

**Requirement for neuropeptide Y in the development of type-2 responses  
and allergen-induced airway hyperresponsiveness and inflammation**

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**Running head:** Requirement for neuropeptide Y in allergic airway responses

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25    **Abbreviations**

|    |       |                               |
|----|-------|-------------------------------|
| 26 | AHR:  | Airway hyperresponsiveness    |
| 27 | APC:  | Antigen-presenting cell       |
| 28 | BAL:  | Bronchoalveolar lavage        |
| 29 | DC:   | Dendritic cell                |
| 30 | HE:   | Hematoxylin and eosin         |
| 31 | HDM:  | House dust mite               |
| 32 | IFN:  | Interferon                    |
| 33 | IL:   | Interleukin                   |
| 34 | ILC2: | Group 2 innate lymphoid cell  |
| 35 | Mch:  | Methacholine                  |
| 36 | MLN:  | Mediastinal lymph node        |
| 37 | MNC:  | Mononuclear cell              |
| 38 | NIH:  | National Institutes of Health |
| 39 | NPY:  | Neuropeptide Y                |
| 40 | OVA:  | Ovalbumin                     |
| 41 | PAS:  | Periodic acid-Schiff          |
| 42 | RL:   | Lung resistance               |
| 43 | Th1:  | T helper type 1               |
| 44 | Th2:  | T helper type 2               |
| 45 | TSLP: | Thymic stromal lymphopoietin  |
| 46 |       |                               |

## ABSTRACT

Neuropeptide Y (NPY) is a neurotransmitter that is widely expressed in the brain and peripheral nervous system. Various immune cells express the NPY Y1 receptor. NPY modulates these cells via its Y1 receptor; however, involvement of NPY in the pathophysiology of bronchial asthma, particularly airway hyperresponsiveness (AHR), has not been defined. NPY-deficient and wild-type mice were intranasally sensitized and challenged to house dust mite (HDM) extract, and airway responses were monitored. After sensitization and challenge, NPY-deficient mice showed significantly lower AHR than wild-type mice, and numbers of eosinophils and levels of type-2 cytokines [interleukin (IL)-4, IL-5, and IL-13] in bronchoalveolar lavage fluid were significantly lower. Type-2 cytokine production from splenic mononuclear cells of HDM-sensitized mice was also significantly lower in NPY-deficient mice. Flow cytometry analysis showed that the numbers of CD4 T cells and CD11c<sup>+</sup> antigen-presenting cells (APCs) were significantly lower in the lungs of NPY-deficient mice than in wild-type mice following sensitization and challenge. Significantly fewer CD11c<sup>+</sup> APCs phagocytosed HDM in the mediastinal lymph nodes of NPY-deficient mice than in those of wild-type mice. Treatment with BIBO 3304, a NPY receptor antagonist, significantly suppressed development of HDM-induced AHR and inflammation in wild-type mice. These data identify an important contribution of NPY to allergen-induced AHR and inflammation through accumulation of dendritic cells in the airway and promotion of the type-2 immune response. Thus, manipulating NPY represents a novel therapeutic target to control allergic airway responses.

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70

71    **Key words:** airway hyperresponsiveness, allergic airway inflammation, asthma, NPY, Y1

72    receptor antagonist

73     **INTRODUCTION**

74             Bronchial asthma is characterized by airway inflammation and airway  
75 hyperresponsiveness (AHR). Airway inflammation results from the accumulation of  
76 activated eosinophils and T cells at the site of inflammation. T cells, particularly T  
77 helper (Th) type-2 cells, which release interleukin (IL)-4, IL-5, and IL-13, play pivotal  
78 roles in the development of allergic airway inflammation and AHR (1, 2, 21). Current  
79 management based on inhaled corticosteroids and long-acting  $\beta$ 2-adrenergic agonists is  
80 effective in controlling bronchial asthma in most patients. However, 5–10% of patients  
81 with asthma respond poorly to high doses of inhaled corticosteroid and/or systemic  
82 corticosteroid and develop prolonged inflammatory cell infiltration in the airways (15).  
83 In such patients with so-called “severe asthma” or “refractory asthma,” uncontrolled and  
84 frequently exacerbated asthmatic symptoms greatly impair quality of life and have a  
85 considerable impact on healthcare costs (17). Therefore, development of an effective  
86 and novel pharmacotherapy is warranted.

87             Neuropeptide Y (NPY) is a 36-amino-acid peptide neurotransmitter that is  
88 widely expressed in the brain. NPY regulates a broad range of functions, such as  
89 feeding, anxiety, memory, and circadian rhythms. NPY is released from peripheral  
90 sympathetic nerves and is important in the regulation of blood pressure and energy  
91 homeostasis (23). Immunohistochemistry of lung biopsies has shown that lung tissue is  
92 highly innervated with NPY-positive nerve fibers entering the bronchus-associated  
93 lymphoid tissue, the branches of the pulmonary artery (13), and the respiratory tract  
94 (29). During sympathetic stimulation, NPY is co-released with norepinephrine in the  
95 lymph nodes close to immune cells (9, 38). The Y-1 receptor, an NPY receptor, is  
96 expressed on various immune cells, such as B cells, CD4 and CD8 T cells,

97 macrophages, dendritic cells (DCs), natural killer cells, and mast cells (36), and NPY  
98 acts on these cells via its Y1 receptor (36). NPY enhances IL-4 production, inhibits  
99 interferon (IFN)-gamma production by Th cells (18), and increases migration of  
100 immature DCs derived from human peripheral blood, which promotes Th2  
101 differentiation (3). Thus, NPY is a potent immunomodulator that skews the immune  
102 profile toward type-2 immunity.

103         Serum NPY levels increase in asthmatic patients (6, 7), and NPY  
104 polymorphisms are associated with an increased risk for asthma in overweight subjects  
105 (16) and young adults (25). Ovalbumin (OVA)-induced eosinophilic airway  
106 inflammation was reported to be lower in NPY- or Y-1-deficient mice compared to  
107 wild-type mice (26). Thus, NPY might be involved in eosinophilic airway inflammation.  
108 However, the role of NPY in the pathophysiology of bronchial asthma has not been well  
109 defined. In particular, the AHR, the most important phenotype of asthma, airway  
110 responses induced by a protease allergen such as house dust mite (HDM) extract, and  
111 the mechanisms of how NPY contributes to allergic airway responses have not been  
112 elucidated.

113         In this study, we investigated the role of NPY in allergen-induced AHR and  
114 inflammation in HDM-sensitized and -challenged mice. We assessed NPY-deficient  
115 (NPY<sup>-/-</sup>) mice and the effects of treatment with an NPY receptor antagonist, and  
116 showed that both approaches attenuated development of AHR, airway inflammation,  
117 and the accumulation of CD11c<sup>+</sup> antigen-presenting cells (APCs) in the airway. Thus,  
118 manipulating NPY may be beneficial for controlling asthmatic responses.

119 **MATERIALS AND METHODS**

120 *Animals.*

121 NPY<sup>-/-</sup> mice (129 background) were purchased from Charles River  
122 Laboratories (Yokohama, Japan). The NPY<sup>-/-</sup> mice were then backcrossed to C57BL/6J  
123 mice (Charles River) for nine generations. Eight-to-ten-week-old female NPY<sup>-/-</sup> mice  
124 and C57BL/6J (NPY<sup>+/+</sup> mice) were used in all experiments. NPY<sup>-/-</sup> mice were viable  
125 and displayed normal reproductive fitness without a striking phenotype. No spontaneous  
126 disease was observed in the NPY<sup>-/-</sup> mice up to 6 months of age, when they were housed  
127 under specific pathogen-free conditions. All experiments were performed in accordance  
128 with the National Institutes of Health (NIH) guidelines. All procedures were conducted  
129 under a protocol approved by the institutional animal care and use committee of  
130 Okayama University (Okayama, Japan).

131

132 *Experimental protocol (sensitization and airway challenge).*

133 The HDM-induced airway-inflammation mouse model was prepared with  
134 reference to a previous report (12). NPY<sup>-/-</sup> and NPY<sup>+/+</sup> mice were sensitized with 15  
135 µg of HDM extract (Greer Laboratories, Lenoir, NC, USA) in 30 µL of PBS by  
136 intranasal instillation on days 0 to 2. The mice were subsequently challenged with 5 µg  
137 of HDM extract in 30 µL of PBS by intranasal instillation on days 14 to 17. AHR was  
138 measured as described below at 24 h after the last challenge, and samples were collected  
139 for further analyses.

140

141 *Administration of the Y1 receptor antagonist.*

142 The Y1 receptor antagonist BIBO3304 ((R)-N-[[4-  
143 (aminocarbonylaminoethyl)-phenyl] methyl]-N2-(diphenylacetyl)-argininamide  
144 trifluoroacetate) (Santa Cruz Biotechnology, Inc., Dallas, TX, USA) or vehicle was  
145 administered by intraperitoneal injection at a rate of 0.1 mg/kg or 1 mg/kg in 200  $\mu$ L of  
146 PBS once per day, from day 13 to 17.

147

#### 148 *Determination of airway responsiveness.*

149 Airway responsiveness was assessed by measuring changes in lung resistance  
150 in response to increasing doses of inhaled methacholine (10) using a FlexiVent<sup>TM</sup> small-  
151 animal ventilator (SCIREQ, Montreal, PQ, Canada). Before testing, the mice were  
152 anesthetized by an intraperitoneal injection of ketamine (80 mg/kg) and xylazine (10  
153 mg/kg), tracheostomized, and mechanically ventilated. No significant differences in  
154 baseline values were observed among the different groups.

155

#### 156 *Bronchoalveolar lavage.*

157 Immediately after assessing airway function, the lungs were lavaged with  
158 Hanks' balanced salt solution ( $2 \times 1$  mL, 37°C) via a tracheal tube. The volume of  
159 bronchoalveolar lavage (BAL) fluid collected was measured in each sample, and the  
160 numbers of cells in the BAL fluid were counted. Cytospin slides were stained with  
161 May-Giemsa stain and differentiated in a blinded fashion by counting at least 200 cells  
162 under a light microscope (33).

163

#### 164 *Lung histology.*



165           The lungs were fixed in 10% formalin, cut around the main bronchus, and  
166 embedded in paraffin blocks. The slides were stained with hematoxylin-eosin and  
167 periodic acid–Schiff (PAS) to identify mucus-containing cells under a light microscope.  
168 The numbers of mucus-containing cells (goblet cells) were counted in more than 10  
169 bronchioles in 10 high-power fields per animal by measuring the length of the  
170 epithelium defined along the basement membrane and luminal area using the NIH Image  
171 Analysis system (14).

172

#### 173 *Lung homogenates.*

174           Lung tissues were frozen at  $-80^{\circ}\text{C}$  immediately after euthanasia. The lung  
175 tissues were mixed with a PBS-0.1% Triton-X100 solution containing proteinase  
176 inhibitors at a 1:2.5 ratio (w:v) (Sigma-Aldrich, St. Louis, MO, USA). The specimens  
177 were homogenized and then centrifuged at 14,000 rpm for 30 min. The supernatants  
178 were used to analyze cytokine levels by enzyme-linked immunosorbent assay (ELISA),  
179 as described below (20).

180

#### 181 *Culture of splenic mononuclear cells.*

182           The spleens of HDM-sensitized mice were removed and placed in PBS. The  
183 cells were dispersed, and mononuclear cells (MNCs) were separated by density gradient  
184 cell centrifugation using Histopaque (Sigma-Aldrich). The cells were washed, counted,  
185 and resuspended to a fixed concentration in RPMI-1640 (Wako Pure Chemical  
186 Industries, Osaka, Japan) containing heat-inactivated 10% fetal calf serum (FCS) and  
187 penicillin/streptomycin. The cells ( $4 \times 10^5$ ) were plated in each well of a 96-well round-  
188 bottom plate and cultured at  $37^{\circ}\text{C}$  in a 5%  $\text{CO}_2$  atmosphere in the presence or absence of

189 10 mg/mL HDM extract. The supernatants were removed at 48 h after the last challenge,  
190 and cytokine levels were analyzed by ELISA as described below (19).

191

192 *Measurement of cytokines and chemokines.*

193 Cytokine levels in the BAL fluid were measured using ELISA. All cytokine and  
194 chemokine ELISAs were performed according to the manufacturers' directions. The  
195 limits of detection were 2 pg/mL for IL-4, 7 pg/mL for IL-5, 1.5 pg/mL for IL-13, 2  
196 pg/mL for IFN-gamma, 5 pg/mL for IL-17A, 2.8 pg/mL for IL-33, 0.71 pg/mL for  
197 thymic stromal lymphopoietin (TSLP), and 0.01 ng/mL for NPY. All kits, except that for  
198 NPY (EMD Millipore Corp., Billerica, MA, USA), were purchased from R&D Systems  
199 (Minneapolis, MN, USA). Lung homogenates were prepared as described previously  
200 (20).

201

202 *Lung cell isolation.*

203 Lungs of HDM-sensitized and -challenged mice were separated from the  
204 associated lymph nodes, removed, and placed in PBS containing 10% heat-inactivated  
205 FCS. The lung tissues were minced and incubated for 1 h at 37°C in 5 ml PBS  
206 containing 0.05% collagenase I (Sigma-Aldrich). The lung tissues were dispersed by  
207 passing through a 20-G needle several times, and the suspensions were strained through  
208 a cell strainer. The pulmonary MNCs were isolated by density-gradient cell  
209 centrifugation over Histopaque (Sigma-Aldrich) (11).

210

211 *Flow cytometry.*

212 The cells were incubated with antigen-presenting cell (APC)-conjugated anti-  
213 CD3, phycoerythrin (PE)-conjugated anti-CD8, FITC-conjugated anti-CD4, APC-  
214 conjugated anti-CD11b, and PE-conjugated anti-CD11c antibodies (BD Biosciences,  
215 San Diego, CA, USA), and then analyzed by flow cytometry using a MACSQuant  
216 Analyzer (Miltenyi Biotec, Bergisch Gladbach, Germany).

217

218 *Analyses of group 2 innate lymphoid cells (ILC2s).*

219 The cells isolated from digested lungs were stained with biotin-conjugated  
220 antibody mixtures for lineage markers (CD4, CD5, CD8, CD11c, CD11b, CD19, NK1.1,  
221 Gr-1, TER119, FcεRI, and B220), Pacific blue-conjugated anti-Sca-1, PECy7-  
222 conjugated c-Kit (CD117), APC-conjugated anti-IL-7R (CD127), FITC-conjugated anti-  
223 T1/ST2, APC-Cy7-conjugated anti-CD25, and PE-conjugated anti-streptavidin, and  
224 analyzed using the MACSQuant Analyzer. Lin<sup>-</sup>Scac-Kit<sup>+</sup>IL-7RCD25ST2<sup>dim</sup> cells were  
225 identified as lung ILC2s (31). The data were analyzed using FlowJo software (TreeStar,  
226 Ashland, OR, USA). APC-Cy7-conjugated anti-CD25; Pacific blue-conjugated anti-Sca-  
227 1; biotin-conjugated anti-CD4, anti-CD5, anti-CD8, anti-CD11b, anti-NK1.1, anti-Gr-1,  
228 anti-TER119, and anti-B220; and PE-conjugated anti-streptavidin were obtained from  
229 BD Biosciences. FITC-conjugated anti-T1/ST2 was obtained from MD Bioscience (St  
230 Paul, MN, USA). APC-conjugated anti-IL-7R and biotin-conjugated anti-FcεRI were  
231 obtained from BioLegend (San Diego, CA, USA). PECy7-conjugated c-Kit was  
232 purchased from eBioscience (La Jolla, CA, USA). Biotin-conjugated anti-CD11c and  
233 anti-CD19 were obtained from TONBO Biosciences (San Diego, CA, USA).

234

235 *DC migration assay.*

236 DC migration from the lungs to the mediastinal lymph nodes (MLNs) was  
237 analyzed. The HDM extract was labeled with a DyLight 405 Microscale Protein  
238 Labeling kit (Thermo Scientific, Waltham, MA, USA). NPY<sup>-/-</sup> mice and NPY<sup>+/+</sup> mice  
239 were sensitized with unlabeled HDM extract on days 0–2 and were challenged with  
240 labeled HDM extract on days 14–17. The cells were harvested from the MLNs at 24 h  
241 after the challenge and analyzed by flow cytometry.

242

#### 243 *Immunohistochemistry for NPY*

244 Immunohistochemistry was performed on paraffin sections using an automated  
245 Bond Max stainer (Leica Biosystems, Melbourne, Australia) with mouse monoclonal  
246 anti-NPY antibody (ab112373, dilution 1:1,000; Abcam, Cambridge, UK) as the primary  
247 antibody. NPY-positive cells were examined under light microscopy (final  
248 magnification: ×400). Evaluation of immunostaining was performed by an expert  
249 pathologist (Y.G.).

250

#### 251 *Statistical analysis.*

252 All results are expressed as mean ± standard error. Analysis of variance was  
253 used to determine differences between the groups. Pairs of samples distributed  
254 parametrically were compared using the unpaired two-tailed Student's *t*-test, and  
255 samples distributed nonparametrically were compared using the Mann–Whitney *U*-test.  
256 *P*-values < 0.05 were considered significant.

## 257 RESULTS

### 258 *AHR and allergic airway inflammation decrease in NPY<sup>-/-</sup> mice*

259 AHR was monitored at 24 h after the last HDM challenge in NPY<sup>+/+</sup> and  
260 NPY<sup>-/-</sup> mice. Sensitization and challenge by intranasal administration of HDM extract  
261 increased AHR in NPY<sup>+/+</sup> mice, as shown by a significant increase in lung resistance  
262 compared to that in non-sensitized and non-challenged mice (Fig. 1A). By contrast,  
263 sensitized and challenged NPY<sup>-/-</sup> mice developed less of an increase in lung resistance  
264 compared to sensitized and challenged NPY<sup>+/+</sup> mice, but, nonetheless, the changes  
265 were significantly greater than in NPY<sup>-/-</sup> mice that were non-sensitized and non-  
266 challenged.

267 We assessed BAL fluid following sensitization and HDM challenge.  
268 Eosinophils increased significantly in sensitized and challenged mice, compared to non-  
269 sensitized and non-challenged mice. However, the numbers of eosinophils were  
270 significantly lower in the BAL fluid of NPY<sup>-/-</sup> mice than in that of NPY<sup>+/+</sup> mice (Fig.  
271 1B). The numbers of PAS-positive goblet cells were also significantly lower in NPY<sup>-/-</sup>  
272 mice compared to numbers in NPY<sup>+/+</sup> mice following sensitization and HDM challenge  
273 (Fig. 1C, D).

274

### 275 *Airway cytokine levels*

276 Sensitization and challenge with HDM extract resulted in significant increases  
277 in IL-4, IL-5, and IL-13 levels in NPY<sup>+/+</sup> mice. By contrast, NPY<sup>-/-</sup> mice had  
278 significantly lower levels of IL-4, IL-5, and IL-13 following sensitization and challenge

279 (Fig. 2A–C). Levels of IFN-gamma and IL-17A did not differ between NPY<sup>-/-</sup> mice and  
280 NPY<sup>+/+</sup> mice (Fig. 2D, E).

281

282 *Splenic MNCs from NPY<sup>-/-</sup> mice release lower levels of Th2 cytokines*

283 To determine whether the attenuated Th2 cytokine secretion observed in NPY-  
284 <sup>-/-</sup> mice was due to impaired Th2 cytokine production, we assessed cytokine production  
285 in splenic MNCs *in vitro*. The levels of IL-5, IL-13, and IFN-gamma from HDM re-  
286 stimulated splenic MNCs in NPY<sup>-/-</sup> mice were significantly lower than in those of  
287 NPY<sup>+/+</sup> mice (Fig. 2G–I). No significant differences were observed in the IL-4 levels of  
288 the two strains of mice, although a lower trend was observed in NPY<sup>-/-</sup> mice (Fig. 2F).  
289 These data imply that NPY contributes to systemic sensitization of Th2 cells.

290

291 *The numbers of CD4 T cells and CD11c<sup>+</sup> APCs in the lungs decrease in NPY<sup>-/-</sup> mice*

292 To determine whether the accumulation of T cells and CD11c<sup>+</sup> APCs in the  
293 airways of sensitized and challenged mice was affected by NPY expression, we assessed  
294 the numbers of T cells and CD11c<sup>+</sup> cells in the lungs. Numbers of CD4 T cells and  
295 CD11c<sup>+</sup> APCs were significantly lower in NPY<sup>-/-</sup> mice than in NPY<sup>+/+</sup> mice following  
296 sensitization and challenge (Fig. 3A, C); however, numbers of CD8<sup>+</sup> T cells in the two  
297 strains of mice did not differ (Fig. 3B).

298

299 *Migration of CD11c<sup>+</sup> APCs from lungs to the MLNs is attenuated in NPY<sup>-/-</sup> mice*

300 NPY induces migration of human DCs (3); therefore, we investigated migration  
301 of DCs in this model. The numbers of violet<sup>+</sup> and CD11c<sup>+</sup> APCs that phagocytosed

302 labeled-HDM in the MLNs of NPY<sup>-/-</sup> mice were significantly lower in sensitized and  
303 labeled-HDM challenged mice than in NPY<sup>+/+</sup> mice (Fig. 4B).

304 These data indicate that NPY plays a crucial role in the migration of DCs to regional  
305 lymph nodes, mediating type-2 immune responses, and eliciting allergic airway  
306 responses.

307

#### 308 *Numbers of ILC2s and IL-33 levels in the lungs*

309 Numbers of ILC2s and IL-33 levels increased significantly in sensitized and  
310 challenged mice compared to non-sensitized and non-challenged mice. However, there  
311 were no differences between NPY<sup>+/+</sup> and NPY<sup>-/-</sup> mice (Fig. 5A–C).

312

#### 313 *NPY expression in lung tissue*

314 NPY expression in NPY<sup>+/+</sup> mice was evaluated by immunohistochemistry 24  
315 hours after the last challenge (Fig. 5D). NPY expression was found mainly in alveolar  
316 walls, vascular endothelial cells, and some of the inflammatory cells including  
317 mononuclear cells and granulocytes around the bronchus of HDM-sensitized and -  
318 challenged NPY<sup>+/+</sup> mice (Fig. 5D c-e), whereas relatively few NPY<sup>+</sup> cells were  
319 detected in non-sensitized and non-challenged NPY<sup>+/+</sup> mice (Fig. 5D a, b).

320

#### 321 *The Y1 receptor antagonist suppresses AHR, allergic airway inflammation, and cytokine* 322 *levels in the lungs*

323 We assessed the AHR of HDM-sensitized and -challenged mice treated with  
324 vehicle, low-dose Y1 receptor antagonist (0.1 mg/kg/day), and high-dose Y1 receptor  
325 antagonist (1 mg/kg/day) at 24 h after the last HDM challenge. The mice treated with

326 vehicle developed AHR more frequently than did the non-sensitized and non-challenged  
327 mice. Administering the high-dose Y1 receptor antagonist significantly attenuated the  
328 increase in AHR compared to the vehicle-treated mice following sensitization and  
329 challenge (Fig. 6A).

330         The numbers of inflammatory cells in BAL fluid were assessed in vehicle and  
331 Y1 receptor-antagonist-treated mice. The numbers of total cells, lymphocytes, and  
332 eosinophils were significantly lower in the BAL fluid of high-dose Y1 receptor-  
333 antagonist-treated mice that were sensitized and challenged compared to numbers in  
334 vehicle-treated mice (Fig. 6B). The numbers of PAS-positive goblet cells were  
335 significantly lower in high-dose Y1 receptor antagonist-treated mice than in the vehicle-  
336 treated mice following sensitization and challenge with HDM extract (Fig. 6C, D).

337         We then measured cytokine levels in BAL fluid using ELISA. Sensitization and  
338 challenge with HDM extract resulted in significant increases in IL-5 and IL-13 levels in  
339 the vehicle-treated mice. By contrast, mice treated with the high-dose Y1 receptor  
340 antagonist showed significantly lower levels of IL-5 and IL-13 following sensitization  
341 and challenge (Fig. 6E–G).

342

#### 343 *The Y1 receptor antagonist suppresses CD11c+ APCs in the lungs*

344         To determine whether accumulation of immune cells in the airways of  
345 sensitized and challenged mice was affected by the Y1 receptor antagonist treatment, we  
346 assessed the numbers of T cells and CD11c+ APCs in the lungs. The numbers of  
347 CD11c+ APCs were significantly lower in the mice treated with the high-dose Y1  
348 receptor antagonist than in the mice treated with vehicle following sensitization and  
349 challenge, although the numbers of CD4 T cells and CD8 T cells did not differ among



350 the groups (Fig. 7A–C). These data imply that the NPY-Y-1 axis contributes to  
351 migration of DCs to the airway and induces allergic airway responses.

352

353

## 354 **DISCUSSION**

355           In this study, we demonstrated that NPY contributes to both systemic  
356 sensitization and local activation of Th2 cells, as well as to the accumulation of CD11c+  
357 APCs in the airways and migration of CD11c+ APCs to MLNs following sensitization  
358 and challenge with HDM extract. These data identify the important contribution of NPY  
359 to allergen-induced AHR and airway inflammation through migration of DCs to  
360 regional lymph nodes and promotion of the type-2 immune response. We also  
361 demonstrated for the first time that a Y1 receptor antagonist suppressed allergen-  
362 induced AHR and airway inflammation, which are important bronchial asthma  
363 phenotypes. Thus, manipulating NPY represents a novel therapeutic target to control  
364 allergic airway responses.

365           T cells, particularly Th2 cells that release IL-4, IL-5, and IL-13, play pivotal  
366 roles in the development of AHR and eosinophilic inflammation (1, 2, 21). Furthermore,  
367 DCs, representative of lung APCs, are critical for activating lung immune responses  
368 (30). In our study, the numbers of CD4 T cells in the lungs and the levels of Th2  
369 cytokines in BAL fluid were significantly lower in NPY<sup>-/-</sup> mice than in NPY<sup>+/+</sup> mice.  
370 The numbers of CD11c+ APCs, which are recognized as DCs in the lung, were also  
371 significantly lower in NPY<sup>-/-</sup> mice. Furthermore, the numbers of CD11c+ APCs, which  
372 phagocytosed fluorescently labeled HDM in the MLNs of NPY<sup>-/-</sup> mice, were  
373 significantly lower than those of NPY<sup>+/+</sup> mice following sensitization and challenge.  
374 Buttari et al. reported that NPY induces dose-dependent migration of human monocyte-  
375 derived immature DCs by activating extracellular regulated kinase and p38 mitogen-  
376 activated protein kinases, and that this phenomenon was suppressed by a Y1 receptor  
377 antagonist (BIBP3226) (3). Wheway et al. (36) reported that activation of bone

378 marrow-derived Y1<sup>-/-</sup> DCs with lipopolysaccharide led to normal expression of  
379 activation markers; however, uptake of an antigen, such as OVA-FITC or FITC-dextran,  
380 by immature Y1<sup>-/-</sup> DCs decreased compared to that of Y1<sup>+/+</sup> immature DCs as  
381 determined by a flow cytometry analysis. In our study, the numbers of CD11c<sup>+</sup> APCs  
382 also decreased significantly in Y1 receptor-antagonist-treated mice compared to  
383 vehicle-treated mice. Thus, the NPY-Y1 axis plays a critical role in the function of DCs  
384 in the process of acquired immunity of HDM-induced airway inflammation.

385 T cells express the Y1 receptor; therefore, NPY can directly act on T cells. It  
386 has been reported that NPY enhances IL-4 production and inhibits IFN-gamma  
387 production by Th cells (18), and in the absence of any additional factors, directly  
388 induces marked secretion of cytokines (IL-2, IFN-gamma, IL-4, and IL-10) from T cells  
389 (22). According to these *in vitro* findings, NPY directly induces the cytokine secretion  
390 ability of Th cells, particularly Th2 cytokines. *Ex vivo* re-stimulation of MLN MNCs  
391 with OVA resulted in reduced levels of IL-5 and unchanged levels of IFN-gamma in  
392 NPY<sup>-/-</sup> mice after sensitization and challenge with OVA, compared to NPY<sup>+/+</sup> mice  
393 (26). In their model, sensitization and challenge with OVA did not induce IFN-gamma  
394 production by T cells. By contrast, in our model, HDM sensitization induced the Th2  
395 and Th1 subtypes. In our study, *ex vivo* re-stimulation of splenic MNCs with HDM  
396 extract resulted in lower production of IL-5, IL-13, and IFN-gamma in NPY<sup>-/-</sup> mice  
397 compared to that in NPY<sup>+/+</sup> mice. *In vivo* sensitization and a deficit of NPY may reduce  
398 migration of DCs and differentiation of effector Th cells. DCs have been reported to  
399 affect the release of NPY and the activation of Y1 receptors (35, 36); therefore, NPY<sup>-/-</sup>  
400 DCs were considered to impair its function during *ex vivo* re-stimulation of splenic  
401 MNCs with HDM extract in our study.

402 ILC2s, a newly identified innate immune cell with the capacity for Th2  
403 cytokine production in response to airway epithelial cell–derived IL-25, IL-33, and  
404 TSLP, have been reported to induce the innate immune response and enhance Th2  
405 allergic inflammation (8, 28). ILC2s are associated with corticosteroid-resistant  
406 pathophysiology in patients with severe asthma (17). In our study, the levels of IL-33  
407 and the numbers of ILC2s in the lung were analyzed; however, they were not lower in  
408 NPY<sup>-/-</sup> mice or Y1 receptor-antagonist–treated mice than in NPY<sup>+/+</sup> mice or vehicle-  
409 treated mice. Thus, although Y1 and Y5 receptors are expressed on airway epithelial  
410 cells (27), the NPY-Y1 axis may not play a critical role in the secretion of innate  
411 cytokines by airway epithelial cells. By contrast, Wallrapp et al. (34) reported that  
412 ILC2s express the neuropeptide receptor Nmur1 in steady and activated states.  
413 Neuromedin U, which is a ligand of Nmur1, activates ILC2s *in vitro*, and *in vivo* co-  
414 administration of NMU with IL-25 strongly amplifies allergic inflammation (34). In our  
415 study, numbers of ILC2s did not differ between NPY<sup>-/-</sup> and NPY<sup>+/+</sup> mice; however,  
416 the effector function of ILC2s was not fully investigated. Therefore, neuro-immune  
417 crosstalk in ILCs needs to be further investigated.

418 NPY receptors are G-protein-coupled receptors, and consist of at least five  
419 subtypes (Y1, Y2, Y4, Y5, and Y6) (23). NPY modulates the immune system,  
420 particularly via its Y1 receptor, and the Y1 receptor is expressed on various immune  
421 cells (36). BIBO3304 is an antagonist of the Y1 receptor, which suppresses NPY-  
422 induced food intake after an intraventricular injection (37). In our study, mice treated  
423 with a Y1 receptor antagonist did not show the side effect of reduced body weight. The  
424 migration rate of BIBO3304 to the central nervous system was low; therefore, food  
425 intake might not have been affected. Treatment with the Y1 receptor antagonist only

426 during the challenge phase suppressed AHR and airway inflammation in our study. In  
427 the *ex vivo* re-stimulation of splenic MNCs with HDM extract experiment, we showed  
428 the critical role of NPY in HDM sensitization. DCs present antigens to effector Th cells,  
429 and Th cells secrete type-2 cytokines in the airways during the challenge phase. Our data  
430 imply that the Y1 receptor antagonist inhibited those processes and was sufficient to  
431 suppress the asthmatic phenotype, indicating the possibility of a treatment for asthmatic  
432 patients.

433         Li et al. showed that increased expression of NPY in airway epithelium of  
434 forkhead box p1/p4-deficient mice induced an AHR phenotype in a paracrine manner  
435 with airway smooth muscle but without airway inflammation, and that NPY amplified  
436 methacholine-induced bronchoconstriction in vitro (24). Wu et al. showed that early  
437 postnatal exposure of mice to side-stream tobacco smoke increased the density of NPY  
438 nerve fibers in trachea smooth muscle and AHR (39). Thus, the bronchoconstricting  
439 action of NPY may worsen the asthmatic phenotype. In contrast, although NPY was  
440 reported to cause a contraction in isolated airways of guinea pigs, its  
441 bronchoconstricting action was very small, where less than 6% of responses were  
442 elicited by standard spasmogens (4, 32). In our study, methacholine-induced  
443 contractions in naive NPY<sup>-/-</sup> mice did not differ from that in naive NPY<sup>+/+</sup> mice, and  
444 the systemic Y1 receptor antagonist treatment did not suppress methacholine-induced  
445 contractions in naive mice. Although NPY is released with norepinephrine by  
446 sympathetic nerve stimulation (9, 38), our study indicates that the role of NPY in  
447 cholinergic airway contraction is small under normal conditions. It has also been  
448 reported that in most mammalian species, including mice, there is little innervation of

449 airway smooth muscle by sympathetic fibers (5). Thus, the direct bronchoconstricting  
450 action of NPY itself on airway smooth muscle in vivo is controversial.

451 Repeated allergen challenges have been reported to increase the levels of NPY  
452 in BAL fluid (27), and we showed that NPY could increase AHR through activation of  
453 the immune response in airway inflammation. Interestingly, NPY was expressed in  
454 several cell types in the lung tissue following sensitization and challenge in our study.  
455 However, it remains unclear which cell type mainly secretes NPY for eliciting AHR.  
456 Further investigations are warranted.

457 In summary, we identified a critical role for NPY in the development of AHR,  
458 airway inflammation, accumulation of CD11c<sup>+</sup> APCs in the airways, migration of  
459 CD11c<sup>+</sup> APCs in MLNs, and activation of Th2 cells. Furthermore, we demonstrated that  
460 a Y1 receptor antagonist attenuated AHR and airway inflammation. Our data imply that  
461 controlling the NPY-Y1 axis will provide a novel interventional strategy for treating  
462 asthma.

463

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474

#### 475   **DISCLOSURES**

476           No conflicts of interest, financial or otherwise are declared by the authors.

477

478

#### 479   **AUTHOR CONTRIBUTIONS**

480           Conceived and designed research: NO, NM; Performed experiments: NO, AT,  
481   DM, SS, UF, JI, YG; Analyzed data: NO, NM, AT, JI, YG; Interpreted results of  
482   experiments: NO, NM, AT, DM, SS, UF, KK, AK, YM; Prepared figures: NO, NM, AT,  
483   YG; Drafted manuscript: NO, NM, AT; Approved final version of manuscript: NO,  
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- 613

614

## 615 **FIGURE LEGENDS**

616 Figure 1. Neuropeptide Y (NPY)-/- mice develop reduced airway hyper-responsiveness  
617 (AHR) and airway inflammation following sensitization and challenge. A: AHR in  
618 NPY+/+ and NPY-/- mice after sensitization and challenge with HDM. 24 hours after  
619 the last challenge, lung resistance was monitored in response to increasing  
620 concentrations of inhaled methacholine, as described in MATERIALS AND  
621 METHODS. Values are means $\pm$ SE (n= 8–12 in each group). \*P<0.05. B: cellular  
622 composition in bronchoalveolar lavage fluid. Values are means $\pm$ SE (n=8–12 in each  
623 group). Significant differences (\*P <0.05) vs NPY+/+ PBS and NPY-/- PBS mice.  
624 #P<0.05 vs NPY+/+ HDM mice. C: development of goblet cell metaplasia in the  
625 airways of NPY+/+ and NPY-/- mice. D: goblet cell metaplasia was quantified in  
626 periodic acid Schiff (PAS)-stained sections, as described in MATERIALS AND  
627 METHODS. Values are means $\pm$ SE (n=5 in each group). Significant differences (\*P  
628 <0.05) vs NPY+/+ PBS and NPY-/- PBS mice. #P<0.05 vs NPY+/+ HDM mice. NPY,  
629 neuropeptide Y; HDM, house dust mite; Mac, macrophage; Lym, lymphocyte; Neu,  
630 neutrophil; Eos, eosinophil.

631

632 Figure 2. Cytokine levels in bronchoalveolar lavage (BAL) fluid and the lung and  
633 cytokine production from splenic mononuclear cells. A-E: T helper type 2 (Th2)  
634 cytokine levels [interleukin (IL)-4, IL-5 and IL-13] in BAL fluid, interferon (IFN)-  
635 gamma and IL-17 levels in the lung were measured by ELISA, as described in  
636 MATERIALS AND METHODS. Values are means $\pm$ SE (n=8–12 in each group).

637 Significant differences (\*P <0.05) vs NPY<sup>+/+</sup> PBS and NPY<sup>-/-</sup> PBS mice. #P<0.05 vs  
638 NPY<sup>+/+</sup> HDM mice. F-I: Th2 cytokine levels (IL-4, IL-5 and IL-13) and IFN-gamma  
639 levels in supernatants from spleen cultured in the presence or absence of HDM (10  
640 mg/ml) determined by ELISA. Values are means ± SE (n=3–4 in each group). \*P<0.05.  
641 NPY, neuropeptide Y; HDM, house dust mite.

642

643 Figure 3. The numbers of T cells and CD11c<sup>+</sup> antigen-presenting cells (APCs) in the  
644 lung following sensitization and challenge. A-B: the numbers of CD4 and CD8 T cells  
645 in the lung of NPY<sup>+/+</sup> and NPY<sup>-/-</sup> mice after sensitization and challenge. Numbers of  
646 cells in the lung were determined as described in MATERIALS AND METHODS.  
647 Values are means ± SE (n=6–7 in each group). Significant differences (\*P <0.05) vs  
648 NPY<sup>+/+</sup> PBS and NPY<sup>-/-</sup> PBS mice. #P<0.05 vs NPY<sup>+/+</sup> HDM mice. C: the numbers  
649 of CD11c<sup>+</sup> cells in the lungs of NPY<sup>+/+</sup> and NPY<sup>-/-</sup> mice following sensitization and  
650 challenge. Values are means ± SE (n=4–5 in each group). Significant differences (\*P  
651 <0.05) vs NPY<sup>+/+</sup> PBS and NPY<sup>-/-</sup> PBS mice. #P<0.05 vs NPY<sup>+/+</sup> HDM mice. NPY,  
652 neuropeptide Y; HDM, house dust mite.

653

654 Figure 4. The numbers of CD11c<sup>+</sup> violet<sup>+</sup> cells in mediastinal lymph nodes (MLNs) in  
655 NPY<sup>+/+</sup> and NPY<sup>-/-</sup> mice. The numbers of CD11c<sup>+</sup>violet<sup>+</sup>cells which phagocytosed  
656 labeled-HDM in the lungs were counted by flowcytometry in MLN, as described in  
657 MATERIAL AND METHODS. Values are means ± SE (n=5 in each group). \*P <0.05.  
658 NPY, neuropeptide Y; HDM, house dust mite.

659

660 Figure 5. The numbers of group 2 innate lymphoid cells (ILC2s) and interleukin (IL)-33  
 661 and thymic stromal lymphopoietin (TSLP) levels in the lung following sensitization and  
 662 challenge, and NPY expression in lung tissue. A: the numbers of ILC2s in the lung of  
 663 NPY<sup>+/+</sup> and NPY<sup>-/-</sup> mice after sensitization and challenge. Numbers of cells in the  
 664 lung were determined as described in MATERIALS AND METHODS. Values are  
 665 means  $\pm$  SE (n=4–5 in each group). Significant differences (\*P <0.05) vs NPY<sup>+/+</sup> PBS  
 666 and NPY<sup>-/-</sup> PBS mice. There were no differences between NPY<sup>+/+</sup> HDM and NPY<sup>-/-</sup>  
 667 HDM mice. B-C: IL-33 and TSLP levels in the lung were measured by ELISA, as  
 668 described in MATERIALS AND METHODS. Values are means  $\pm$  SE (n=8–12 in each  
 669 group). Significant differences (\*P <0.05) vs NPY<sup>+/+</sup> PBS and NPY<sup>-/-</sup> PBS mice.  
 670 There were no differences between NPY<sup>+/+</sup> HDM and NPY<sup>-/-</sup> HDM mice. D:  
 671 Immunohistochemical staining of NPY in non-sensitized and non-challenged NPY<sup>+/+</sup>  
 672 mice (a, b) and HDM-sensitized and -challenged NPY<sup>+/+</sup> mice (c, d) with different  
 673 magnifications (a and c:  $\times$ 100, b and d:  $\times$ 400). (e) NPY staining in HDM-sensitized and  
 674 -challenged NPY<sup>+/+</sup> mice ( $\times$ 400). Arrowheads: vascular endothelial cells; bold arrows:  
 675 mononuclear cells; thin arrows: granulocytes. NPY expression was evaluated by  
 676 immunohistochemistry 24 hours after the last challenge as described in Materials and  
 677 Methods. NPY<sup>+</sup> cells are indicated by brown staining. NPY, neuropeptide Y; HDM,  
 678 house dust mite.

679

680 Figure 6. Treatment with the Y1 receptor antagonist (Y1Ri) suppresses airway hyper-  
 681 responsiveness (AHR), airway inflammation, and T helper type-2 (Th2) cytokine levels  
 682 in bronchoalveolar lavage (BAL) fluid following sensitization and challenge. A: AHR  
 683 after sensitization and challenge with HDM. 24 hours after the last challenge, lung



684 resistance was monitored in response to increasing concentrations of inhaled  
685 methacholine, as described in MATERIALS AND METHODS. Values are means  $\pm$  SE  
686 (n=8–18 in each group). Significant differences (\*P<0.05) vs PBS/vehicle and  
687 PBS/Y1Ri 1mg/kg mice. #P<0.05 vs HDM/Y1Ri 0.1mg/kg and HDM/Y1Ri 1mg/kg  
688 mice. B: cellular composition in bronchoalveolar lavage fluid. Values are means  $\pm$  SE  
689 (n=8–18 in each group). Significant differences (\*P <0.05) vs PBS/vehicle and  
690 PBS/Y1Ri 1mg/kg mice. #P<0.05 vs HDM/vehicle mice. C: development of goblet cell  
691 metaplasia in the airways. D: goblet cell metaplasia was quantified in periodic acid  
692 Schiff (PAS)-stained sections, as described in MATERIALS AND METHODS. Values  
693 are means  $\pm$  SE (n=6–7 in each group). Significant differences (\*P <0.05) vs  
694 PBS/vehicle and PBS/Y1Ri 1mg/kg mice. #P<0.05 vs HDM/vehicle mice. E-G: Th2  
695 cytokine levels [interleukin (IL)-4, IL-5 and IL-13] in BAL fluid were measured by  
696 ELISA, as described in MATERIALS AND METHODS. Values are means  $\pm$  SE (n=8–  
697 18 in each group). Significant differences (\*P <0.05) vs PBS/vehicle and PBS/Y1Ri  
698 1mg/kg mice. #P<0.05 vs HDM/vehicle mice. HDM, house dust mite; Mac,  
699 macrophage; Lym, lymphocyte; Neu, neutrophil; Eos, eosinophil.

700

701 Figure 7. The numbers of T cells and CD11c<sup>+</sup> APCs in the lung following treatment  
702 with the Y1 receptor antagonist (Y1Ri). A-B: the numbers of CD4 and CD8 T cells in  
703 the lung after sensitization and challenge. Numbers of cells in the lung were determined  
704 as described in MATERIALS AND METHODS. Values are means  $\pm$  SE (n=5–9 in each  
705 group). Significant differences (\*P <0.05) vs PBS/vehicle mice. There were no  
706 differences between HDM/vehicle and HDM/Y1Ri 1mg/kg mice. C: the numbers of

707 CD11c+ cells in the lungs of NPY+/+ and NPY-/- mice following sensitization and  
708 challenge. Values are means  $\pm$  SE (n=9 in each group). Significant differences (\*P  
709 <0.05) vs PBS/vehicle mice. #P<0.05 vs HDM/vehicle mice. NPY, neuropeptide Y;  
710 HDM, house dust mite.

Figure 1

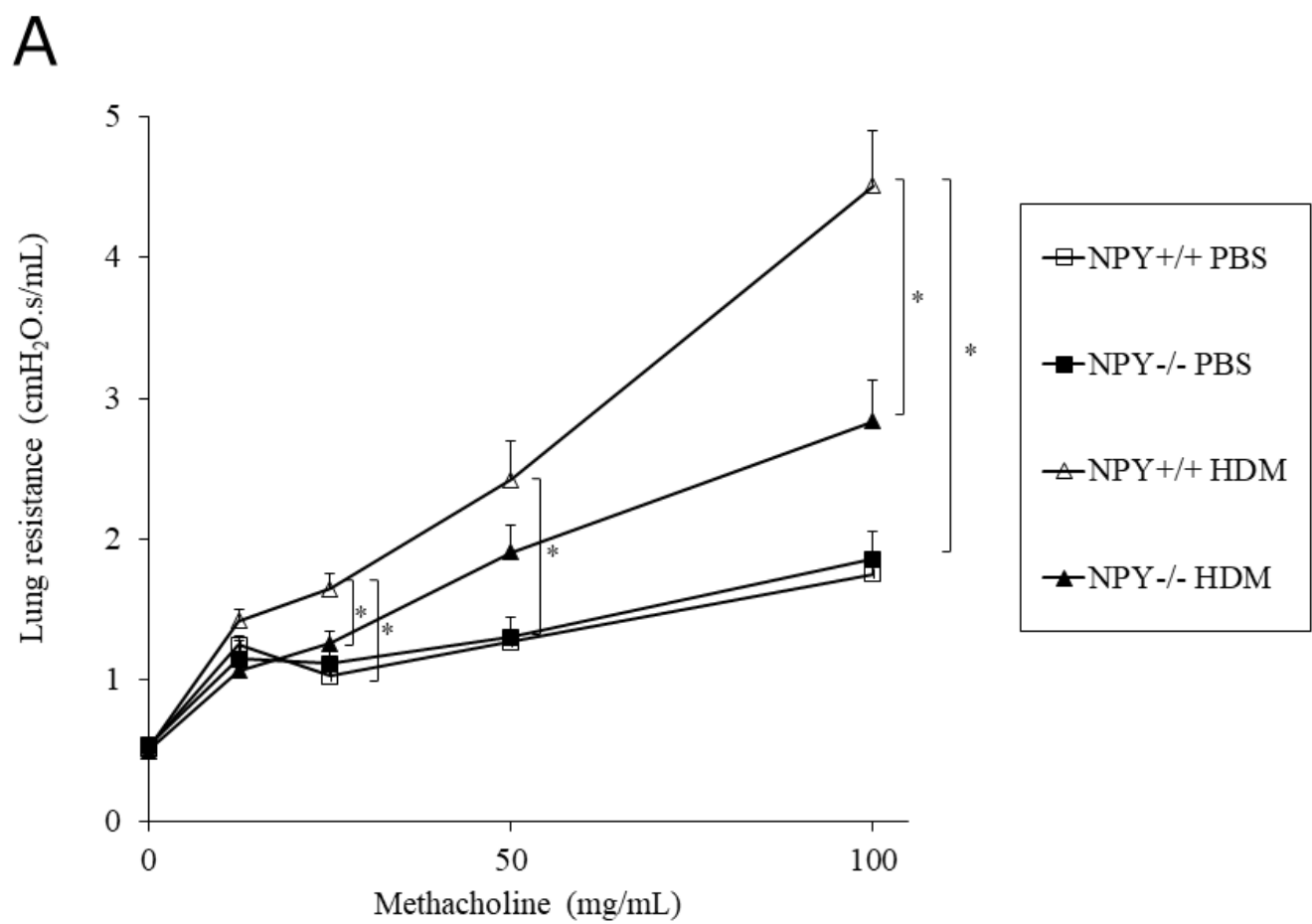


Figure 1

B

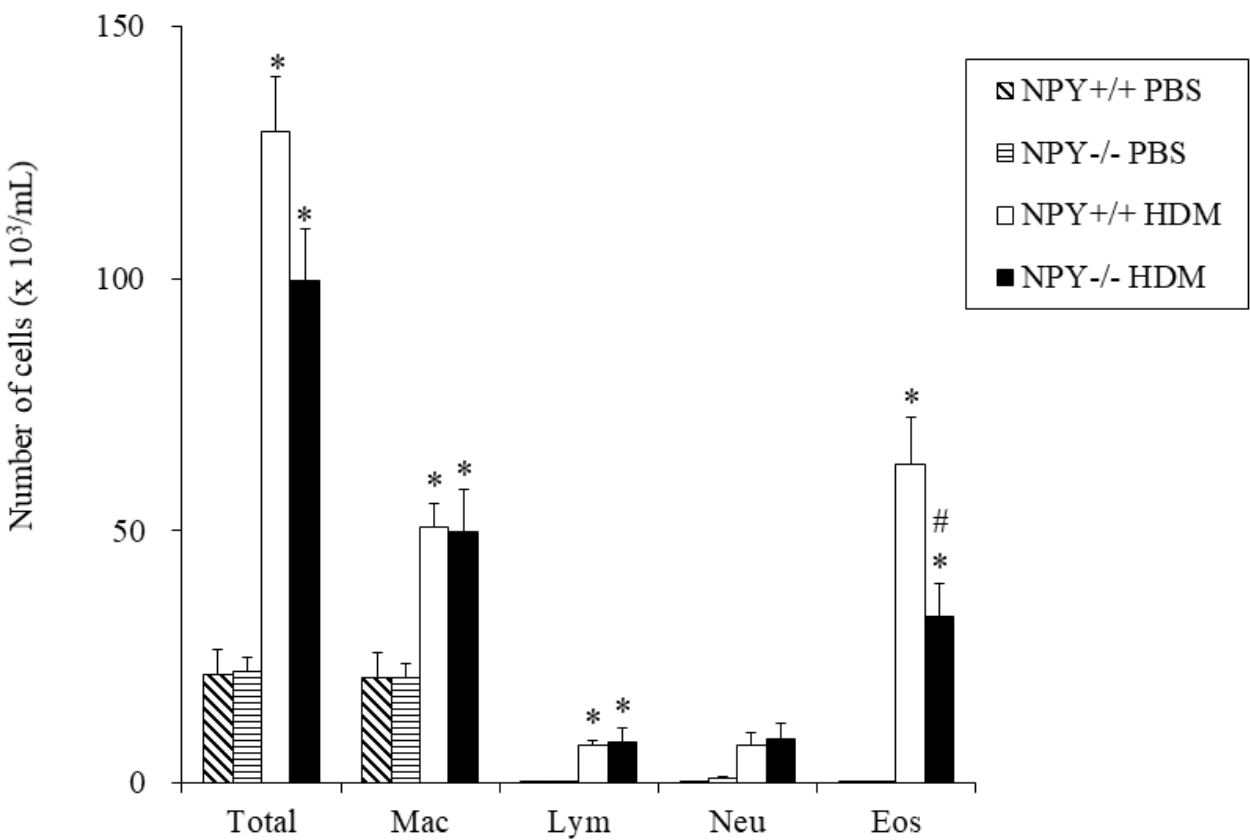


Figure 1 C

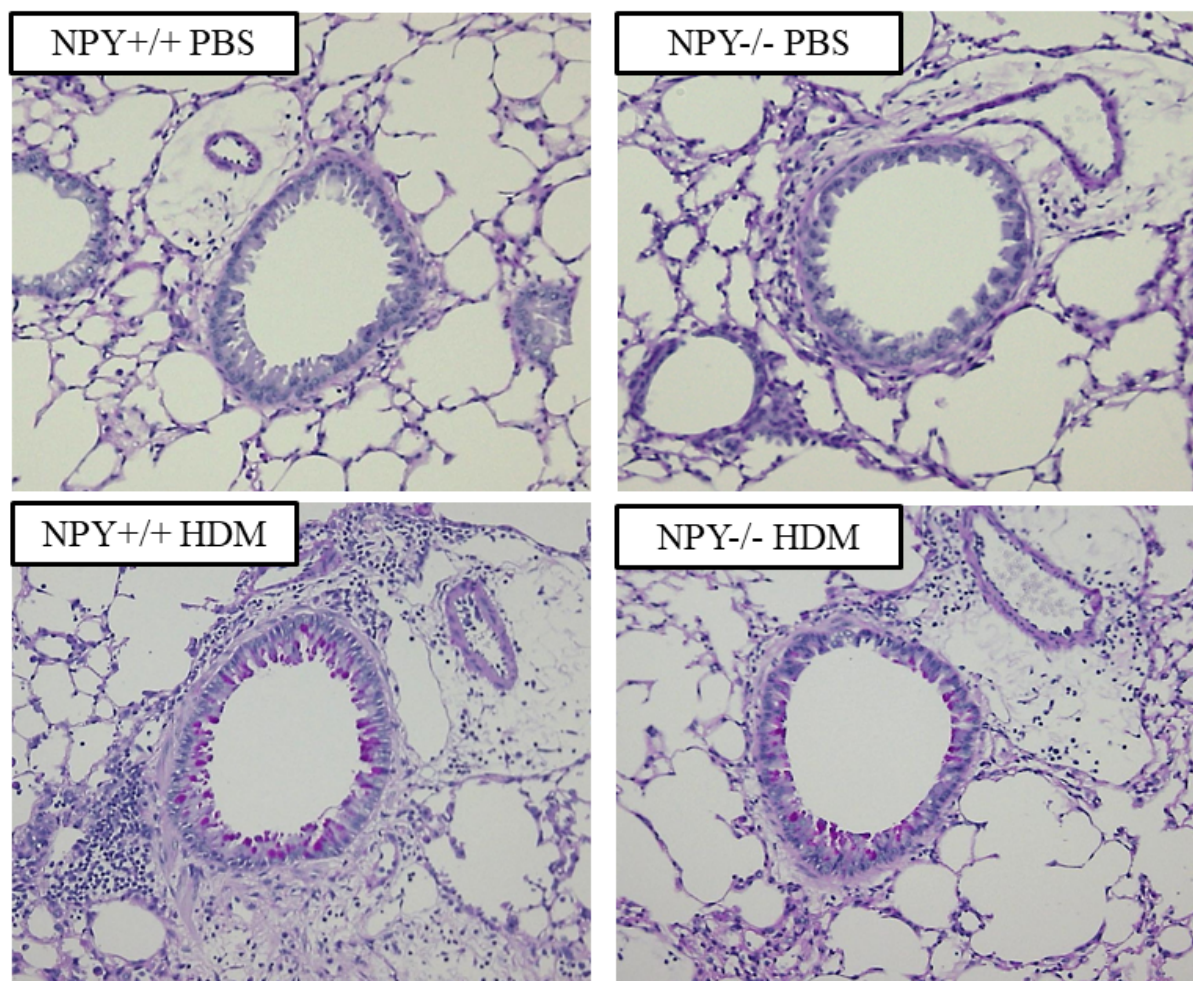


Figure 1

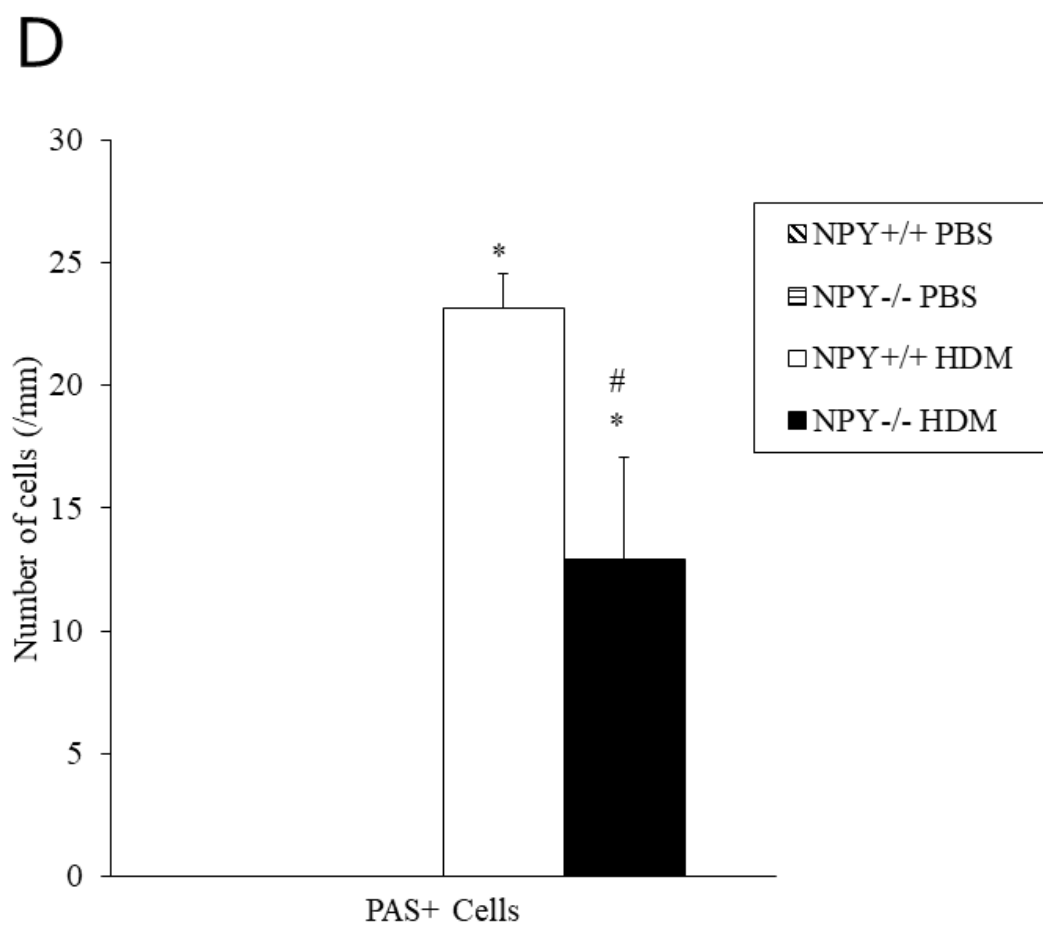


Figure 2

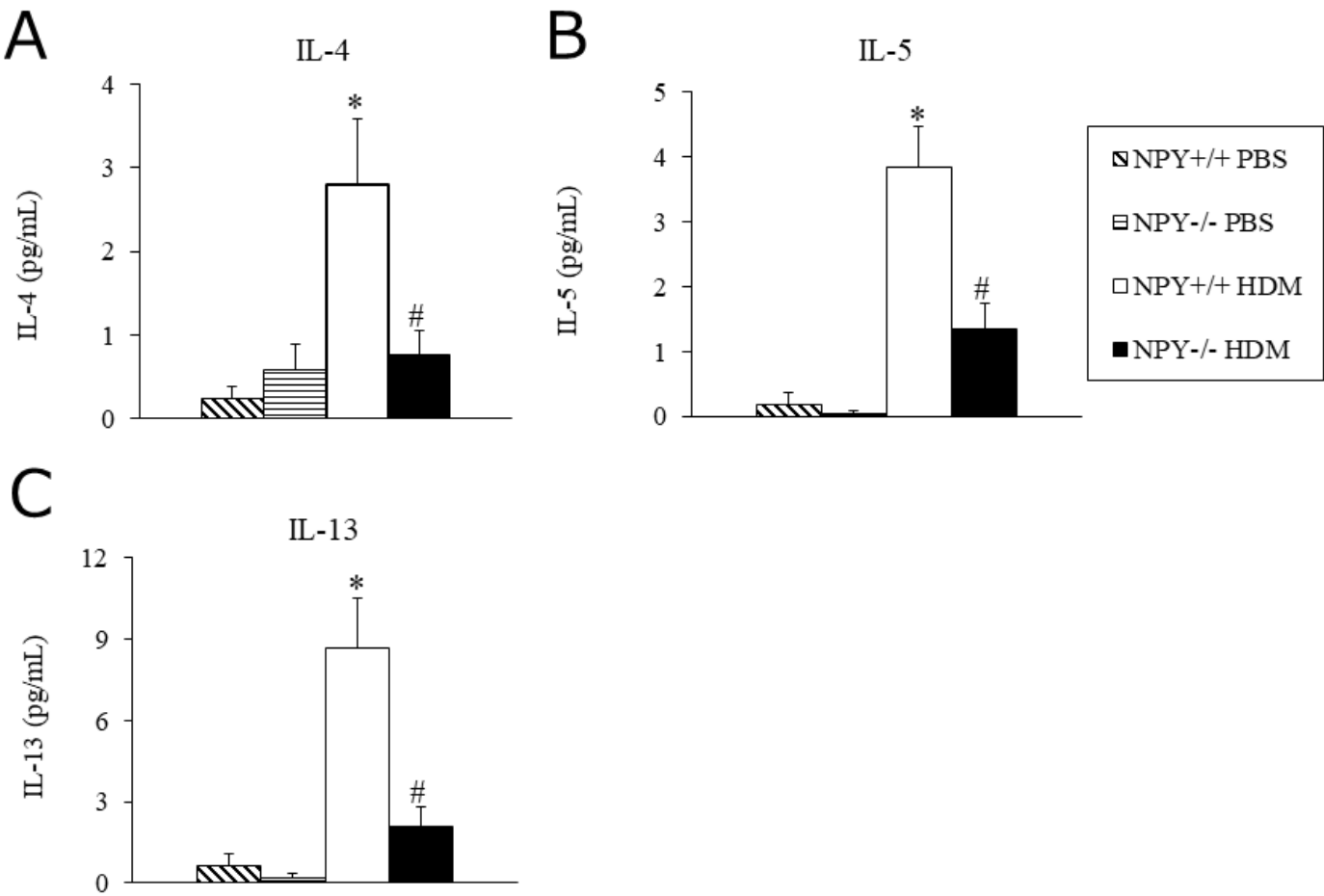


Figure 2

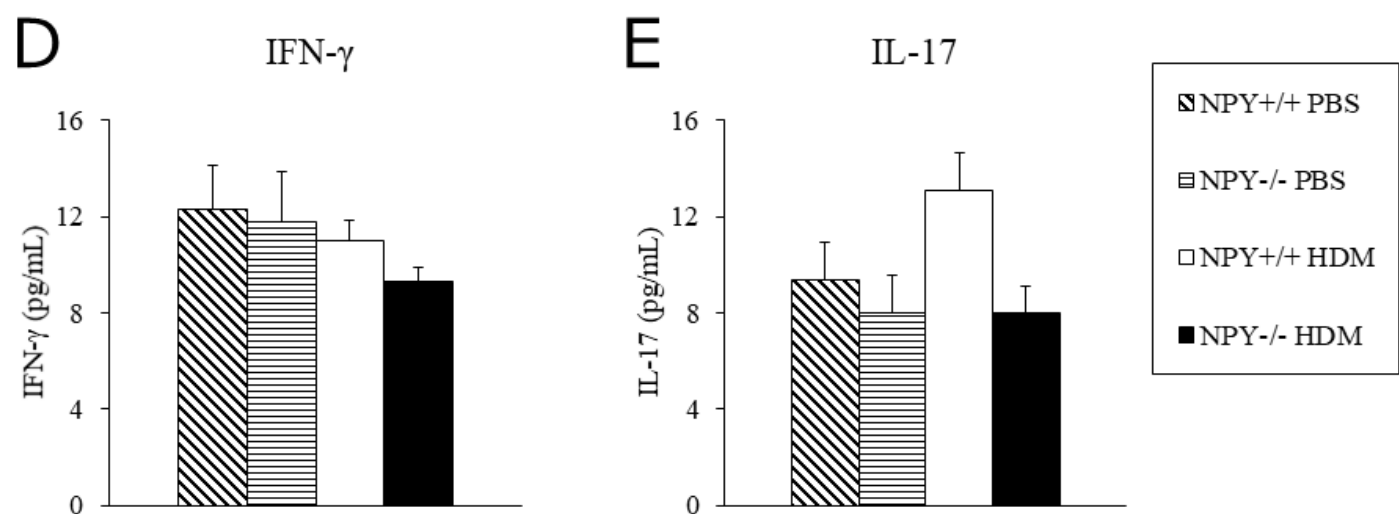




Figure 2

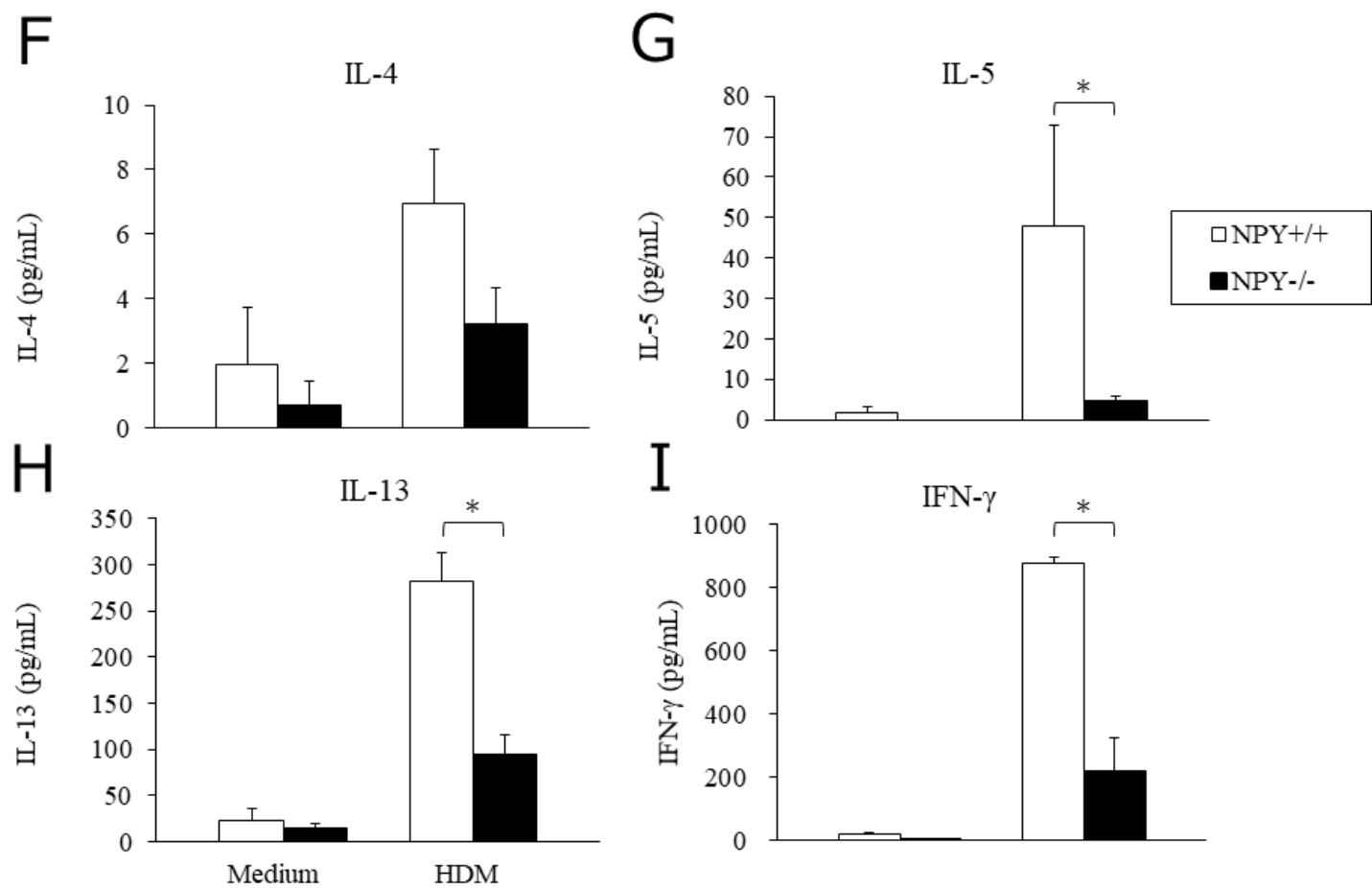


Figure 3

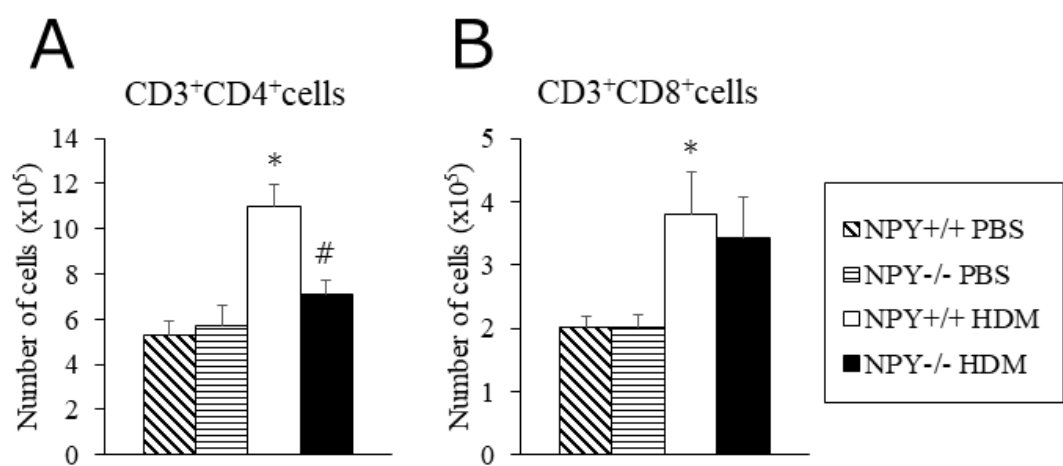


Figure 3

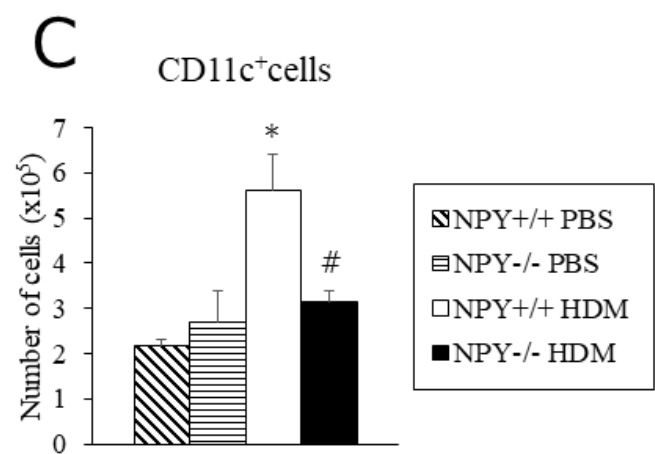


Figure 4

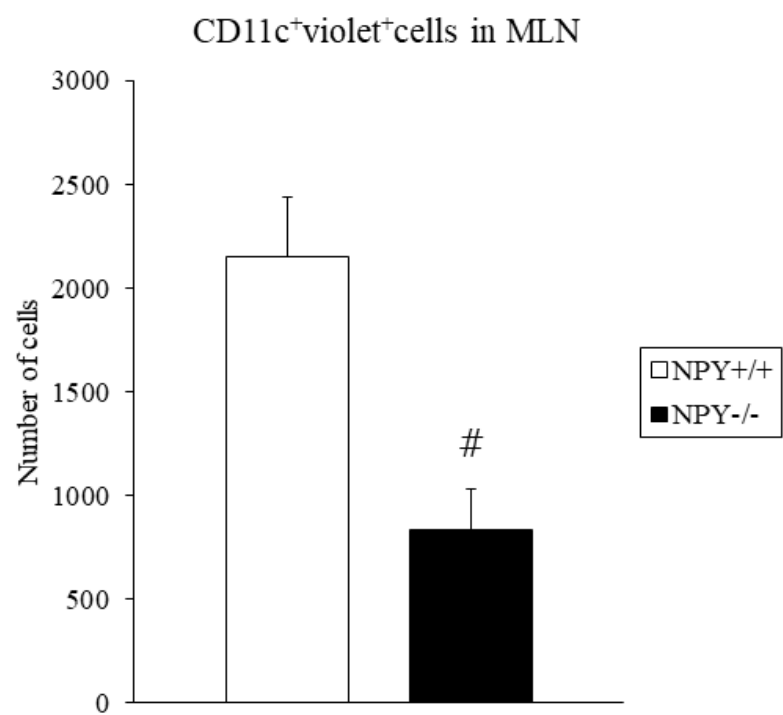


Figure 5

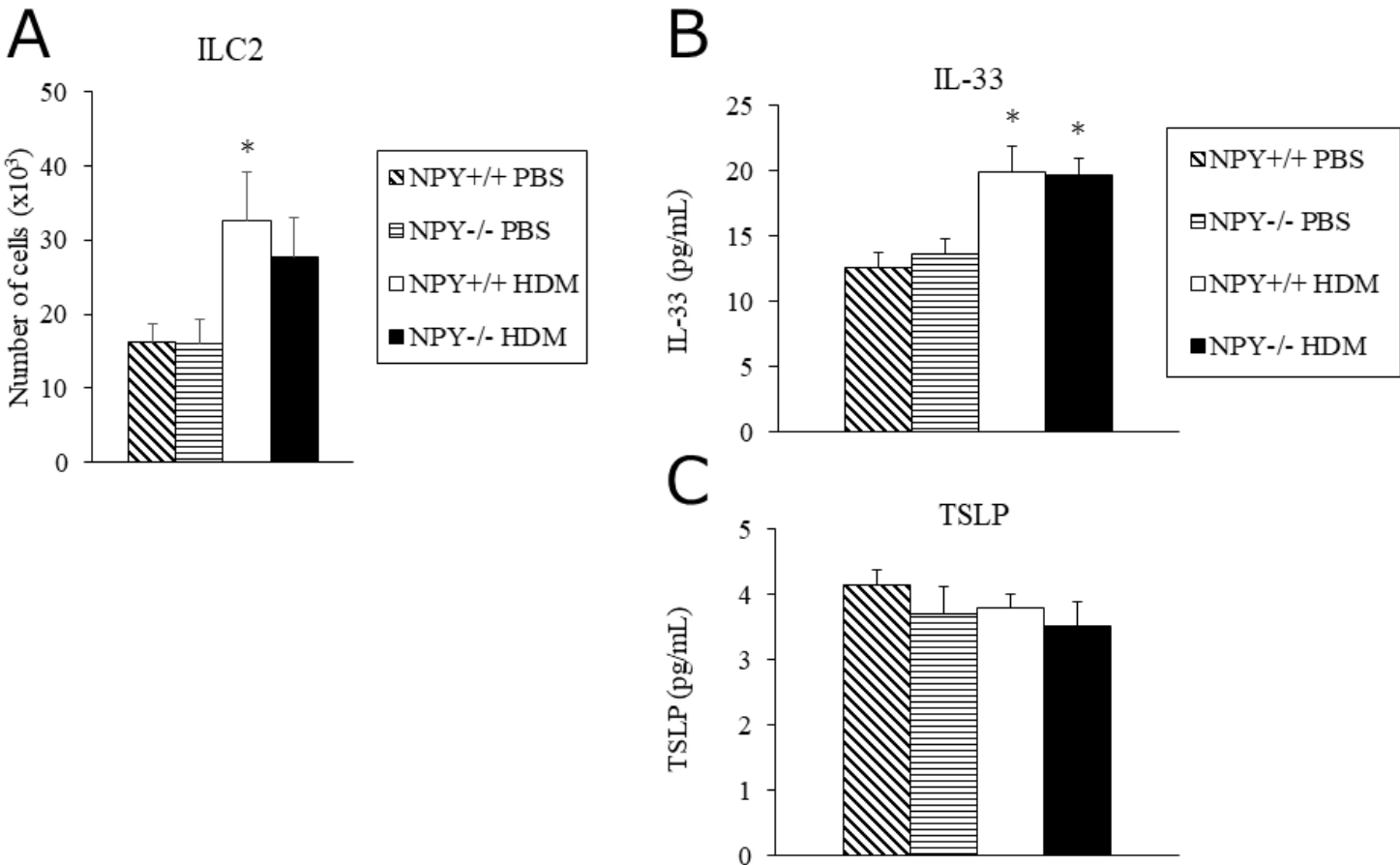


Figure 5

**D**

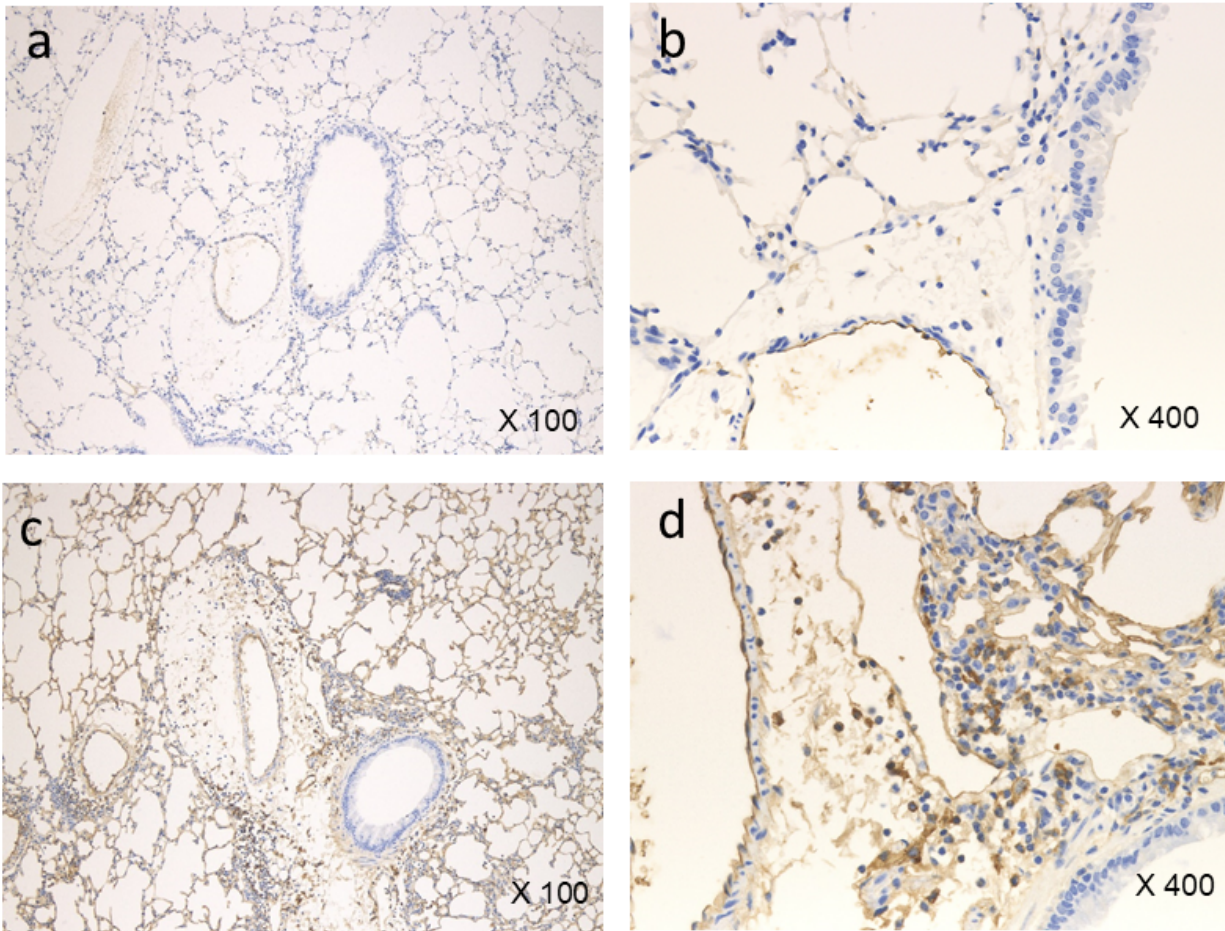


Figure 5  
D

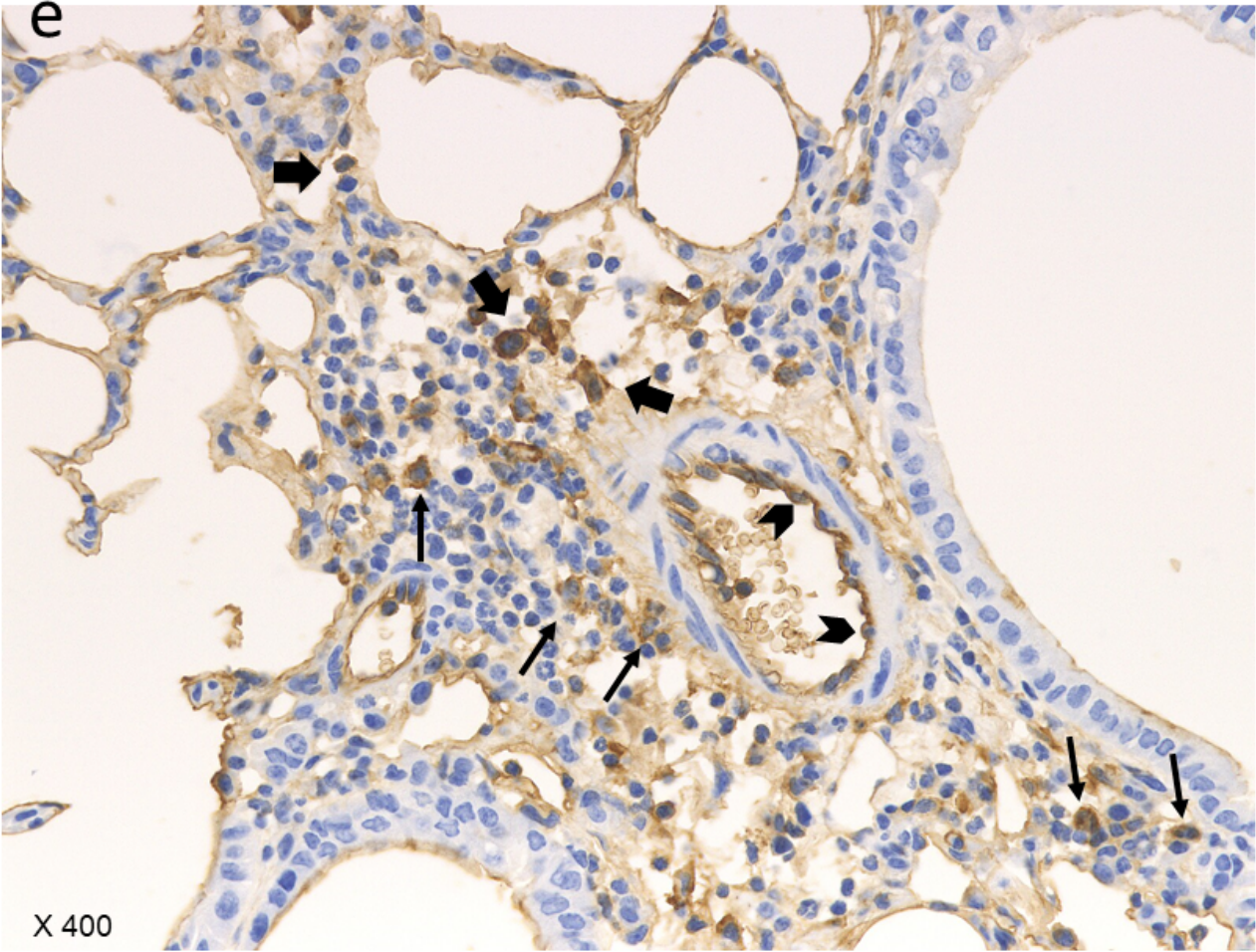


Figure 6

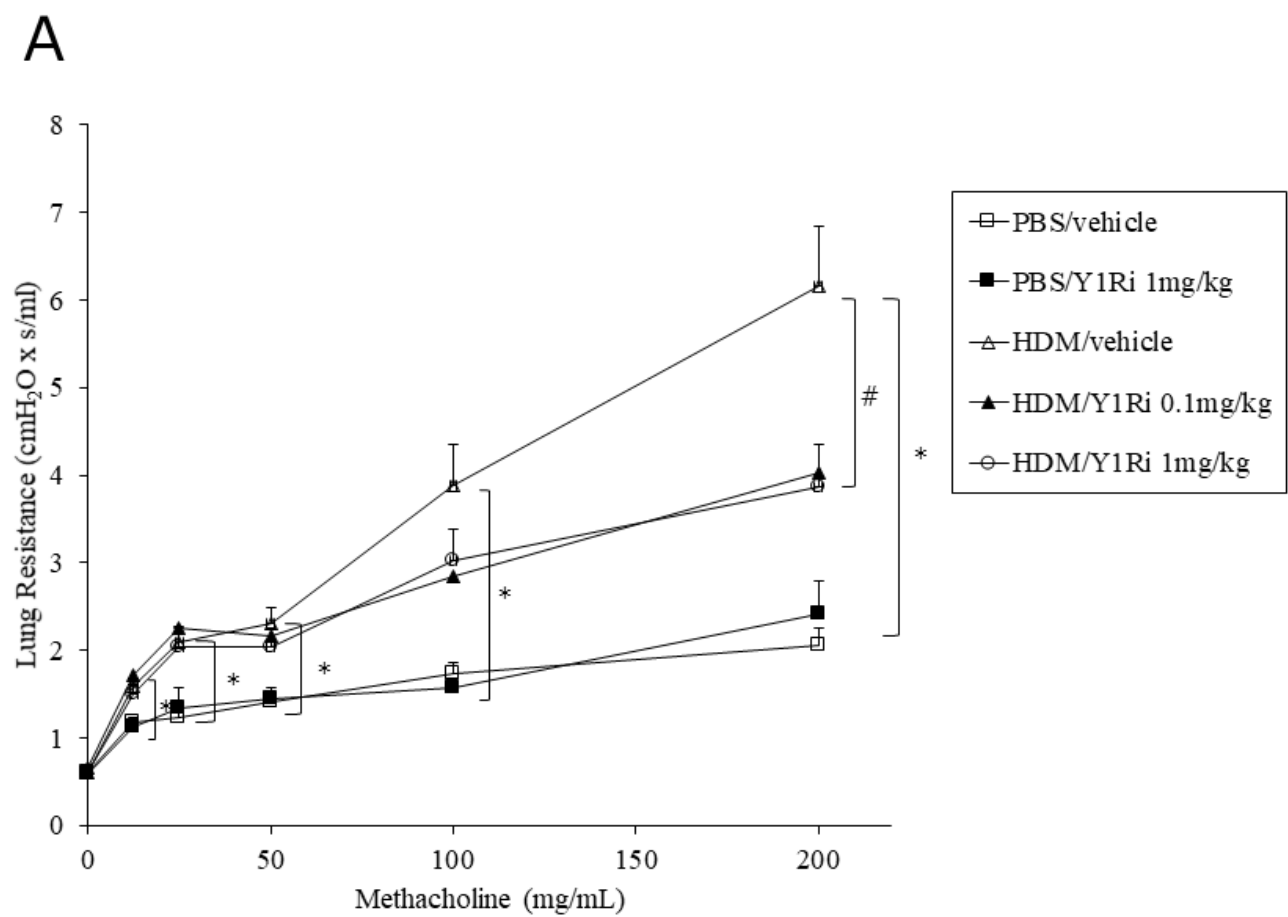




Figure 6

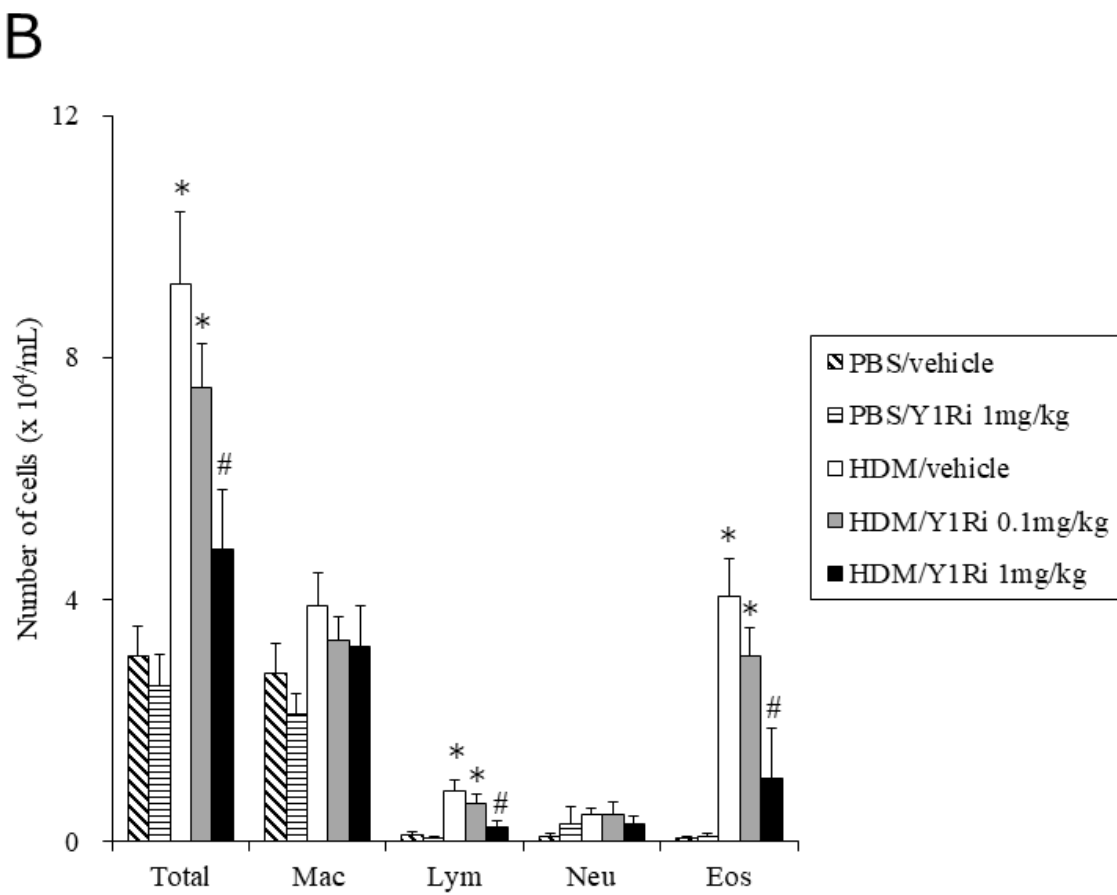


Figure 6  
C

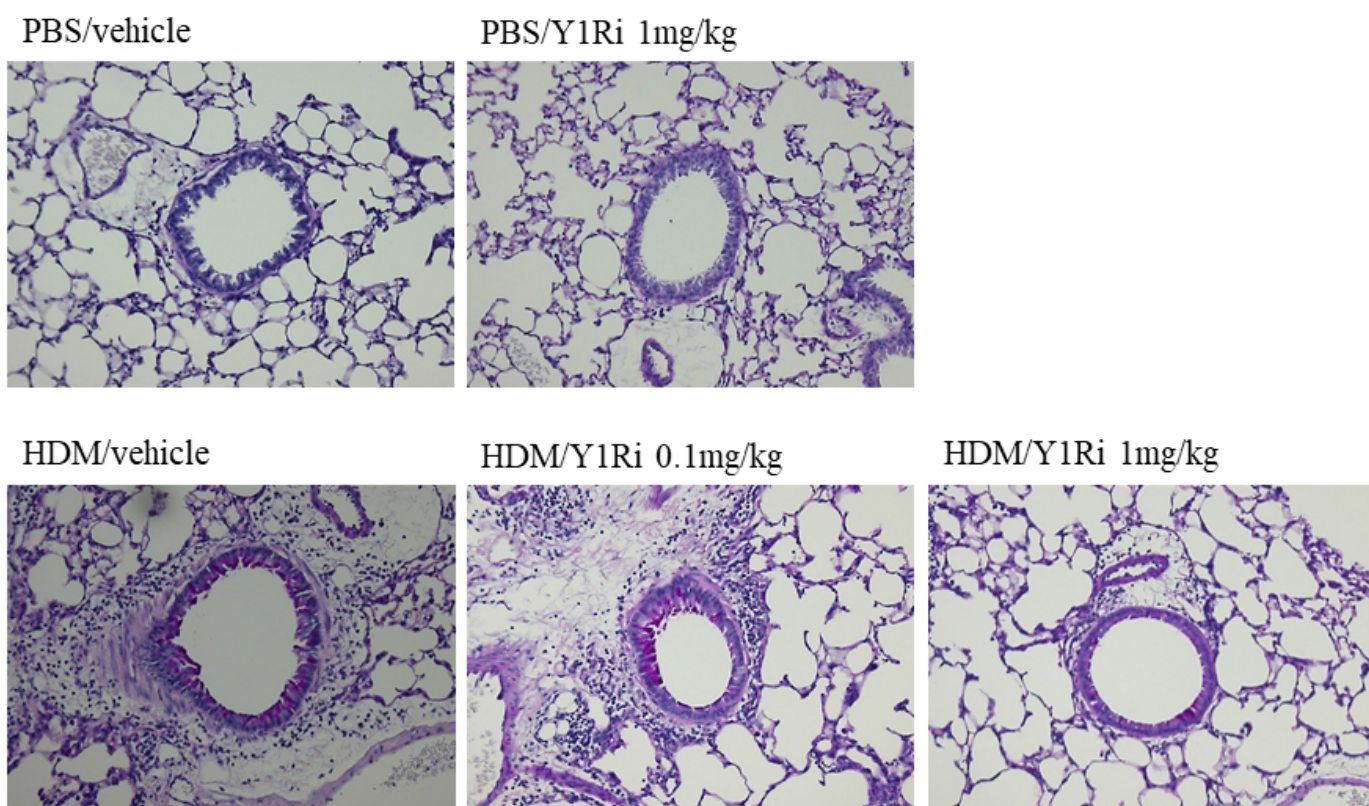


Figure 6

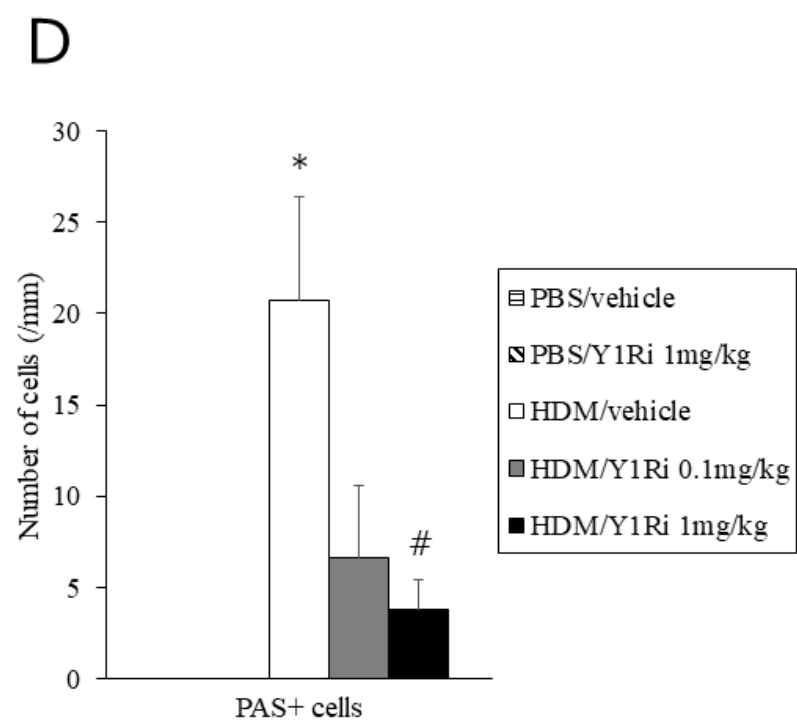


Figure 6

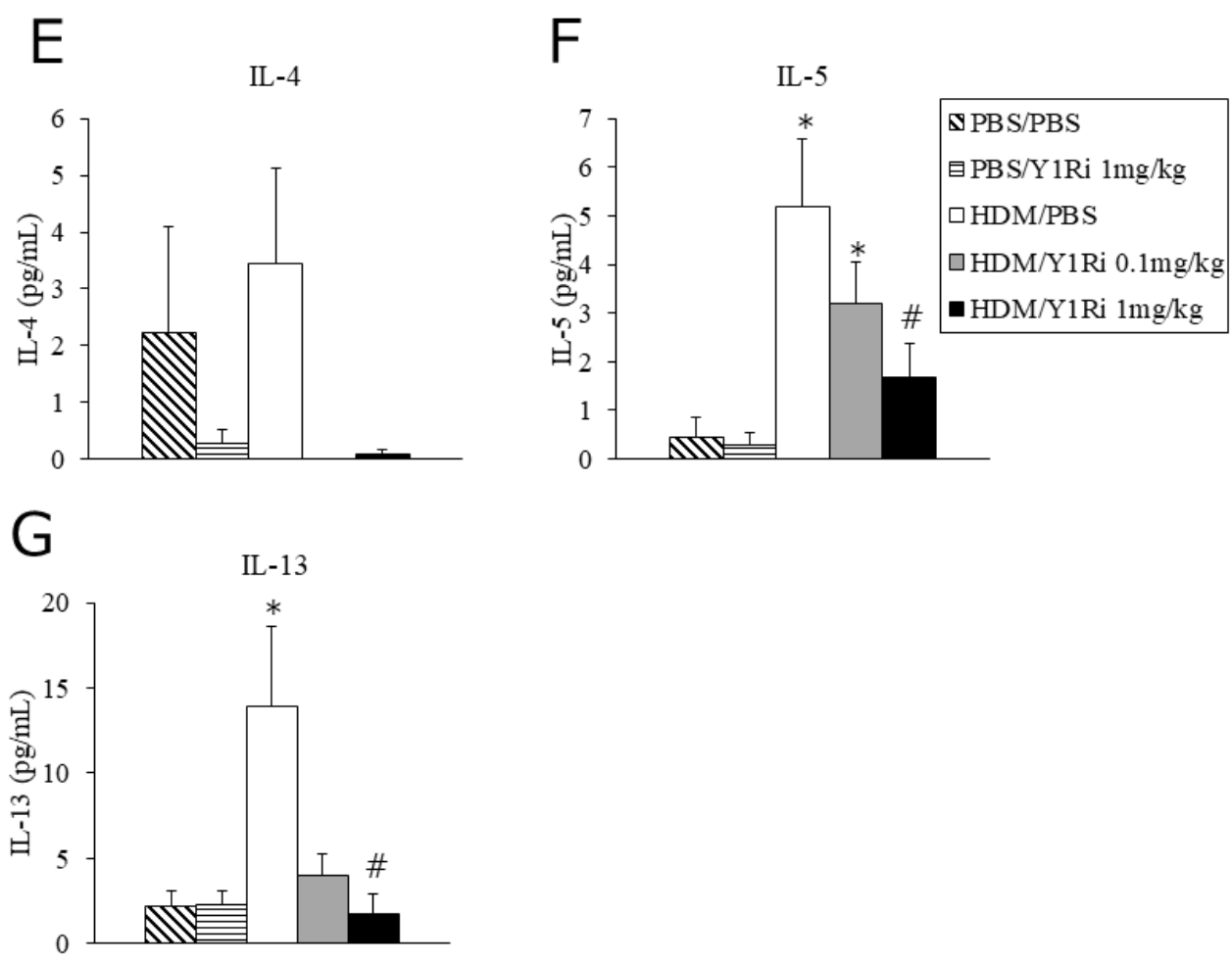


Figure 7

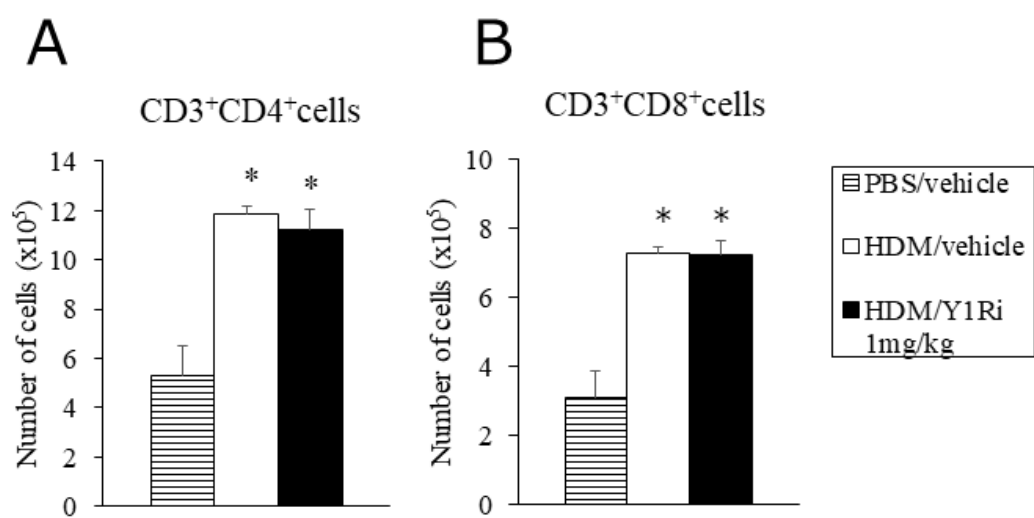


Figure 7

