

## Title page

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(1) Full title

Antioxidative effects of a novel dietary supplement Neumentix in a mouse stroke model

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82 (6) Shortened title

83 Antioxidative effects of Neumentix in a stroke model

84

85 (7) Keywords

86 ROS, infarction volume, mint, rosmarinic acid

87

88 (Abbreviations used)

89 CML, N $\epsilon$ -(carboxymethyl) lysine; 4-HNE, 4-hydroxy-2-nonenal; MCA, middle cerebral artery; 8-

90 OHdG, 8-hydroxy-2'-deoxyguanosine; PBS, phosphate-buffered saline; RA, rosmarinic acid;

91 tMCAO, transient middle cerebral artery occlusion.

92

93 (Highlights)

94 ● Neumentix had an antioxidative effect.

95 ● Neumentix kept the body weights in a stroke model.

96 ● Neumentix reduced the infarction volume.

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### Abstract

100 Background: During an acute stroke, reactive oxygen species are overproduced and the  
101 endogenous antioxidative defense systems are disrupted. Therefore, antioxidative therapy can be a  
102 promising scheme to reduce the severity of stroke. Neumentix is a novel antioxidative supplement  
103 produced from a patented mint line and contains a high content of rosmarinic acid (RA). Although  
104 Neumentix has proven diverse efficacy and safety in clinical trials, its effect on strokes is unclear.

105 Methods: Mice that were treated with Neumentix or vehicle for 14 days underwent  
106 transient middle cerebral artery occlusion (tMCAO) for 60 min. Mice were sacrificed 5 days after  
107 tMCAO.

108 Results: Neumentix preserved body weight after tMCAO, showed a high antioxidative  
109 effect in serum, and reduced infarction volume compared to the vehicle. The expression of 4-  
110 hydroxy-2-nonenal, N $\epsilon$ -(carboxymethyl) lysine, and 8-hydroxy-2'-deoxyguanosine was reduced in  
111 Neumentix-treated mice.

112 Conclusion: The antioxidative effect of Neumentix was confirmed. This is the first report  
113 to demonstrate the antioxidative effect of Neumentix on strokes.

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### Introduction

117 Stroke is the leading cause of disability and death worldwide (Roth et al., 2018), but current  
118 available therapies are limited (Saver et al., 2015). Oxidative stress is the major component of the  
119 stroke cascade, especially in the acute phase of an ischemic stroke (Abe et al., 1988; Moretti et al.,  
120 2015). During brain ischemia and reperfusion, reactive oxygen species (ROS), also referred to as  
121 oxygen free radicals, are overproduced, while the endogenous antioxidative defense systems are  
122 disrupted (Chen et al., 2011). ROS generates toxic aldehyde 4-hydroxynonenal (4-HNE) (Mehta et al.,  
123 2007), glycated protein N(epsilon)-(carboxymethyl) lysine (CML) (Schleicher et al., 1997), and  
124 oxidized DNA 8-hydroxy-2-deoxyguanosine (8-OHdG). Thus, antioxidative therapy can be a  
125 promising means of reducing the severity of strokes.

126 We already reported an antioxidative drug, edaravone, as an excellent neuroprotective free  
127 radical scavenger (Abe et al., 1988; Yamashita et al., 2009). Antioxidative dietary supplements also  
128 suggest their efficacy against strokes in animal stroke models (Kusaki et al., 2017; Shang et al., 2018).  
129 Neumentix is a strong antioxidative dietary supplement produced from a patented mint line. The mint  
130 line was developed through traditional breeding techniques to contain higher amounts of naturally-  
131 occurring rosmarinic acid than other commercially available spearmint extracts (Falcone et al., 2018).

132 In the present study, therefore, we evaluated a possible effect of Neumentix against strokes,  
133 focusing on the antioxidative aspect.

## 134 135 136 **Experimental Procedures**

### 137 *Animal sample preparation (serum and brain sections)*

138 All mice were treated based on a procedure approved by the Animal Committee of the  
139 Okayama University Graduate School of Medicine (OKU-2018910). All experiments were carried out  
140 in accordance with ARRIVE guidelines and the National Institute of Health Guide for the Care and  
141 Use of Laboratory Animals (NIH Publications No. 80-23).

142 Adult male C57BL/6J mice (23-27 g, 7 week old) were purchased from SLC, Japan  
143 (Shizuoka, Japan). The mice were housed in a temperature-regulated room (23-25°C) with free access  
144 to food and water, under a 12 hr light/12 hr dark cycle. The mice were randomly assigned to either the  
145 vehicle group (physiological saline, i.p., n = 35) or the Neumentix (134 mg/kg/d, containing RA 20  
146 mg/kg/d, i.p., n = 31) group. Each group included three sham operation mice.

147 At the age of 8 weeks, mice in the vehicle group or mice in the Neumentix group were  
148 administered to a 14 day pre-treatment. Each group included sham operation mice. Neumentix is a  
149 phenolic compound that contains approximately 15% rosmarinic acid in addition to a number of other  
150 classes of phenolic compounds including salvianolic, caffeoylquinic, and hydroxyphenyl propanoic  
151 acids (Nieman et al., 2015). The other component of Neumentix is protein, carbohydrate, and dietary  
152 fibers, etc. Neumentix was dissolved in saline (1% of each mouse's weight) and intraperitoneally  
153 injected into each mouse.

154 On the 15<sup>th</sup> day of the pre-treatment, the mice were subjected to transient middle cerebral  
155 artery occlusion (tMCAO) (Yamashita et al., 2006). During surgery, the mice were anesthetized with  
156 a mixture of nitrous oxide/oxygen/isoflurane (69/30/1%) with an inhalation mask. Mouse body  
157 temperature was maintained at  $37 \pm 0.3^\circ\text{C}$  on a heating pad (BWT-100; Bio Research Center, Aichi,  
158 Japan). Once the right common carotid artery (MCA) was exposed, a nylon thread with a silicon-  
159 coated tip was inserted into the right middle cerebral artery. After 60 min of occlusion, the silicon-  
160 coated thread was pulled out to reperfuse the blood flow of MCA. Sham operations were performed  
161 in the same way except for the nylon thread insertion. After tMCAO or sham operation, animals  
162 received the after-treatment of vehicle or Neumentix once a day for a period of 5 days (Fig. 1).

163 Five days after tMCAO, mice were deeply anesthetized by intraperitoneal injection of  
164 pentobarbital (40 mg/kg), and blood was collected from their hearts. Serum samples were separated  
165 from whole blood by centrifugation (10 min, 4°C) and stored at -80°C for d-ROMs and the OXY-  
166 Adsorbent test. The anesthetized mice's brains were perfused with chilled phosphate-buffered saline  
167 (PBS, pH 7.4) and 4% paraformaldehyde solution. The brains were removed and immersed in the 4%

168 paraformaldehyde solution overnight at 4°C. After fixation, brains were incubated in 10% sucrose  
169 solution for 24 hrs and then incubated in 30% sucrose solution for 48 hrs at 4°C. The fixed brains were  
170 frozen in liquid nitrogen and stored at -80°C. Coronal 20 µm-thick brain sections were prepared using  
171 a cryostat (HM525 NX, Thermo Fisher Scientific, Waltham, MA, USA) at -20°C for use in staining.  
172 This experiment is a part of a larger project focusing primarily on the effects of Neumentix (Bian et  
173 al., 2020, in submission).

174

#### 175 ***Body weight and a rotarod test***

176 The body weight of mice was measured 14, 8, and 1 days before tMCAO, and 1, 3, and 5  
177 days after tMCAO. A rotarod test was also conducted based on a previous test method in our laboratory  
178 (Ohta et al., 2006; Kawai et al., 2010). Mice were placed on the treadmill (MK 132 670; Muromachi  
179 Kikai Co., Tokyo, Japan), and the speed was increasing from 1 rpm to 45 rpm. The mice ran on the  
180 treadmill until they fell off or up to 300 sec. Running time was assessed for motor functions. Rotarod  
181 test results were recorded on 14 and 1 days before tMCAO, on the day of tMCAO, and 1, 3, and 5  
182 days after tMCAO.

183

#### 184 ***Antioxidative markers in serum***

185 The antioxidant capacity of mice serum was assayed by an OXY-Adsorbent test kit (Diacron  
186 International, Grosseto, Italy). The serum from each mouse was mixed with a strong ROS,  
187 hypochlorous acid (HOCl), which induces an antioxidative reaction against HOCl, and is the  
188 subsequent decrease of HOCl in the serum. A coloring agent was then added to the serum-HOCl  
189 mixture. The remaining HOCl which was not erased by the antioxidative effect of the serum, reacted  
190 to the coloring agent. The spectrophotometer (Free Radical Elective Evaluator, Diacron International,  
191 Grosseto, Italy) automatically calculated antioxidant capacity as the level of erased HOCl by the serum  
192 (µmol HOCl/mL). (Tamaki et al., 2011).

193 Oxidative stress was examined by the d-ROMs test kit (Diacron International) in accordance  
194 with the manufacturer's instructions. In brief, the serum from each mouse was mixed with acetate  
195 buffer and coloring agent (chromogen). The serum was then checked by a spectrophotometer, showing  
196 a ROS level as "Carratelli units" (CARR U). One CARR U corresponds to 0.08 mg per 100 mL of  
197 H<sub>2</sub>O<sub>2</sub> (Tamaki et al., 2011).

198

#### 199 ***Hematoxylin eosin staining and infarct volume***

200 Coronal brain sections (20 µm) were stained as hematoxylin eosin staining. The infarction  
201 area was detected by microscopy (SZX-12; Olympus Optical, Tokyo, Japan) and computer software,  
202 Image J (NIH, Bethesda, MD, USA). The infarction volume in each mouse was calculated by summing  
203 the infarction volumes in three serial brain sections, at a 0.5 mm interval, between 0.5 mm anterior  
204 and 0.5 mm posterior to the bregma as previously reported (Nakano et al., 2017).

205

#### 206 ***Oxidative stress marker expression in brains***

207 Immunohistochemistry of 4-HNE, CML, and 8-OHdG was performed. Brain sections were  
208 dried and incubated in 0.3% H<sub>2</sub>O<sub>2</sub>/methanol for 20 min and 5% bovine albumin was blocked on the  
209 section for 1 hr. After washing in PBS, sections were incubated at 4°C overnight using the following

210 primary antibodies: mouse 4-HNE (1:50; JalCA, Shizuoka, Japan, MHN-100P, AB\_1106813), mouse  
211 CML (1:200, Cosmo Bio, Tokyo, Japan, AGE-M01, AB\_10705361) and mouse 8-OHdG (1:20; JalCA,  
212 MOG-100P, AB\_1106818). Negative control sections were stained without primary antibodies.

213 After overnight incubation, sections were washed in PBS and incubated with biotinylated  
214 anti-mouse IgG secondary antibodies (Vector Laboratories, Burlingame, CA, USA) diluted at 1:500  
215 for 2.5 hrs at room temperature. Immunoreactivity for each antibody was developed in a horseradish  
216 peroxidase streptavidin–biotin complex solution (VECTASTAIN ABC Kit, Vector Laboratories) and  
217 then incubated with 0.04% 3,3'-diaminobenzidine tetrahydrochloride (DAB).

218

### 219 ***Statistical analysis***

220 In order to count the number of positive cells obtained from immunohistochemistry, three  
221 serially DAB-stained brain sections were selected from each mouse brain (from 0.5 mm anterior and  
222 0.5 mm posterior to the bregma). From each section, three peri-ischemic areas were randomly captured  
223 at 200× magnification with a microscope (BX51; Olympus, Tokyo, Japan). Data were analyzed in  
224 GraphPad Prism (version 8.0, GraphPad Software Inc., San Diego, CA, USA). An unpaired student's  
225 *t*-test was used for all statistical analyses. Values were means ± standard deviation. Significant  
226 differences were described at \* $p < 0.05$  and \*\* $p < 0.01$ .

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## Results

### 231 *Body weight and a rotarod test*

232 Neumentix-treated mice maintained their body weights after 5 days of tMCAO, compared  
233 to vehicle-treated mice (vehicle =  $-23.9 \pm 5.9\%$  vs Neumentix =  $-15.2 \pm 11.6\%$ ,  $*p < 0.05$ ). The change  
234 in weight was described as the rate of change in weight from one day before tMCAO.

235 In the rotarod test, Neumentix-treated mice tended to keep their motor function more than  
236 vehicle-treated mice (vehicle =  $177.0 \pm 96.0$  sec vs Neumentix =  $222.0 \pm 102.9$  sec), but the difference  
237 was not significant (Fig. 2).

238

### 239 *Antioxidative markers in serum*

240 The OXY-adsorbent test revealed that serum from Neumentix-treated mice had a  
241 significantly stronger antioxidative effect ( $371.2 \pm 54.6$   $\mu\text{mol HClO/mL}$ ,  $**p < 0.01$  vs vehicle) than  
242 that from vehicle mice ( $299.7 \pm 50.7$   $\mu\text{mol HClO/mL}$ ) (Fig. 3a).

243 The d-ROMs test demonstrated that serum from Neumentix-treated mice showed  
244 significantly less oxidative stress ( $111.3 \pm 25.7$  CARRU,  $*p < 0.05$  vs vehicle) than serum from vehicle-  
245 treated mice ( $135.7 \pm 18.8$  CARRU) 5 days after tMCAO (Fig. 3b).

246

### 247 *Hematoxylin eosin staining and infarct volume*

248 The brains of Neumentix-treated mice showed a smaller infarction volume ( $11.7 \pm 5.5$   $\text{mm}^3$ ,  
249  $**p < 0.01$  vs vehicle) than the brains of vehicle-treated mice ( $18.7 \pm 2.8$   $\text{mm}^3$ ) (Fig. 4).

250

### 251 *Oxidative stress marker expressions*

252 Three antioxidative stress markers (4-HNE, CML, and 8-OHdG) were strongly expressed in  
253 the peri-infarct areas. 4-HNE was mainly labeled in the cytoplasm of cerebrocortical cells after 5 days  
254 of tMCAO. The cells of Neumentix-treated mice showed lighter staining than the vehicle-treated mice.  
255 CML was relatively clearly labeled in the cytoplasm of cerebrocortical cells after 5 days of tMCAO.  
256 8-OHdG was mainly labeled in the cytoplasm and a few cells are stained in the nucleus 5 days after  
257 tMCAO. The brain slices obtained from sham operation mice presented only a few positive cells for  
258 the three antioxidative stress markers.

259 Quantitative analysis revealed that Neumentix-treated mice showed fewer positive cells for  
260 the three antioxidative stress markers, 4-HNE (vehicle =  $130.1 \pm 16.2$  vs Neumentix =  $82.9 \pm 21.5/\text{mm}^2$ ,  
261  $**p < 0.01$ ), CML (vehicle =  $123.8 \pm 21.4$  vs Neumentix =  $90.4 \pm 20.6/\text{mm}^2$ ,  $**p < 0.01$ ) and 8-OHdG  
262 (vehicle =  $113.5 \pm 18.5$  vs Neumentix =  $85.7 \pm 6.2/\text{mm}^2$ ,  $**p < 0.01$ ). There was no significant  
263 difference between the brains of vehicle-treated sham mice and Neumentix-treated sham mice (Fig.  
264 5).

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## Discussion

268 RA has been reported as very effective for treating memory deficits in ischemic mice (Fonteles  
269 et al., 2016; Cui et al., 2018) and is widely known for its anti-obesity, anti-apoptosis, and anti-aging

270 effects, among others (Nadeem et al., 2019).

271 RA is the main component of Neumentix, which maintained the body weight of mice 5 days  
272 after tMCAO in the present study (Fig. 2). The OXY-adsorbent test and the d-ROM test revealed that  
273 Neumentix had an antioxidative effect and reduced ROS in blood serum (Fig. 3). Neumentix treatment  
274 reduced brain infraction volume (Fig. 4) and the expression of three oxidative stress markers (Fig. 5).

275 The antioxidative effect of Neumentix is mainly derived from its main component, RA. The  
276 structure of RA has the direct antioxidative effect. The hydroxyl groups of RA give electrons to free  
277 radicals in the body making the free radicals non-toxic (Lee et al., 2016). RA has four hydroxyl groups  
278 that lie adjacent to each other, a structure that has a high antioxidative effect (Villaño et al., 2007).  
279 Therefore, RA was expected to have greater antioxidative power than other phenols. The indirect effect  
280 of RA was also reported. In a stroke model mice experiment, RA upregulated Nrf2 and HO-1. Nrf2 is  
281 a transcriptional factor with an antioxidative enzyme group and HO-1 is its downstream molecule.  
282 Inhibition of the PI3K/Akt pathway, which is the upstream pathway of Nrf2, downregulated Nrf2  
283 expression (Cui et al., 2018). RA activated Nrf2 and HO-1 via the PI3K/Akt pathway. The activation  
284 of Nrf2 and HO-1 led not only to an increase of antioxidative enzyme, but also to a decrease of NF-  
285  $\kappa$ B, Cox2, etc. This decrease suppressed excessive inflammation and conserved neurons and the blood  
286 brain barrier (Minhaj et al., 2017; Oliveira et al., 2019). In addition, RA upregulated Bcl-2 expression  
287 and downregulated Bax in stroke model mice experiments while reducing the dopamine degradative  
288 enzyme and increasing dopamine concentration in the brain (Hase et al., 2019). Thus, RA is expected  
289 to work as a preventive agent for vascular parkinsonism after strokes.

290 RA can pass the blood brain barrier and then effectively scavenge toxic oxidative radicals if it  
291 is administered intraperitoneally (Falé et al., 2011) especially when the blood brain barrier is disrupted  
292 due to stroke (Kondo et al., 1997). Neumentix improved agility in young human subjects and  
293 attentional ability in old subjects (Falcone et al., 2018). In addition to age-associated memory  
294 impairment, the quality of working memory and spatial working memory were improved in  
295 Neumentix clinical trials (Nieman et al., 2015; Herrlinger et al., 2018).

296 In addition, Neumentix consists of not only RA, but also more than 50 other unique phenols,  
297 as phytochemicals. The combination of various natural phytochemicals in plants, which protect plants  
298 naturally against environmental stress, often shows synergistic effects (Karimi et al., 2015). Therefore,  
299 Neumentix is expected to be more effective than a mono-therapy of RA against oxidative stress. Daily  
300 intake of antioxidative supplements can be a good preventive measure against strokes. Neumentix is  
301 manufactured from mint, which is widely used as food, and has historically proven safety. The safety  
302 of Neumentix was also confirmed by several genotoxicity and animal studies. Currently, four human  
303 clinical trials of Neumentix have been conducted. For instance, the results of a 90-day double-blinded  
304 clinical trial of supplementation with Neumentix revealed no serious adverse events in human subjects  
305 (Falcone et al., 2018). Therefore, Neumentix is suitable for long-term pre-treatment.

306 In our mice experiment, we used intraperitoneal administration because the amount of intake  
307 by mice might be unstable if orally ingested. Regarding human use, Neumentix also showed an effect  
308 by daily oral intake. For example, in a clinical trial, oral intake of Neumentix significantly improved  
309 the quality of working memory and spatial working memory (Nieman et al., 2015; Herrlinger et al.,  
310 2018). For clinical use, oral intake would be an appropriate route of daily administration.

311 In conclusion, this is the first report to demonstrate Neumentix as an effective, novel, and

312 safe ROS scavenger for the reduction of stroke onset risk and symptom severity.

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### Conflict of Interest

325 The authors disclose no potential conflicts of interest.

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401

## Figure legends

### 402 **Figure 1. Experimental protocol**

403 Mice were divided into the vehicle or Neumentix group. Black arrows indicate intraperitoneal  
404 injection of saline or Neumentix. Mice received 14 days of pre-treatment, followed by 60 min tMCAO.  
405 After 5 days of tMCAO, mice were sacrificed and brain samples were obtained.

406

### 407 **Figure 2. Body weight and rotarod test**

408 Body weight was described as the rate of change (%) compared to the weight one day before tMCAO.  
409 Compared with vehicle mice, Neumentix-treated mice maintained their weight. Values are means  $\pm$   
410 S.D. (\* $p$ <0.05, \*\* $p$ <0.01; student's  $t$ -test).

411

### 412 **Figure 3. Antioxidative markers in serum**

413 Serum levels of antioxidant capacity (OXY-adsorbent test) and oxidative stress (d-ROMs test). Note  
414 the significantly high antioxidant capacity and oxidative stress reduction in Neumentix-treated mice  
415 (\* $p$ <0.05, \*\* $p$ <0.01).

416

### 417 **Figure 4. Hematoxylin eosin staining and infarct volume**

418 HE staining at 5 days after tMCAO, and quantitative analysis of cerebral infarct volume. Infarct areas  
419 are marked as a dotted line. Scale bars: 1 mm.

420

### 421 **Figure 5. Antioxidative marker expressions in brains**

422 Representative photomicrographs of 4-HNE, CML, and 8-OHdG expression (left) and the number of  
423 positive cells (right). Note the significant reduction in positive cells for the three markers in  
424 Neumentix-treated mice (\*\* $p$ <0.01). Scale bars: 100  $\mu$ m.

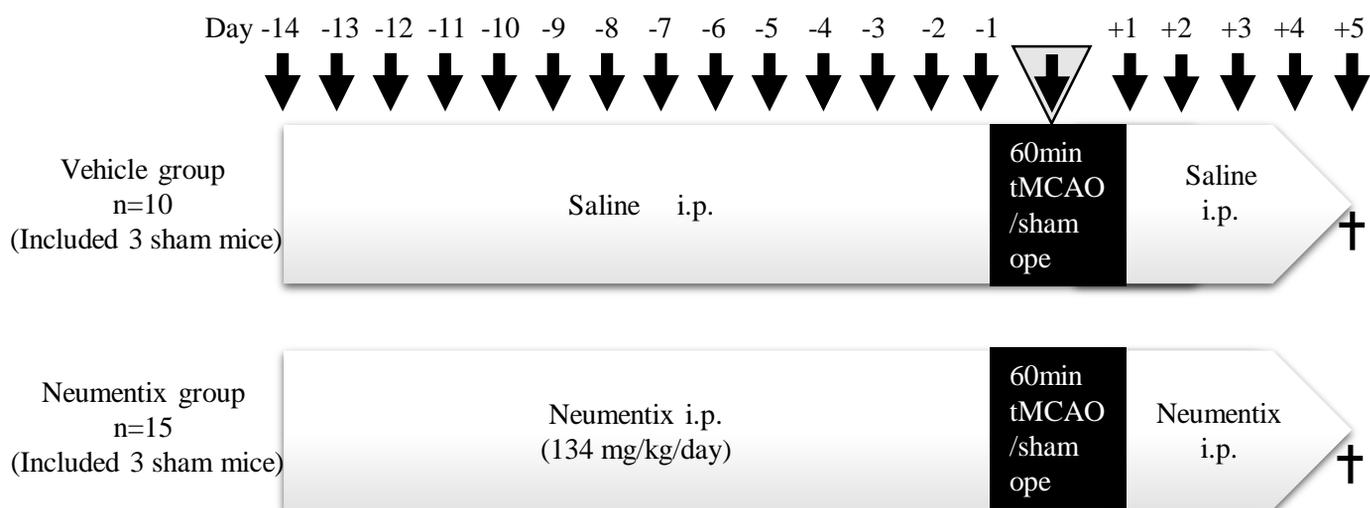


Fig.1

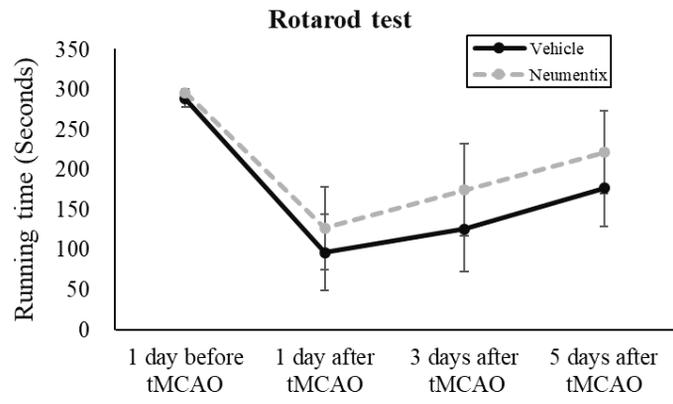
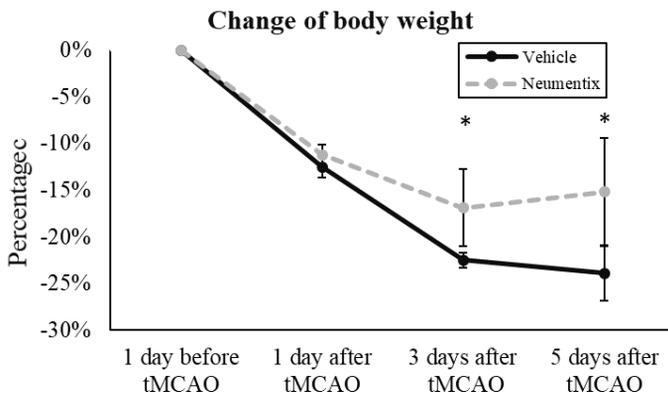


Fig.2

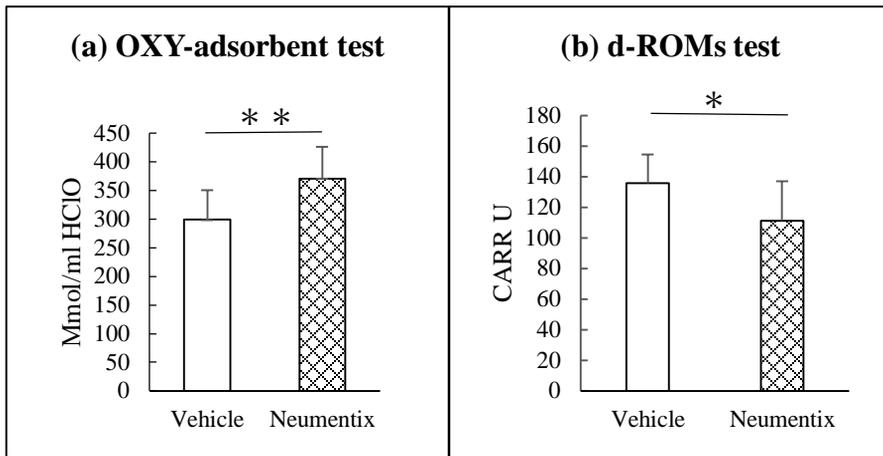


Fig.3

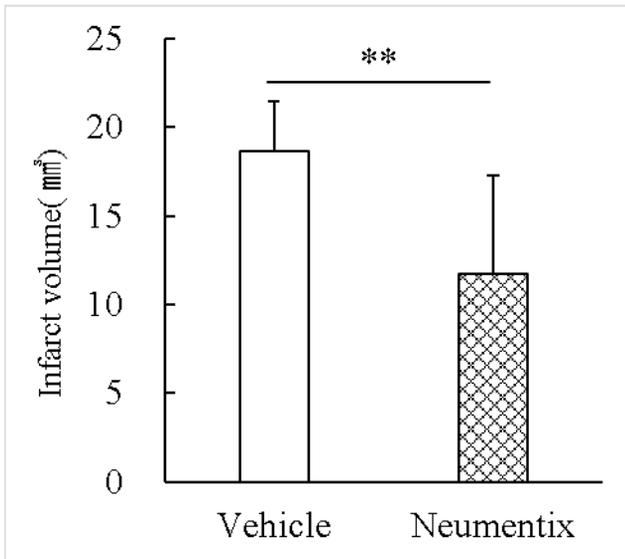
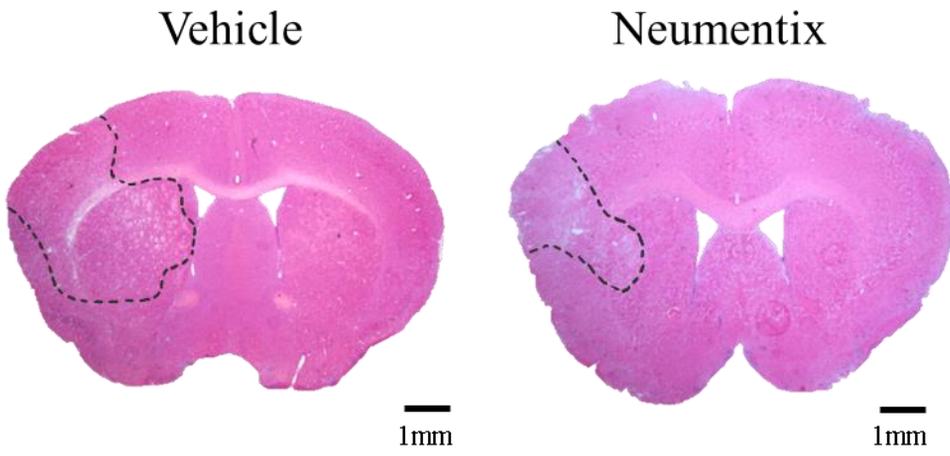


Fig.4

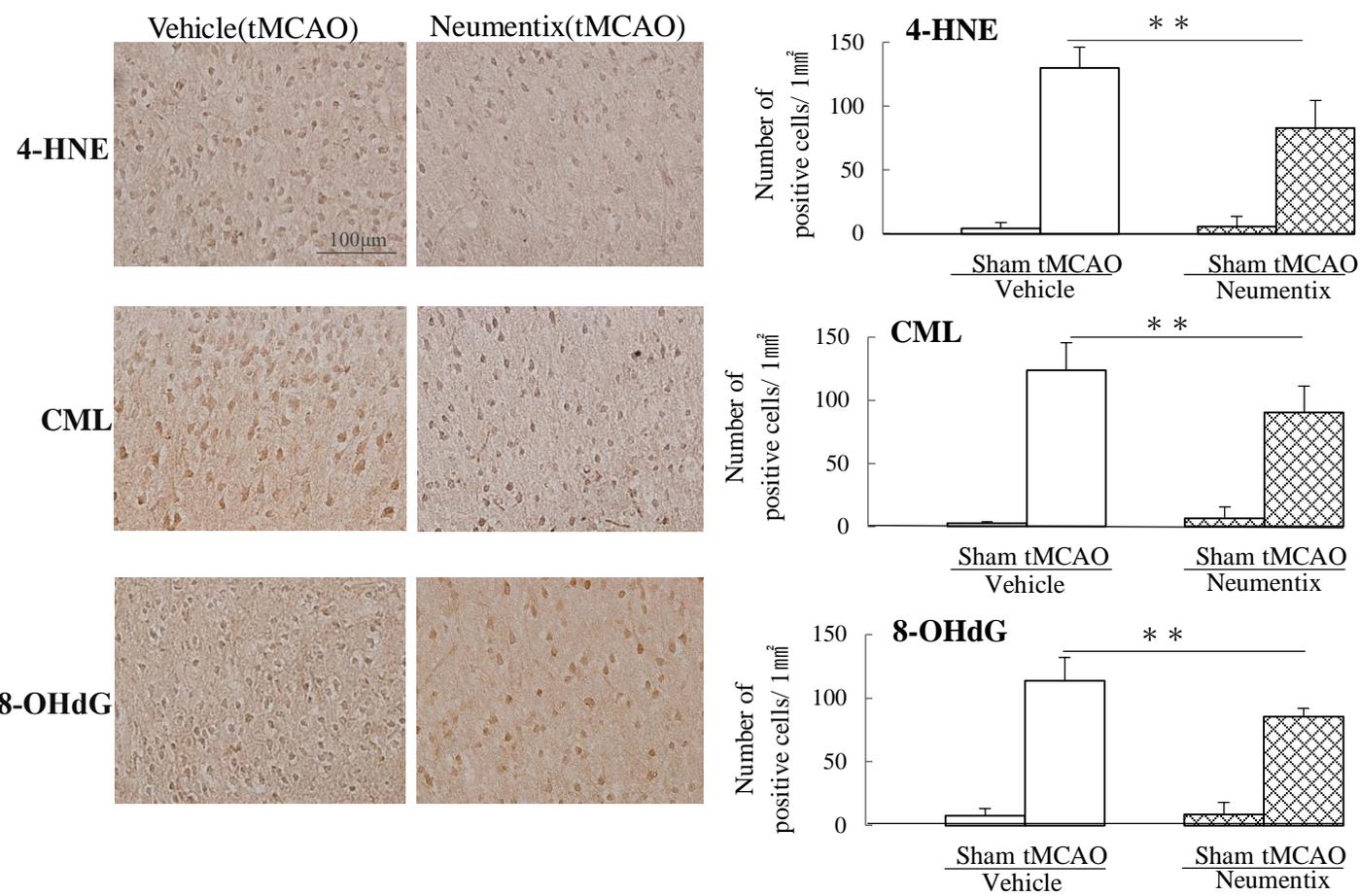


Fig.5