complications.

160 **Statistical analysis**

161 The statistical approach was designed a priori. Multivariate, receiver operating
162 characteristic (ROC) curve, and subgroup analyses were designed as post-hoc analyses.
163 Categorical variables are expressed as numbers (percentiles) and compared using
164 Fisher's exact test. Continuous variables are expressed as median and interquartile
165 ranges (IQRs, 25–75th percentiles) and compared using the Mann–Whitney U test or
166 Kruskal–Wallis test. Furthermore, the Steel–Dwass test was used to compare the
167 medians of continuous variables for the post-hoc analysis among the three groups. The
168 differences in the means of continuous variables are expressed as differences in means
169 and 95% confidence intervals (CIs) and were compared using t-tests. Cox proportional
170 hazards models and ROC curve analysis were used to assess the ability of each

171 biomarker to predict postoperative complications. The results of the Cox proportional
172 hazards models are expressed as hazard ratio (HR), 95% CI, and Harrel C-index score.
173 In the multivariate analysis, we adjusted for the presence of preoperative cardiovascular
174 comorbidities, age, American Society of Anesthesiologists Physical Status
175 Classification (ASA-PS), operative time, and the volume of intraoperative bleeding,
176 which have been reported to be associated with postoperative complications [1, 2, 26–
177 28]. To assess the association between HRG levels and postoperative complications, we
178 utilized the Kaplan–Meier method and log-rank test by classifying patients into two
179 groups using the cut-off levels obtained from the logistic regression ROC curve
180 analysis. A two–sided P-value <0.05 was considered statistically significant. Data were
181 analyzed using JMP Pro 14.0.0 (SAS Institute Inc., Cary, NC, USA) and STATA 16.1
182 and 17.0 (Stata Corp LLC, College Station, TX, USA).

183

184 **Results**

185 **Patient characteristics**

186 Patient characteristics are shown in Table 1. Eligible patients were prospectively
187 included from September 17, 2020 to November 11, 2020. Figure 1 shows the patient

188 flow. The data of 150 patients were included in the final sample and analyzed. None of
189 the patients dropped out during the follow-up period. The patients were hospitalized in
190 the departments of respiratory surgery (31 patients), neurosurgery (30 patients), hepato-
191 biliary-pancreatic surgery (25 patients), gastrointestinal surgery (19 patients:
192 esophageal surgery, 17 patients and colorectal surgery, two patients), cardiovascular
193 surgery (12 patients), urology (nine patients), oral surgery (eight patients),
194 otolaryngology (seven patients), orthopedic surgery (five patients), and breast-thyroid
195 surgery (four patients).

196

197 [Please insert Table 1 here]

198

199 Ninety patients with Clavien-Dindo grades 0-I were included in the ‘no-
200 complication group’, and 60 patients with Clavien-Dindo grades II-IV were included in
201 the ‘complication group’. The overall incidence of postoperative complications was
202 40%. In the complication group, 33 patients had Clavien-Dindo grade II, nine had grade
203 III (eight patients, grade IIIa and one patient, grade IIIb), and 18 patients had grade IV
204 (14 patients had grade IVa and four patients had grade IVb). Postoperative

205 complications included hypotension in 11 patients, hemorrhage in seven patients,
206 atelectasis/sputum excretion difficulty in six patients, thrombosis/embolism in six
207 patients, intraabdominal abscess in six patients, and others in 24 patients. Twenty-seven
208 patients underwent drainage or change in antibiotics due to infection. Details of
209 postoperative complications are provided in Supplementary Table 1 in Additional file 1.
210 The distribution of the participants in the no-complication and complication groups per
211 clinical department is shown in Supplementary Table 2 in Additional file 2.
212 Postoperative complications developed on median POD 3 (IQR, 1–5 days).

213 Regarding preoperative factors, patients in the complication group had significantly
214 higher age, higher incidence of cardiovascular diseases, and more instances of ASA-PS
215 \geq III than those in the no-complication group. Regarding the intraoperative factors,
216 patients in the complication group had a significantly longer operative time and greater
217 amount of bleeding and intraoperative fluid balance than those in the no-complication
218 group. The SAS in the complication group was significantly lower than that in the no-
219 complication group. Regarding postoperative factors, ICU and hospital stays in the
220 complication group were significantly longer than those in the no-complication group.
221 Among all patients, no deaths occurred within the first 28 days after surgery.

222 **HRG and other biomarker levels**

223 Figure 2 shows that the HRG levels on POD 1 in the complication group (21.50 µg/mL
 224 [IQR, 18.12–25.74 µg/mL]) were significantly lower than those in the no-complication
 225 group (25.46 µg/mL [IQR, 21.05–31.63 µg/mL]) (P<0.001). Table 2 shows the WBC,
 226 CRP, PCT, and P-SEP levels on POD 1. The CRP, PCT, and P-SEP levels on POD 1 in
 227 the complication group were higher than those in the no-complication group. The WBC
 228 levels on POD 1 were not significantly different between the two groups.

229

230 **Table 2.** White blood cell, C-reactive protein, procalcitonin, and presepsin levels on
 231 postoperative day 1

	No-complication group (n=90)	Complication group (n=60)	P-value
WBC (/µL)	9265.0 (7275.0–11927.5)	9585.0 (7557.5–11267.5)	0.877
CRP (mg/dL)	3.32 (1.66–5.78)	4.68 (2.75–6.49)	0.036
PCT (ng/mL)	0.06 (0.03–0.18)	0.25 (0.12–0.75)	<0.001
P-SEP (pg/mL)	447.5 (355.3–597.5)	778.5 (578.8–1047.5)	<0.001

232 Expressed as median (IQR)

233 *CRP* C-reactive protein, *IQR* interquartile range, *P-SEP* presepsin, *PCT* procalcitonin,

234 *WBC* white blood cell

235

236 **Biomarkers' ability to predict postoperative complications**

237 Table 3 shows the association between biomarkers and postoperative complications. In

238 the univariate analyses, we found that the HRG, CRP, PCT, and P-SEP levels were

239 significantly associated with postoperative complications, but the WBC levels were not.

240 Furthermore, the Harrell C-index scores for postoperative complications were HRG,

241 0.65; WBC, 0.50; CRP, 0.59; PCT, 0.73; and P-SEP, 0.73. In multivariate analyses, after

242 adjustment for confounding factors, such as age, presence of preoperative

243 cardiovascular comorbidities, ASA-PS, operative time, and volume of intraoperative

244 bleeding, only HRG and P-SEP were found to be independent predictors of

245 complications.

246

247 **Table 3.** Associations between biomarkers and postoperative complications

Variables	Univariate analysis		Harrell C-index	Multivariate analysis	
	Unadjusted HR (95% CI)	P-value		Adjusted HR (95% CI)	P-value
HRG	0.92 (0.88–0.96)	<0.001	0.65	0.94 (0.90–0.99)	0.014
WBC/1000	0.98 (0.90–1.06)	0.554	0.50	0.97 (0.89–1.06)	0.484
CRP	1.08 (1.003–1.16)	0.042	0.59	1.03 (0.96–1.11)	0.453
PCT	1.06 (1.01–1.11)	0.019	0.73	1.03 (0.98–1.09)	0.284
P-SEP/100	1.18 (1.12–1.24)	<0.001	0.73	1.13 (1.06–1.20)	<0.001

248 *HR* hazard ratio, *Adjusted HR* hazard ratio adjusted for age, presence of preoperative
249 cardiovascular comorbidities, American Society Anesthesiologists Physical Status
250 Classification, operative time, and volume of intraoperative bleeding, *CRP* C-reactive
251 protein, *HRG* histidine-rich glycoprotein, *P-SEP* presepsin, *PCT* procalcitonin, *WBC*
252 white blood cell

253

254 Furthermore, we performed ROC curve analysis to compare the predictive ability of
255 each biomarker. The area under curve (AUC) was HRG, 0.69; P-SEP, 0.76; PCT, 0.77;

256 CRP, 0.60; and WBC, 0.51. The AUC for HRG was significantly higher than that of
257 WBC (P=0.005). There was no significant difference among the AUCs of HRG, P-SEP,
258 PCT, and CRP. The sensitivity and specificity of the HRG levels to predict postoperative
259 complications at the cut-off level of 24.21 $\mu\text{g/mL}$ were 0.73 and 0.57, respectively
260 (Fig.3). Furthermore, when the analyzed patients were divided into a high-HRG group
261 and a low-HRG group using this cut-off level, the postoperative complication rate of the
262 low HRG group (n=83) was significantly higher than that of the high HRG group
263 (n=67) (Fig. 4).

264 **HRG levels between the subgroups with and without postoperative complications**
265 **by clinical department**

266 We examined the differences in the means of the HRG levels on POD 1 between the no-
267 complication and complication groups in patients of the respiratory surgery (n=31) and
268 hepato–biliary–pancreatic surgery (n=25) departments. The difference was set as
269 [means of HRG levels on POD 1 in the no-complication group] minus [means of HRG
270 levels on POD 1 in the complication group] and was found to be nonsignificant. For
271 details, see Supplementary Fig. 1 in Additional file 3. The number of patients in the
272 other clinical departments did not suffice for examining between-group differences.

273 **HRG and other biomarker levels in the groups with and without postoperative**

274 **infectious complications**

275 We classified the complication group into two subgroups: those who developed
276 infectious complications (infectious-complication group, n=27) and those who
277 developed non-infectious complications (non-infectious-complication group, n=33).
278 HRG and other biomarker levels on POD 1 were compared between the no-
279 complication, non-infectious-complication, and infectious-complication groups. The
280 HRG, PCT, and P-SEP levels on POD 1 were significantly different among the three
281 groups (HRG, $P<0.001$; PCT, $P<0.001$; P-SEP, $P<0.001$, respectively). However, there
282 was no significant difference in HRG, PCT, and P-SEP levels on POD 1 between the
283 infectious- and non-infectious-complication groups. The CRP and WBC levels on POD
284 1 were not significantly different among the three groups. For details, see
285 Supplementary Fig. 2 in Additional file 4.

286 **HRG and other biomarker levels and severity of postoperative complications**

287 We divided the complication group into two subgroups: those classified as Clavien–
288 Dindo grade II (mild-complication group; n=33) and those classified as Clavien–Dindo
289 grades III and IV (severe-complication group; n=27). The HRG and other biomarker

290 levels on POD 1 were compared between the no-complication, mild-complication, and
291 severe-complication groups. The HRG, PCT, and P-SEP levels on POD 1 were
292 significantly different among the three groups (HRG, $P < 0.001$; PCT, $P < 0.001$; P-SEP,
293 $P < 0.001$, respectively). Furthermore, the P-SEP levels on POD 1 in the severe-
294 complication group were higher than those in the mild-complication group. However,
295 there was no significant difference in the HRG and PCT levels on POD 1 between the
296 mild- and severe-complication groups. The CRP and WBC levels on POD 1 were not
297 significantly different among the three groups. For details, see Supplementary Fig. 3 in
298 Additional file 5.

299

300 **Discussion**

301 In this study, we found that the HRG levels on POD 1 were significantly lower in the
302 complication group than in the no-complication group. Furthermore, the ability of HRG
303 to predict postoperative complications was superior to that of WBC and CRP, and
304 similar to that of PCT and P-SEP. However, the difference in HRG levels on POD 1
305 between the no-complication and complication groups was not significant for the
306 patients of the respiratory and hepato–biliary–pancreatic surgery departments, and there

307 were no significant differences in HRG levels on POD 1 between the infectious- and
308 non-infectious-complication groups and mild- and severe-complication groups.

309 Previous studies have shown that HRG levels negatively correlate with CRP levels in
310 patients with acute inflammation; therefore, HRG may function as a negative acute
311 phase reactant [16, 29]. Previous clinical studies have reported that a decrease in HRG
312 levels may be a biomarker for sepsis [16, 20], ventilator-associated pneumonia [21],
313 preeclampsia [22], and coronavirus disease 2019 [23]. We found that a decrease in HRG
314 levels on POD 1 might predict postoperative complications, and the ability of HRG to
315 predict postoperative complications was more strongly associated with postoperative
316 complications than that of WBC and CRP and had an association strength similar to that
317 of PCT and P-SEP. Furthermore, the HRG levels could independently predict
318 postoperative complications in the multivariate analyses. Thus, our study showed that
319 the HRG level may be a novel and independent biomarker for predicting postoperative
320 complications.

321 However, there were no significant differences in HRG levels on POD 1 between
322 patients who developed and did not develop postoperative complications in the
323 respiratory and hepato–biliary–pancreatic surgery departments. It could be that decrease

324 in HRG levels on POD 1 might not predict postoperative complications in some clinical
325 departments; however, the detection power may have been insufficient because of the
326 low number of samples. Further studies could confirm this hypothesis. Furthermore,
327 there were no significant differences in HRG levels on POD 1 between patients who
328 developed infectious complications and those who developed non-infectious
329 complications and between the mild- and severe-complication groups. In contrast, in our
330 previous study with patients who had systemic inflammatory response syndrome
331 (SIRS), we concluded that HRG may be a biomarker for detecting infection and may be
332 useful for evaluating severity [16]. This contradictory result may be attributable to
333 differences in the studied populations, i.e., patients who had undergone surgery and
334 patients with SIRS.

335 The main strength of this study is that it showed the association between HRG levels
336 and postoperative complications. We believe that patients with very low postoperative
337 HRG levels are at high risk of developing postoperative complications, and clinicians
338 need to follow them more closely.

339 This study had several limitations. First, it was a single-center study. Therefore, it is
340 unclear whether our findings can be applied to other populations. Second, many of the

341 included patients had cancer. It has been reported that HRG may prevent the
342 development of tumors [30], and the levels vary across breast, ovarian, and lung cancers
343 [31–33]. The variations in HRG levels in other cancers are unknown. These patient
344 characteristics may have influenced the results. Third, the time from the end of surgery
345 to specimen collection varied across patients because we used residual blood samples
346 collected for routine blood tests in the morning of POD 1. This difference in time may
347 have influenced the postoperative changes in HRG levels. Fourth, HRG levels were
348 measured only on POD 1. HRG levels before surgery and the time course of HRG levels
349 after surgery are unknown. Further studies are needed to examine these issues. Fifth, the
350 postoperative complications in this study include surgical complications, which are
351 unrelated to the intrinsic physiology of the patients. Further study limited to medical
352 complications related to the bioactivity of HRG is needed. Sixth, the study included
353 postoperative patients from a variety of clinical departments, with differences in the
354 originating departments between the two groups. This background may have influenced
355 the results. Further studies limited to specific departments are needed. Seventh, the
356 differences in HRG levels between patients with and without postoperative
357 complications were smaller than expected. We expected a difference of approximately

358 20 µg/mL between the two groups, in reference to the results of our previous study [16].
359 However, accurate prediction of differences in HRG levels on POD 1 between the two
360 groups was challenging because of the paucity of studies in which postoperative HRG
361 levels have been measured. Hence, our power calculation may have been ineffective.

362 **Conclusions**

363 The HRG levels on POD 1 in patients who developed postoperative complications were
364 significantly lower than those in patients who did not develop postoperative
365 complications. Furthermore, the ability of HRG to predict postoperative complications
366 was superior to that of WBC and CRP and similar to that of PCT and P-SEP. Thus,
367 HRG levels may be useful biomarkers for predicting postoperative complications.
368 Future studies are needed on the usefulness of HRG in predicting postoperative
369 complications based on clinical departments and complications.

370

371 **Abbreviations**

372 ASA-PS: American Society of Anesthesiologists Physical Status Classification; AUC:
373 Area under the curve; CI: Confidence interval; CRP: C-reactive protein; HR: Hazard
374 ratio; HRG: Histidine-rich glycoprotein; ICU: Intensive care unit; IQR: Interquartile

375 range; mAb: Monoclonal antibody; PCT: Procalcitonin; POD: Postoperative day; P-
376 SEP: Presepsin; ROC: Receiver operating characteristic; SAS: Surgical Apgar score;
377 SIRS: Systemic inflammatory response syndrome; WBC: white blood cell

378

379 **Declarations**

380 **Ethics approval and consent to participate**

381 This study was performed in accordance with the Declaration of Helsinki and Ethical
382 Guidelines for Medical and Health Research Involving Human Subjects. This study was
383 approved by the institutional ethics review board of Okayama University Hospital
384 (Okayama, Japan) on August 14, 2020 (approval number: 2007-006). According to the
385 instruction of the ethics review board, after describing the study protocol, verbal
386 informed consent for study participation was obtained from all patients. This
387 information has been preserved as an electronic medical record. All patients received a
388 detailed description of the study and were provided with contact information in case of
389 further clarification, any additional questions, or concerns.

390

391 **Consent for publication**

392 Prior to inclusion in the study, verbal informed consent for publication was obtained
393 from all patients. This information has been preserved as an electronic medical record.

394

395 **Availability of data and materials**

396 The datasets generated and analyzed during the present study are available from the
397 corresponding author on reasonable request.

398

399 **Competing interests**

400 Not applicable.

401

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406 **Authors' Contributions:**

407 All authors contributed to the study conception and design. MO and KK recruited the
408 patients. MO collected the data. MO, KK, and NK performed laboratory measurements.

409 MO, KK, and NK analyzed the data. All authors were involved in data interpretation.
410 KK obtained the grant. HM supervised the study. MO prepared the first draft of the
411 manuscript. All authors commented on previous versions of the manuscript. All authors
412 read and approved the final manuscript.

413

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426

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526

527 **Additional files**

528 File name: Additional file 1

529 File format: .pdf

530 Title of data: Supplementary Table 1. Postoperative complications (extended Clavien–

531 Dindo classification grade \geq II)

532 Description of data: Details of postoperative complications.

533

534 File name: Additional file 2

535 File format: .pdf

536 Title of data: Supplementary Table 2. Distribution of the patients in the no-complication

537 and complication groups according to clinical department of surgery

538 Description of data: Detailed description of the distribution of the patients in the no-

539 complication group and complication group according to the clinical department of

540 surgery.

541

542 File name: Additional file 3

543 File format: .pdf

544 Title of data: Supplementary Fig.1 Differences in the mean of HRG levels on

545 postoperative day 1 in the groups with and without postoperative complications by

546 department of surgery

547 Description of data: Differences in the mean of HRG levels on postoperative day 1 in the

548 groups with and without postoperative complications have been illustrated according to

549 the clinical department of surgery.

550

551 File name: Additional file 4

552 File format: .pdf

553 Title of data: Supplementary Fig.2 Levels of plasma biomarkers in the groups with and

554 without postoperative infectious complications

555 Description of data: Illustration showing the comparison of the levels of plasma

556 biomarkers in the groups with and without postoperative infectious complications.

557

558 File name: Additional file 5

559 File format: .pdf

560 Title of data: Supplementary Fig.3 Levels of plasma biomarkers and severity of

561 postoperative complications

562 Description of data: Illustration of the comparison of the levels of plasma biomarkers and

563 severity of postoperative complications among the groups.

564

565 **Table 1.** Patient characteristics

	No-complication group (n=90)	Complication group (n=60)	P-value
Preoperative factors			
Age (years), and median (IQR)	63.0 (51.0–72.0)	71.0 (60.3–76.0)	0.009
Male sex, n (%)	42 (46.7)	36 (60.0)	0.134
Comorbidity, n (%)			
Hypertension	40 (44.4)	30 (50.0)	0.510

Diabetes	22 (24.4)	10 (16.7)	0.311
Cardiovascular disease	11 (12.2)	16 (26.7)	0.030
Asthma	5 (5.6)	1 (1.7)	0.403
COPD	4 (4.4)	5 (8.3)	0.485
Liver cirrhosis	1 (1.1)	3 (5.0)	0.302
Chronic kidney disease (eGFR \leq 50)	9 (10.0)	12 (20.0)	0.097
Acute infection	1 (1.1)	1 (1.7)	0.999
Autoimmune disease	7 (7.8)	4 (6.7)	0.999
Preoperative use of steroids	6 (6.7)	2 (3.3)	0.477
Preoperative use of heparin	1(1.1)	1(1.7)	0.999
ASA-PS \geq III	17 (19.0)	23 (38.0)	0.014
Preoperative SOFA score, median (IQR)	0 (0–0)	0 (0–1)	0.085
Intraoperative factors			
Operative time, min, median (IQR)	249.5 (159.5–379.5)	398.5 (291.3–565.0)	<0.001

Volume of bleeding (mL), median (IQR)	97.5 (5.0–267.5)	300 (120.0–888.8)	<0.001
Fluid balance (mL), median (IQR)	1432.5 (962.5–2109.5)	2710.5 (1665.0–3920.8)	<0.001
SAS, median (IQR)	7.0 (6.0–8.0)	6.0 (4.3–7.0)	<0.001
Postoperative factors			
APACHE II score on admission to the ICU, median (IQR)	9 (7–11)	11 (9–14)	0.003
Postoperative SOFA score, median (IQR)	1.0 (0–3.0)	4.0 (2.3–5.8)	<0.001
ICU stay (days), median (IQR)	2 (2–2)	4 (2–6)	<0.001
Hospital stay (days), median (IQR)	16.0 (12.8–21.3)	29.0 (20.0–37.0)	<0.001
Death within 28-days after surgery, n (%)	0	0	–

567 *APACHE II Score* Acute Physiology and Chronic Health Evaluation II Score, *ASA-PS*
568 American Society Anesthesiologists Physical Status Classification, *COPD* chronic
569 obstructive pulmonary disease, *GFR* estimated glomerular filtration rate, *ICU* intensive
570 care unit, *IQR* interquartile range, *n* numbers, *SAS* surgical Apgar score, *SOFA Score*
571 Sequential Organ Failure Assessment Score

572

573 **Figure Legends**

574 **Fig. 1** Patient flow chart

575 *ICU* intensive care unit

576

577 **Fig. 2** Plasma HRG levels on postoperative day 1 in the groups with and without
578 postoperative complications

579 The box shows the median, 25th, and 75th percentiles. Bars represent the 5th and 95th
580 percentiles. The Mann–Whitney U test was used. $P < 0.05$ was considered significant.

581 *HRG* histidine-rich glycoprotein, *POD 1* postoperative day 1

582

583 **Fig. 3** Receiver operating characteristic curves for predicting postoperative complications

584 Receiver operating characteristic curves of HRG, P-SEP, PCT, CRP, and WBC.

585 *CRP* C-reactive protein, *HRG* histidine-rich glycoprotein, *P-SEP* presepsin, *PCT*

586 procalcitonin, *WBC* white blood cell

587

588 **Fig. 4** Kaplan–Meier curves

589 Patients were classified into two groups of high (n=67) and low (n=83) HRG levels,

590 using a cut-off level of 24.21 µg/mL. The Kaplan–Meier method and log-rank test were

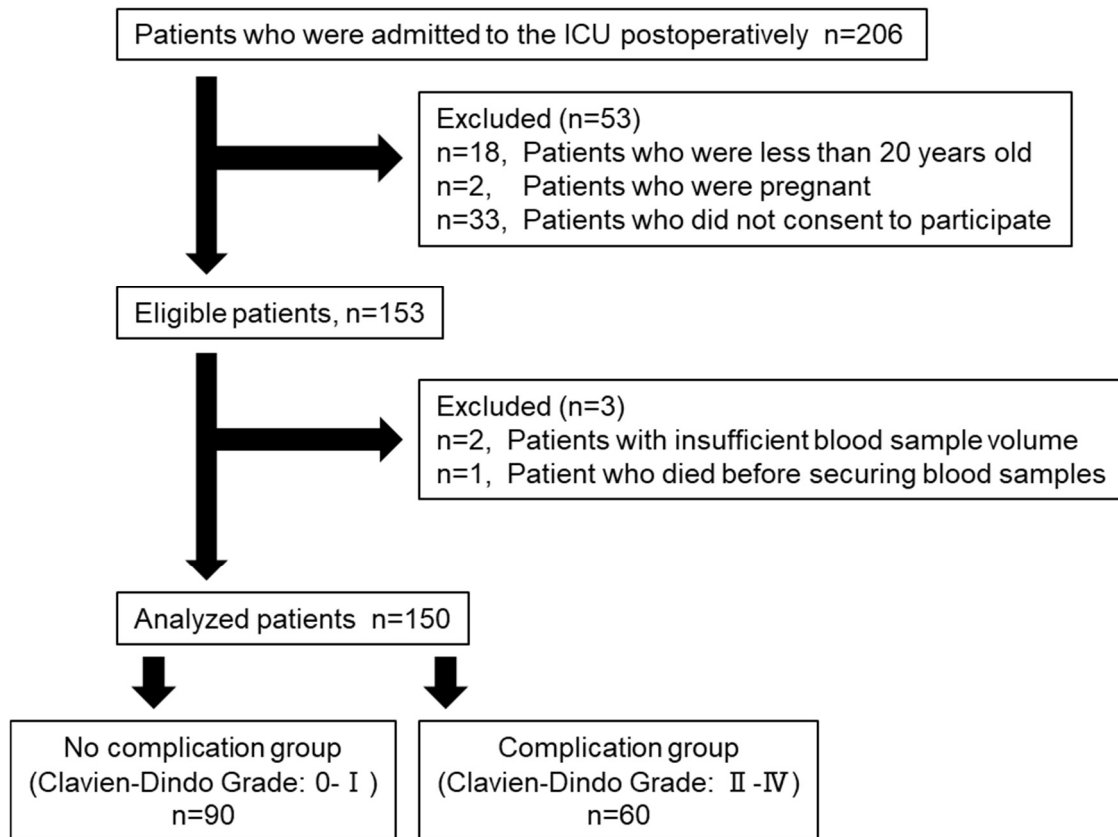
591 used. P<0.05 was considered significant.

592 *HRG* histidine-rich glycoprotein

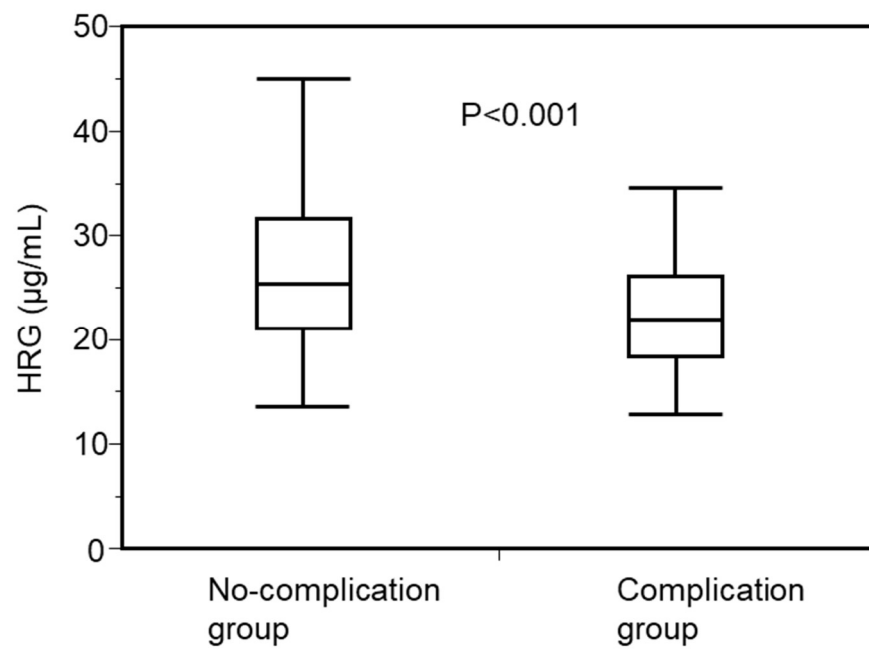
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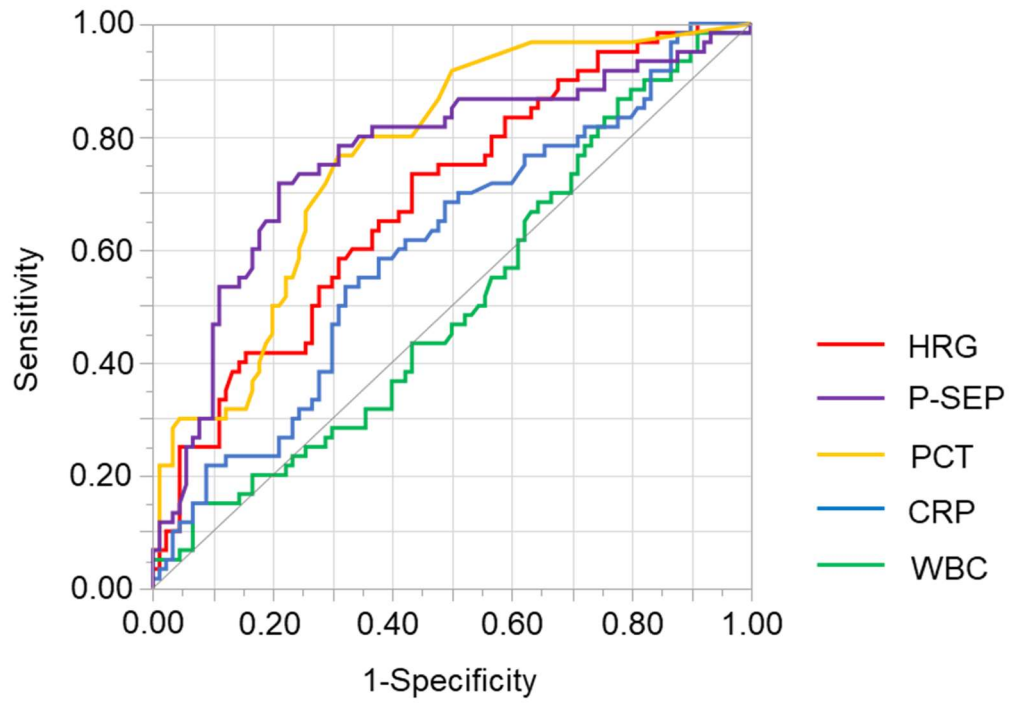
594 **Figures**

595

596 **Fig.1**

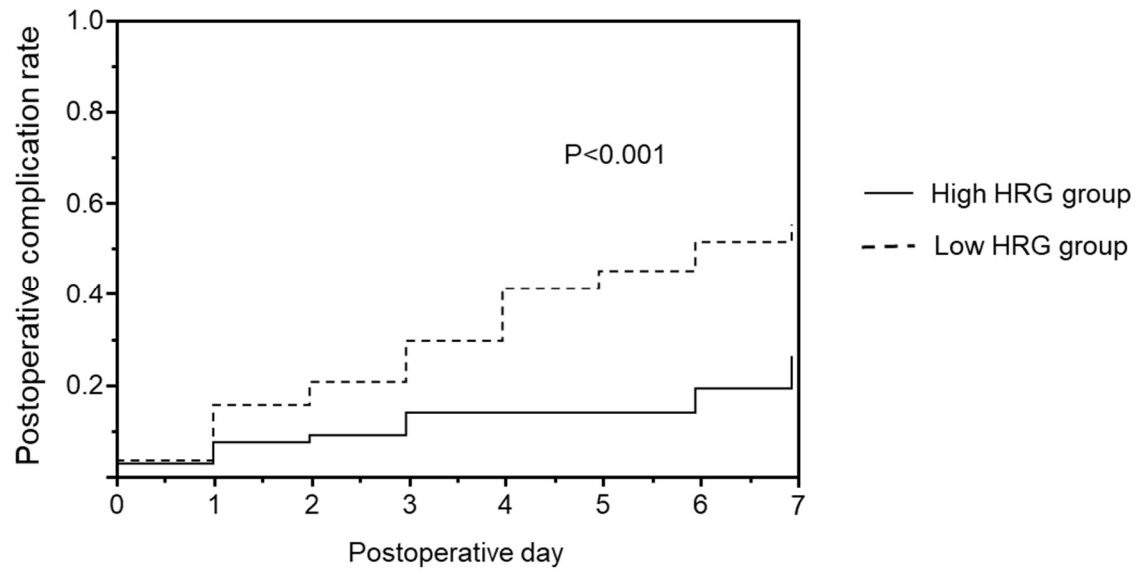
597

598 **Fig.2**599
600

601 **Fig.3**

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604 **Fig.4**

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607 **Additional files**

608

609 **Supplementary Table 1.** Postoperative complications (extended Clavien–Dindo
610 classification grade \geq II)

611

Postoperative complication	<i>n</i>
Haemorrhage	7
Atelectasis/sputum excretion difficulty	6
Thrombosis/embolism	6
Intraabdominal abscess	6
Supraventricular arrhythmia	4
Ventricular arrhythmia	2
Pleural effusion	3
Pancreatic fistula	3
Pneumonia	2
Chylothorax	1
Ascites	2
Delayed gastric emptying	1
Biliary fistula	1
Gastrointestinal anastomotic leak	1
Wound infection	1
Others	
Hypotension	11
Urinary tract infection	2
Infection (focus unknown)	1

612

613 *n* numbers

614

615

616 **Supplementary Table 2.** Distribution of the patients in the no-complication and
 617 complication groups according to clinical department of surgery

618

Clinical department of surgery, <i>n</i>	No complication group (<i>n</i> =90)	Complication group (<i>n</i> =60)
Respiratory surgery (<i>n</i> =31)	25	6
Neurosurgery (<i>n</i> =30)	29	1
Hepato-biliary-pancreatic surgery (<i>n</i> =25)	10	15
Gastrointestinal surgery (<i>n</i> =19)	4	15
Cardiovascular surgery (<i>n</i> =12)	3	9
Urology (<i>n</i> =9)	3	6
Oral surgery (<i>n</i> =8)	5	3
Otolaryngology (<i>n</i> =7)	5	2
Orthopaedic surgery (<i>n</i> =5)	2	3
Breast-thyroid surgery (<i>n</i> =4)	4	0

619

620 *n* numbers

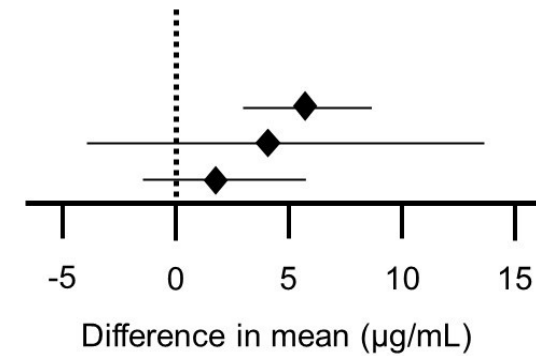
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622 **Supplementary Fig.1** Differences in the mean of HRG levels on postoperative day 1 in the groups with and without
 623 postoperative complications by department of surgery

624

625

Department, n	Difference in mean HRG levels ($\mu\text{g/mL}$)
All, n=150	5.49 (2.71 – 8.27)
Respiratory surgery, n=31	4.57 (-4.45 – 13.60)
Hepato-biliary-pancreatic surgery, n=25	1.93 (-1.97 – 5.83)



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636 Difference: [HRG levels on POD 1 in the no-complication group] minus [HRG levels on POD 1 in the complication group]

637 Differences are presented as mean (diamond shape) and 95% CI (whiskers).

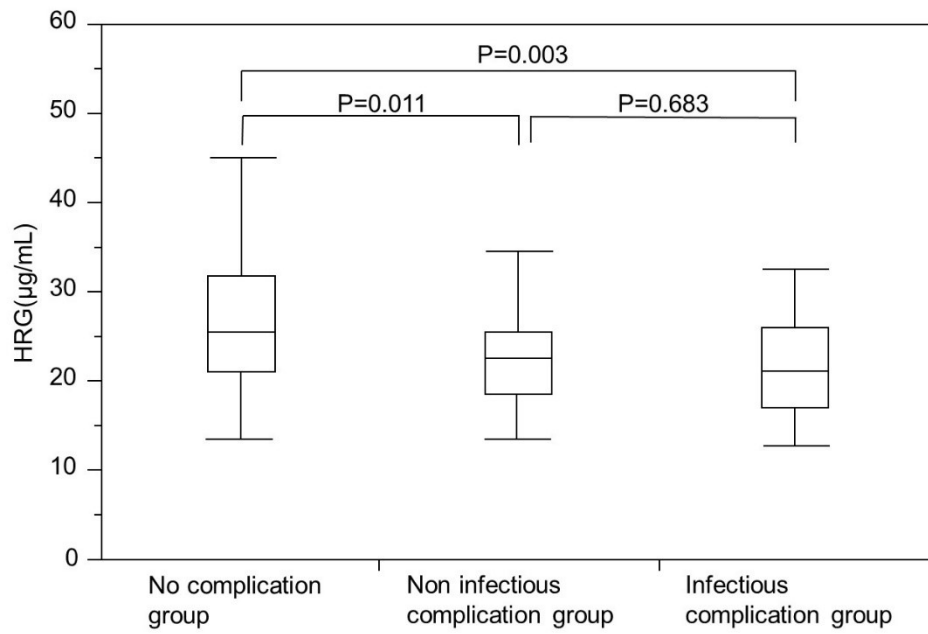
638 T-tests were used.

639 CI confidence interval, HRG histidine-rich glycoprotein, n number, POD 1 postoperative day 1

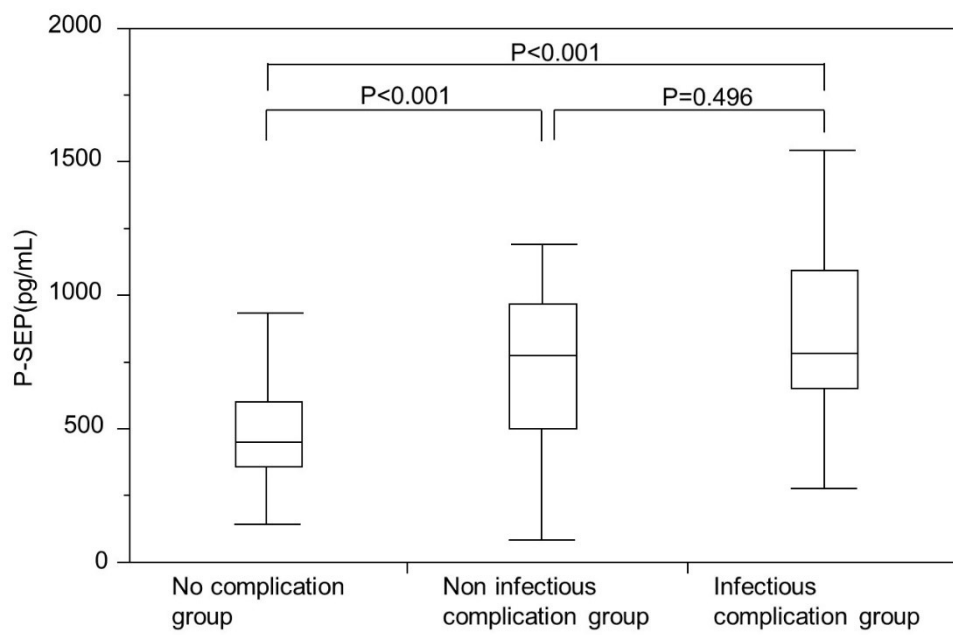
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Supplementary Fig.2 Levels of plasma biomarkers in the groups with and without postoperative infectious complications

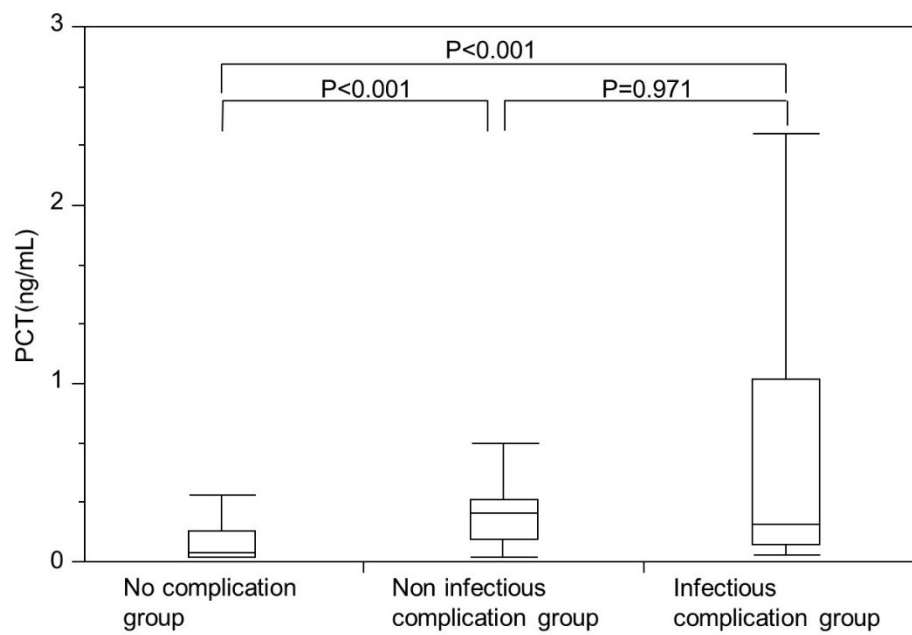
(a)



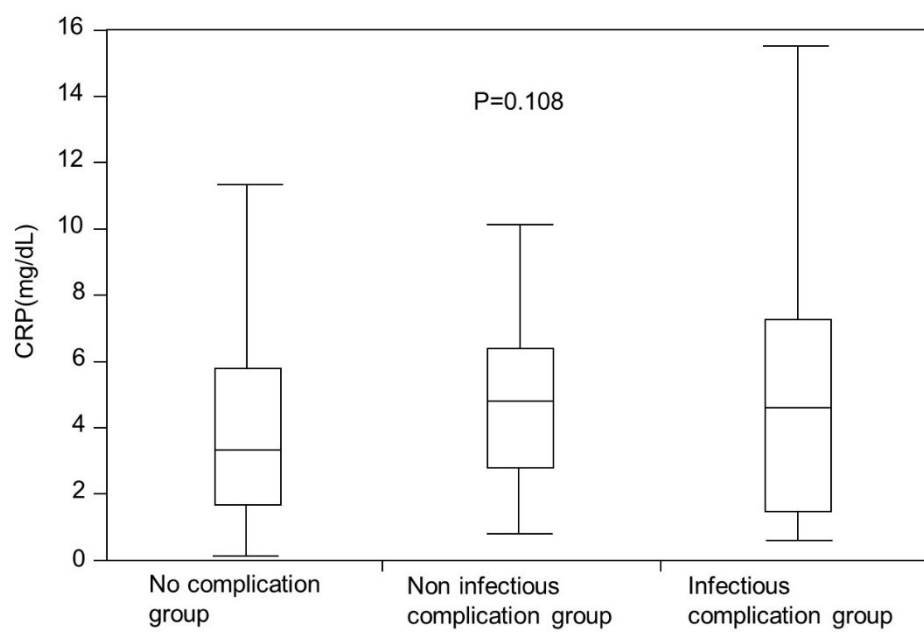
(b)

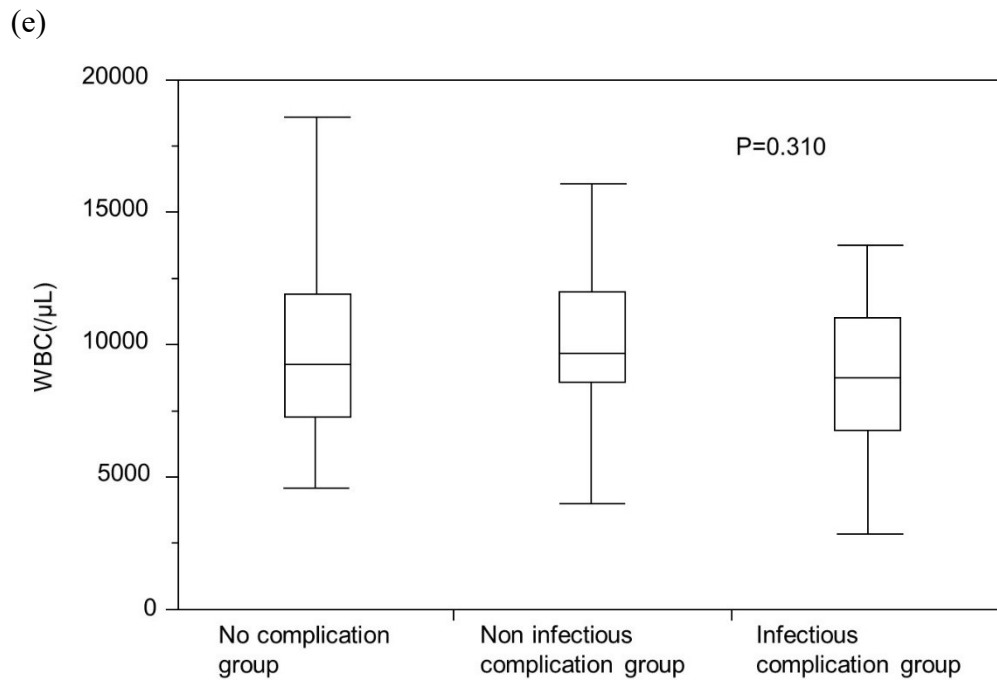


(c)



(d)





We compared each biomarker level among the no-complication group (n=90), non-infectious-complication group (n=33), and infectious-complication group (n=27).

(a) HRG; (b) P-SEP; (c) PCT; (d) CRP; (e) WBC levels among the three groups.

The box shows the median, 25th, and 75th percentiles. Bar represent the 5th and 95th percentiles.

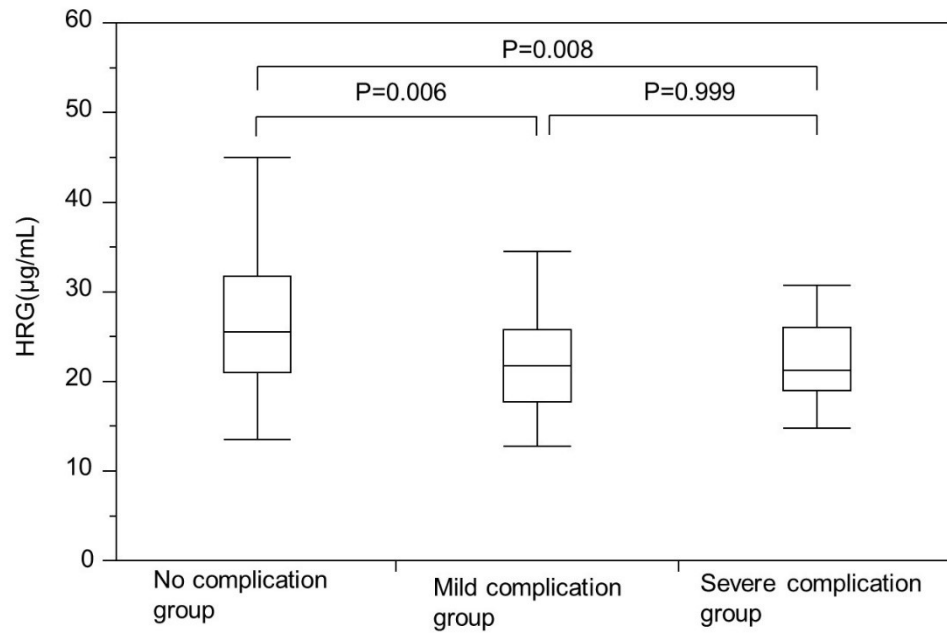
The Kruskal–Wallis and Steel–Dwass tests were used.

P-value <0.05 was considered significant.

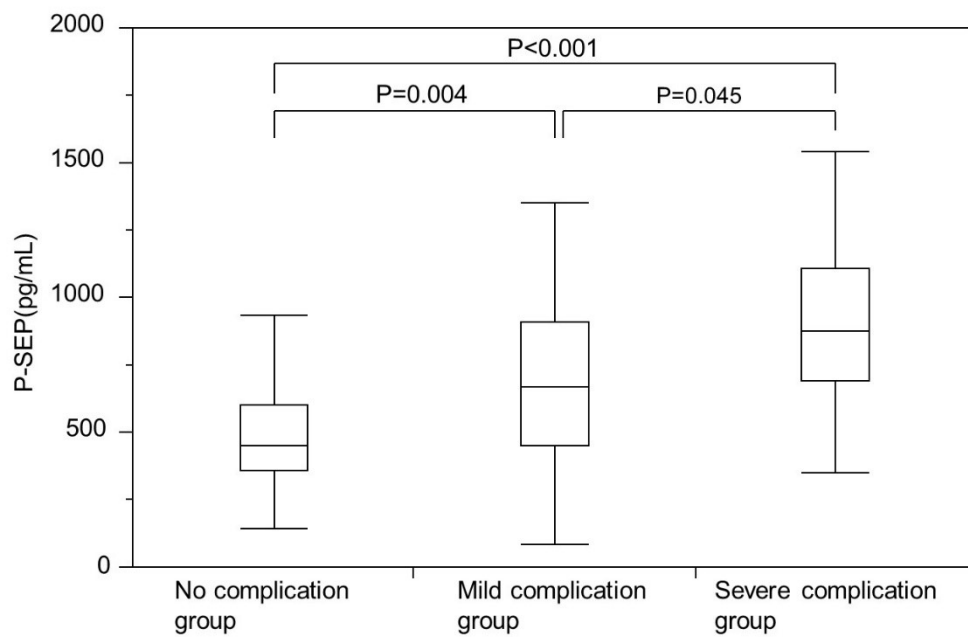
CRP C-reactive protein, *HRG* histidine-rich glycoprotein, *P-SEP* presepsin, *PCT* procalcitonin, *WBC* white blood cell

Supplementary Fig.3 Levels of plasma biomarkers and severity of postoperative complications

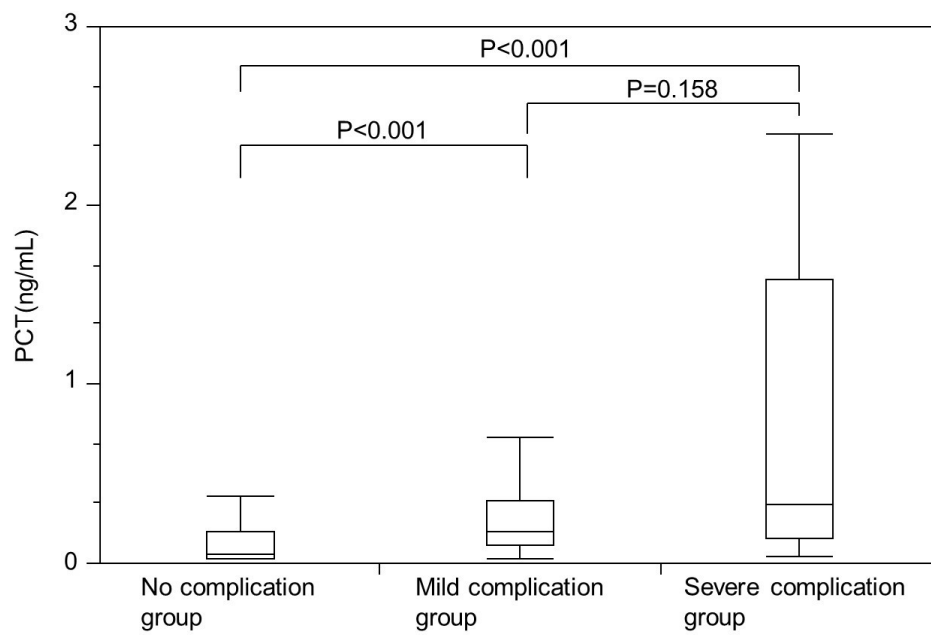
(a)



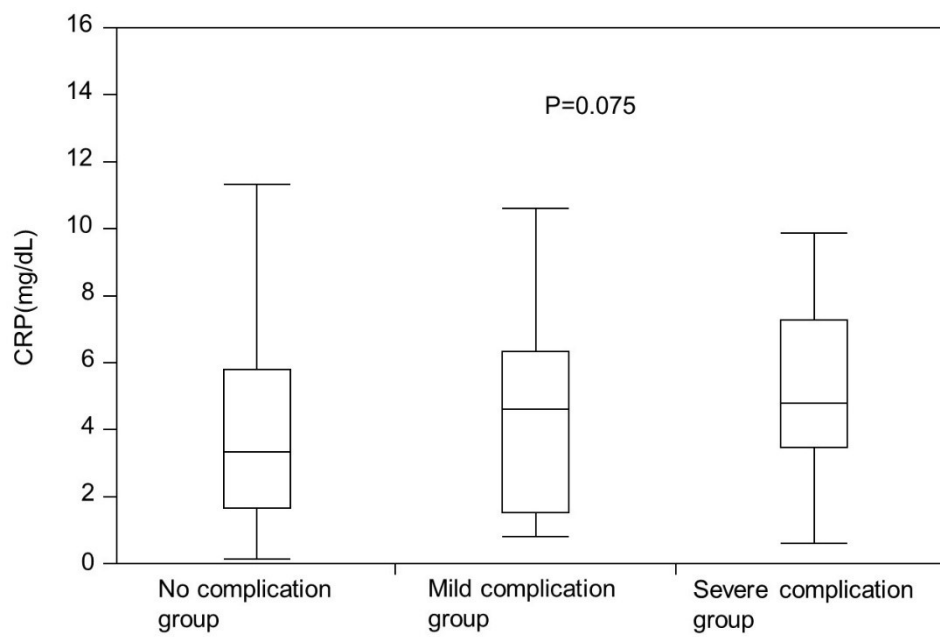
(b)



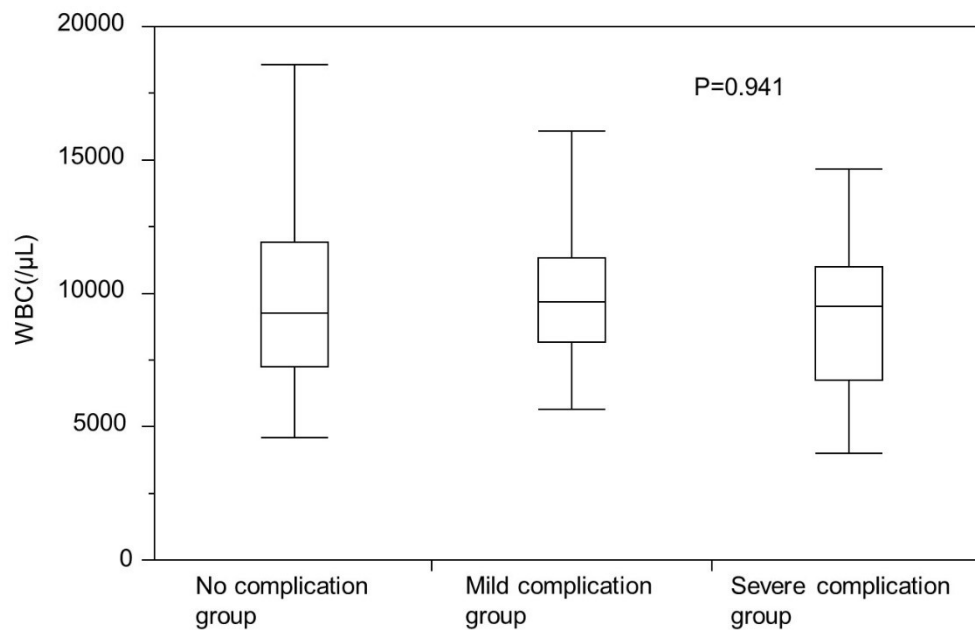
(c)



(d)



(e)



We compared each biomarker level among the no-complication group (n=90), mild-complication group (n=33), and severe-complication group (n=27).

(a) HRG; (b) P-SEP; (c) PCT; (d) CRP; (e) WBC levels among the three groups.

The box shows the median, 25th, and 75th percentiles. Bar represent the 5th and 95th percentiles.

The Kruskal–Wallis and Steel–Dwass tests were used.

P-value <0.05 was considered significant.

CRP C-reactive protein, *HRG* histidine-rich glycoprotein, *P-SEP* presepsin, *PCT* procalcitonin, *WBC* white blood cell