

Impact of GLUT1 and Ki-67 expression on early-stage lung adenocarcinoma diagnosed according to a new international multidisciplinary classification

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Abstract. High expression levels of glucose transporter isoform 1 (GLUT1) and Ki-67 are reportedly associated with malignancy-related clinicopathological factors in malignant tumors. Recently, a new histological IASLC/ATS/ERS classification for lung adenocarcinoma was proposed. In this study, we investigated the clinicopathological impact of GLUT1 and Ki-67 expression on early-stage lung adenocarcinoma classified according to the IASLC/ATS/ERS classification. One hundred and five patients with completely resected stage IA lung adenocarcinoma were retrospectively classified into two groups, a 'non-invasive type' (n=31) or an 'invasive type' (n=74), based on the IASLC/ATS/ERS classification. GLUT1 and Ki-67 expression status was evaluated using immunohistochemistry. The epidermal growth factor receptor (*EGFR*) and *KRAS* mutation status was determined using PCR-based assays. Positive GLUT1 and Ki-67 expression and *EGFR* and *KRAS* mutations were detected in 28 (27%), 33 (31%), 51 (49%) and 5 (8%) cases, respectively. Positive GLUT1 expression was significantly associated with a wild-type *EGFR* and mutant *KRAS* status. A multivariate analysis revealed that positive GLUT1 expression was independently associated with the 'invasive type'. In multivariate analyses for overall survival (OS) and disease-free survival (DFS), positive Ki-67 and GLUT1 expression was the only independent factor for a poor OS (P=0.012) and DFS (P=0.040), respectively. In addition, when stratified according to the GLUT1 and Ki-67 status, double-positive cases had the poorest DFS and OS times, compared with the other categories. Positive GLUT1 expression is associated with the invasive character of early-

stage lung adenocarcinoma and with early disease relapse. Our results strongly suggest that GLUT1 and Ki-67 play important roles in acquiring biological malignant potential in early-stage lung adenocarcinoma.

Introduction

Lung cancer is the leading cause of cancer-related mortality worldwide (1,2). Lung adenocarcinoma is the most common type of lung cancer, and its incidence has increased in recent years (3). Surgical resection is the treatment of first choice for early-stage lung adenocarcinoma, but the 5-year overall survival (OS) rate remains at ~80% for stage IA disease (4). Understanding the histological and biological character of early-stage lung adenocarcinoma is important for improving the clinical outcome of this patient population.

Cancer cells often have higher rates of glucose metabolism than normal cells, producing lactic acid rather than catabolizing glucose via the tricarboxylic acid (TCA) cycle (5). The glucose transporter family is a collection of membrane proteins that are responsible for glucose uptake. Glucose transporter isoform 1 (GLUT1) is expressed in the brain and in erythrocytes (6). GLUT1 also maintains a basal level of glucose uptake in most cell types under hypoxic and hypoglycemic conditions. The overexpression of GLUT1 has been observed in many types of human malignancies (7,8). In non-small cell lung cancers (NSCLC), the overexpression of GLUT1 is reportedly associated with a poor prognosis (9,10). As a classical marker of proliferation, the Ki-67 protein is well known to be present in nuclei during the active phase of the cell cycle (11-13), and the overexpression of Ki-67 is a prognostic marker in many types of cancer (14,15). A high Ki-67 labeling index reportedly predicts poor prognosis in stage I NSCLCs (16-19).

Since 2004, the role of activating mutations of epidermal growth factor receptor (*EGFR*) and *KRAS* genes as characteristic somatic mutations in lung adenocarcinoma has become a topic of interest, since these mutations exhibit a mutually exclusive relationship and have clinical implications [i.e., *EGFR* mutations are associated with responsiveness to *EGFR* tyrosine kinase inhibitors (*EGFR*-TKIs)] (20-22).

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An international multidisciplinary classification for lung adenocarcinoma was recently proposed by the International Association for the Study of Lung Cancer (IASLC), the American Thoracic Society (ATS), and the European Respiratory Society (ERS) (23). This new classification (the IASLC/ATS/ERS classification) provides uniform terminology and diagnostic criteria especially for adenocarcinoma with lepidic growth which was formerly classified as bronchioloalveolar carcinoma (BAC), as the definition of BAC was unclear in the previous classification (24). The term BAC has been used for a broad spectrum of tumors including solitary small non-invasive peripheral lung tumors, invasive adenocarcinomas with minimal invasion, mixed subtype invasive adenocarcinomas, mucinous and nonmucinous subtypes of tumors and widespread advanced disease; the clinical outcome of patients with BAC has also varied. In the new classification, lung adenocarcinomas are classified into four major categories based on tumor invasiveness: i) preinvasive lesions, ii) minimally invasive adenocarcinoma (MIA), iii) invasive adenocarcinoma, and iv) variants of invasive adenocarcinoma. Invasive adenocarcinoma is the most common type among surgically resected specimens and is subdivided into five types according to semiquantitative subtype patterns, rather than using the term adenocarcinoma, mixed subtype. Notably, patients with preinvasive lesions or MIAs are expected to have a 100% or nearly 100% OS following complete resection, suggesting that this new classification may be useful for determining suitable therapeutic strategies and predicting patient outcome.

In this study, we investigated the clinicopathological impact of GLUT1 and Ki-67 expression levels on early-stage lung adenocarcinoma classified according to the IASLC/ATS/ERS classification.

Materials and methods

Patients. We reviewed patients with lung adenocarcinoma who had undergone complete resections at Okayama University Hospital between January 2004 and December 2006. A total of 133 consecutive patients with pathologic stage IA disease, according to the International Union Against Cancer's TNM classification for malignant tumors (25), underwent complete tumor resection. Among them, patients with recurrent tumor or second primary lung adenocarcinoma were excluded. As a result, 105 patients with stage IA lung adenocarcinoma were eligible for this study. The follow-up protocol after surgery was as follows: chest and abdominal computed tomography (CT) or positron emission tomography/CT scan and enhanced brain magnetic resonance imaging were repeated every six months for three years. After three years, a chest X-ray was, in principle, repeated ever year and additional examinations were performed as necessary.

Histological classification and immunohistochemistry for GLUT1 and Ki-67. Two investigators (K.I. and Y.M.) who were unaware of the clinical data independently classified all the tumors according to the IASLC/ATS/ERS classification and discussed the final diagnosis in cases with diagnostic discrepancies. The written informed consent of each patient and the permission of the Institutional Review Board were

obtained. This study was approved by the Ethics Committee of Okayama University (approval no. 478).

For the immunohistochemistry (IHC), 4- μ m sections were cut from paraffin-embedded tissue specimens on MAS-GP type A coated glass slides (S-9901; Matsunami Glass Ind., Ltd., Osaka, Japan). The slides were deparaffinized in xylene and rehydrated in a graded series of ethanol (100, 100, 90, 70 and 50%). After revealing antigens with 10 mM of sodium citrate (pH 6.0), the slides were incubated in 3% H₂O₂ for 10 min to block endogenous peroxidase. To inhibit non-specific binding, the samples were incubated in diluted normal horse serum for 30 min. After blocking, the slides were incubated with GLUT1 (Abcam, Cambridge, UK; diluted 1:200 in PBS) and Ki-67 (Novocastra, Newcastle, UK; diluted 1:2,000 in PBS) antibodies at 4°C overnight. The slides were washed in PBS for 5 min and incubated in secondary antibody for 30 min at room temperature (ImmPRESS Anti-Rabbit Ig peroxidase Polymer Detection kit; Vector Laboratories, Peterborough, UK). The slides were stained with 3,3'-diaminobenzidine (DAB Substrate kit; Vector Laboratories), and were counterstained in Mayer's hematoxylin. Tumor cells were considered positive for GLUT1 if the cell membrane staining was no less than that of the erythrocytes in the same section. GLUT1 expression was considered positive in each section if the percentage of tumor cells with positive staining was >10%, as previously reported (7,26,27). Ki-67 staining was evaluated using the labeling index (28,29). In the area with the strongest Ki-67 staining, positively stained cells were defined as having a clearly stained nucleus and the Ki-67 labeling index was considered positive when >15% of the tumor cells were stained among at least 1,000 tumor cells (30-32).

DNA extraction and mutation analyses of EGFR and KRAS genes. DNA was extracted from formalin-fixed and paraffin-embedded tissues (n=51) or frozen tissues (n=54) using the QIAamp® DNA FFPE Tissue kit (Qiagen, Hilden, Germany) or by digestion with proteinase K, followed by phenol-chloroform (1:1) extraction and ethanol precipitation, respectively. EGFR mutational status was determined using a mutant non-enriched PCR assay, as previously reported (33). KRAS mutations at codons 12 and 13 were examined using PCR-based direct sequencing using an ABI PRISM 3130xl Genetic Analyzer (Applied Biosystems, Foster City, CA, USA), as previously reported (34,35).

Statistical analysis. Differences between the two groups were assessed using χ^2 tests or Fisher's exact test, as appropriate. Multiple logistic regression analyses were used to identify independent factors and to adjust for the influence of other co-variables. The OS and disease-free survival (DFS) periods were calculated from the date of operation until the date of death or the last follow-up for the OS and until confirmed disease recurrence based on cross-sectional imaging studies or death for the DFS.

A univariate analysis of the OS and DFS was performed using the Kaplan-Meier method and the log-rank test. A multivariate analysis for OS and DFS was performed using the Cox proportional-hazards model. The stepwise procedure was used to select independent variables using a backward elimination method with P-values of 0.10 for entry and 0.15 for rejection.

Table I. Patient characteristics.

Subsets	n	%
Age		
<65	52	49.5
≥65	53	50.5
Gender		
Male	49	46.7
Female	56	53.3
Smoking status		
Never	56	53.3
Ever	49	46.7
Tumor size		
T1a (≤2 cm)	73	69.5
T1b (>2 cm)	32	30.5
GLUT1 expression		
Negative	77	73.3
Positive	28	26.7
Ki-67 expression		
Negative	72	68.6
Positive	33	31.4
EGFR mutation		
Mutant	51	48.6
Wild-type	54	51.4
KRAS mutation		
Mutant	5	4.8
Wild-type	100	95.2
IASLC/ATS/ERS classification		
Preinvasive lesion		
Adenocarcinoma <i>in situ</i>		
Nonmucinous	19	18.1
Mucinous	0	0.0
Mixed mucinous/nonmucinous	0	0.0
Minimally invasive adenocarcinoma		
Nonmucinous	12	11.4
Mucinous	0	0.0
Mixed mucinous/nonmucinous	0	0.0
Invasive adenocarcinoma		
Lepidic predominant	18	17.1
Acinar predominant	1	1.0
Papillary predominant	45	42.9
Micropapillary predominant	0	0.0
Solid predominant with mucin production	5	4.8
Variants of invasive adenocarcinoma		
Invasive mucinous adenocarcinoma	5	4.8
Colloid	0	0.0
Fetal	0	0.0
Enteric	0	0.0

GLUT1, glucose transporter isoform 1; EGFR, epidermal growth factor receptor.

All the data were analyzed using the JMP, version 9.0.0 (SAS Institute Inc., Cary, NC, USA).

Results

Patient characteristics. The patient characteristics are shown in Table I. The median age was 65 years (range, 29-83 years); 49 patients were men, and 56 were women. The smoking categories were defined as follows: never-smokers, those with a lifetime exposure of ≤100 cigarettes; and ever-smokers, those with a lifetime exposure of >100 cigarettes. Eighty-eight patients underwent a lobectomy, while a segmentectomy or wedge resection was performed for 17 tumors exhibiting a pure ground glass opacity during CT imaging. We defined these cases as curative operations since none of the 17 cases experienced disease relapse.

IASLC/ATS/ERS classification of lung adenocarcinoma. The details of the lung adenocarcinoma subtypes according to the IASLC/ATS/ERS classification are shown in Table I. Among the four categories, invasive adenocarcinoma (n=69, 65.7%) was the most frequent diagnosis in this study. Among the invasive adenocarcinomas, 63.8% would have been diagnosed as mixed subtype, according to the 2004 World Health Organization classification (24). Since adenocarcinoma *in situ* (AIS) and minimally invasive adenocarcinoma (MIA) with a diameter equal to 2 cm or less have previously been reported to have a 5-year OS or DFS rate of 100% (36,37), we defined these tumors as 'non-invasive type' (n=31) and compared them with the other 'invasive type' (n=74) adenocarcinomas, including invasive adenocarcinoma and invasive mucinous adenocarcinoma. We then performed further analyses comparing those two groups.

Molecular alterations in clinical samples. The results and representative samples of the IHC staining patterns for GLUT1 and Ki-67 are shown in Table II and Fig. 1. Positive GLUT1 and Ki-67 expressions were observed in 28 (26.7%) and 33 (31.4%) of the 105 patients, respectively. Among the 105 tumors, we detected EGFR mutations in 51 tumors (48.6%). Mutations in KRAS codons 12 or 13 were detected in 5 of the 105 tumors (8.3%).

The inter-relationships of GLUT1 and Ki-67 expression levels and EGFR and KRAS mutations were examined. Positive GLUT1 expression was significantly more common among EGFR wild-type cases (P=0.004), KRAS mutant cases (P=0.02), and tumors with positive Ki-67 expression (P=0.003) (Table II).

Relationship between molecular alterations and clinicopathological factors. The associations between the above-mentioned molecular alterations and clinical factors are shown in Table II. Positive GLUT1 expression was significantly more common among men (P<0.001), ever smokers (P=0.008), and patients with large tumors (T1b) (P=0.009). Positive Ki-67 expression was significantly more common among men (P<0.001) and ever smokers (P=0.005). Mutant EGFR was significantly more common among women (P<0.001) and never smokers (P=0.002). Mutant KRAS was not significantly associated with any clinical factor.

Table II. GLUT1 and Ki-67 expression and clinical and genetic factors.

Subsets	GLUT1 positive			Ki-67 positive			<i>EGFR</i> mutant			<i>KRAS</i> mutant		
	n	%	P-value	n	%	P-value	n	%	P-value	n	%	P-value
Age												
<65	14	26.9	1	14	26.9	0.3	23	44.2	0.8	1	1.9	0.2
≥65	14	26.4		19	35.8		28	52.8		4	7.5	
Gender												
Male	21	42.9	<0.001	24	49.0	<0.001	15	30.6	<0.001	2	4.1	0.8
Female	7	12.5		9	16.1		36	64.3		3	5.4	
Smoking status												
Never	9	16.1	0.008	11	19.6	0.005	35	62.5	0.002	2	3.6	0.4
Ever	19	38.8		22	44.9		16	32.7		3	6.1	
Tumor size												
T1a (≤2 cm)	14	19.2	0.009	21	28.8	0.4	32	43.8	0.14	3	4.1	0.2
T1b (>2 cm)	14	43.8		12	37.5		19	59.4		2	6.3	
GLUT1 expression												
Negative	-	-	-	18	23.4	0.003	44	57.1	0.004	1	1.3	0.02
Positive	-	-		15	53.6		7	25.0		4	14.3	
Ki-67 expression												
Negative	13	18.1	0.003	-	-	-	38	52.8	0.2	2	2.8	0.2
Positive	15	45.5		-	-		13	39.4		3	9.1	
<i>EGFR</i> mutation												
Mutant	7	13.7	0.004	20	37.0	0.2	-	-	-	0	0.0	0.03
Wild-type	21	38.9		13	25.5		-	-		5	9.8	
<i>KRAS</i> mutation												
Mutant	4	80.0	0.02	3	60.0	0.2	0	0.0	0.03	-	-	-
Wild-type	24	24.0		30	30.0		51	51.0		-	-	

GLUT1, glucose transporter isoform 1; *EGFR*, epidermal growth factor receptor.

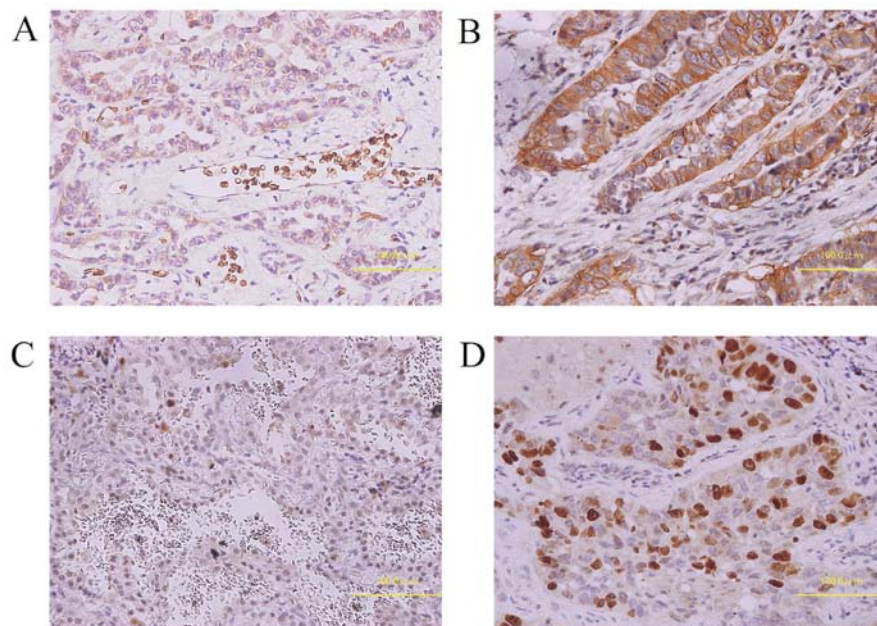


Figure 1. Representative results of immunohistochemistry for GLUT1 and Ki-67. (A) Negative staining for GLUT1. Erythrocytes were used as an internal positive control. (B) Positive staining for GLUT1. (C) Negative staining for Ki-67 (labeling index, 2.0%). (D) Positive staining for Ki-67 (labeling index, 29.2%).

Table III. Association between 'invasive type' adenocarcinoma and clinical and genetic factors.

Subsets	n	%	Univariate	Multivariate
			P-value	P-value
Age				
<65	37	71.2	0.9	
≥65	37	69.8		
Gender				
Male	37	75.5	0.3	
Female	37	66.1		
Smoking status				
Never	36	64.3	0.14	
Ever	38	77.6		
Tumor size				
T1a (≤2 cm)	46	63.0	0.01	0.06
T1b (>2 cm)	28	87.5		
GLUT1 expression				
Negative	48	62.3	0.002	0.048
Positive	26	92.9		
Ki-67 expression				
Negative	45	62.5	0.01	0.06
Positive	29	87.9		
EGFR mutation				
Mutant	39	76.5	0.19	
Wild-type	35	64.8		
KRAS mutation				
Mutant	4	80.0	1.0	
Wild-type	70	70.0		

GLUT1, glucose transporter isoform 1; EGFR, epidermal growth factor receptor.

Furthermore, we investigated the relationship between the IASLC/ATS/ERS classification and clinical and molecular factors (Table III). 'Invasive type' adenocarcinomas were more common among patients with large tumors ($P=0.01$), GLUT1 positive tumors ($P=0.002$), and Ki-67 positive tumors ($P=0.01$). In a multiple logistic regression analysis including the significant factors mentioned above, 'invasive type' adenocarcinomas were only correlated with positive GLUT1 expression [odds ratio (OR), 4.85; 95% confidence interval (CI), 1.21-32.56; $P=0.048$].

Impact of GLUT1 and Ki-67 expression on clinical outcome. As of September 2011, 4 (3.8%) of the 105 patients had succumbed and the median follow-up duration was 59.7 months. Ten (9.5%) patients had experienced disease relapse. The 5-year OS rate was 94.6% (95% CI, 86.0-98.0%). The 5-year DFS rate was 90.2% (95% CI, 81.9-94.8%). The associations between OS or DFS and clinicopathological and genetic factors are shown in Table IV. The associations between the OS and clinicopathological and genetic factors

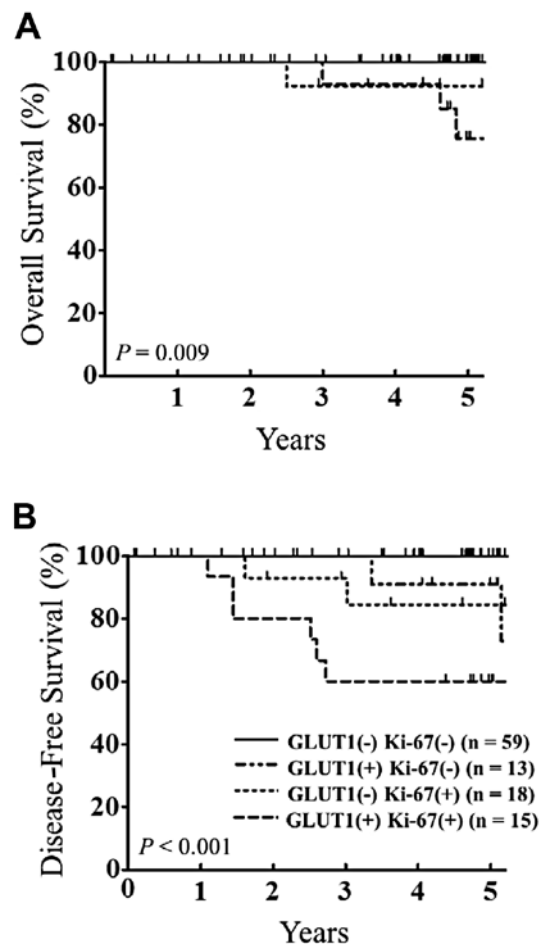


Figure 2. Kaplan-Meier plots of survival rates according to GLUT1 and Ki-67 expressions. (A) Overall survival of all patients. (B) Disease free survival of all patients. The resulting curves were compared using a log-rank test.

showed that positive Ki-67 expression was the only significant factor of a poor OS ($P=0.002$), although positive GLUT1 expression and 'invasive type' adenocarcinoma tended to be correlated with a poor OS ($P=0.063$ and $P=0.098$, respectively) according to univariate analysis. In a multivariate analysis, Ki-67 was the only independent factor associated with a poor OS [hazard ratio (HR), 2.0×10^7 ; 95% CI, $2.00-3.27 \times 10^{59}$; $P=0.012$]. All 10 tumors in patients with disease relapse were diagnosed as 'invasive type' adenocarcinomas. In univariate analyses, a male gender ($P=0.02$), an ever smoking status ($P=0.02$), an 'invasive type' classification ($P=0.005$), a positive GLUT1 expression ($P=0.0003$), and a positive Ki-67 expression ($P=0.0005$) were significantly associated with a poor DFS. In a multivariate analysis including the significant factors mentioned above, positive GLUT1 expression was the only independent predictor of a poor DFS (HR, 6.02; 95% CI, 1.25-48.22; $P=0.040$), although positive Ki-67 expression tended to correlate with a poor DFS (HR, 6.49; 95% CI, 1.15-57.53; $P=0.058$).

We also investigated the impact of the combined effect of GLUT1 and Ki-67 expression on OS and DFS. We found that patients with positive expression for both GLUT1 and Ki-67 had a poorer clinical outcome than the other patients (OS, $P=0.009$ and DFS, $P<0.0001$) (Fig. 2).

Table IV. Cox proportional hazards model for post-operative overall survival and disease-free survival.

Subsets	OS				DFS			
	Univariate		Multivariate		Univariate		Multivariate	
	HR	P-value	HR	P-value	HR	P-value	HR	P-value
Age								
<65	0.70	0.7			0.77	0.7		
≥65								
Gender								
Male	3.58	0.2			4.94	0.02	0.32	0.5
Female								
Smoking status								
Never	0.27	0.2			0.19	0.02	0.3	0.4
Ever								
Tumor size								
T1a (≤2 cm)	1.28	0.8			0.39	0.15		
T1b (>2 cm)								
IASLC/ATS/ERS classification								
‘Non-invasive type’	4.7x10 ⁻⁷	0.098	1.9x10 ⁶	0.4	4.4x10 ⁻⁷	0.005	8.4x10 ⁻⁷	0.2
‘Invasive type’								
GLUT1 expression								
Positive	7.02	0.063	1.82	0.6	11.9	0.0003	6.02	0.040
Negative								
Ki-67 expression								
Positive	1.3x10 ⁷	0.002	2.0x10 ⁷	0.012	10.7	0.0005	6.49	0.058
Negative								
EGFR mutation								
Mutant	1.11	0.9			0.91	0.9		
Wild-type								
KRAS mutation								
Mutant	2.1x10 ⁻⁶	0.5			0.40	0.4		
Wild-type								

OS, overall survival; DFS, disease-free survival; HR, hazard ratio; 95% CI, 95% confidence interval; GLUT1, glucose transporter isoform 1; EGFR, epidermal growth factor receptor.

Discussion

In this study, we investigated the expression status of GLUT1 and Ki-67 in early-stage cases of lung adenocarcinoma classified according to a new international multidisciplinary classification, the IASLC/ATS/ERS classification, and found that, i) positive GLUT1 expression was significantly associated with a wild-type *EGFR* and mutant *KRAS* status, ii) GLUT1 expression was independently associated with ‘invasive type’ adenocarcinomas, and iii) positive GLUT1 expression was correlated with positive Ki-67 expression and double positive expression was associated with a poor outcome.

We examined the *EGFR* and *KRAS* mutations, which are key somatic mutations of lung adenocarcinoma, in the patient population and analyzed the correlations between the mutation status and GLUT1 expression, Ki-67 expression, and histolog-

ical features. The frequent overexpression of GLUT1 in NSCLC has been reported in patients with wild-type *EGFR* and mutant *KRAS* (38). In the present study, we found that positive GLUT1 expression was frequently observed in lung adenocarcinomas without *EGFR* mutation and in those with *KRAS* mutation. Although the association between the GLUT1 expression level and the initiation of these mutations is unknown, low glucose environments, which promote GLUT1 expression, have been reported as a driving force underlying the development of *KRAS* mutations during colorectal tumorigenesis (39).

Both GLUT1 and Ki-67 are known to be associated with tumor invasiveness and proliferation (40). Moreover, Noguchi *et al* (41) reported that small lung adenocarcinomas (with a diameter equal to 2 cm or less) with pure or minimally invasive ‘BAC’ (Noguchi types A and B) had a 5-year DFS of 100% after complete resection as confirmed by subsequent

reports (42,43). The IASLC/ATS/ERS classification has also indicated that patients with AIS or MIA have a 100% or nearly 100% DFS. In this study, we classified AIS and MIA as 'non-invasive type' adenocarcinomas and compared them with 'invasive type' adenocarcinomas; as a result, positive GLUT1 expression was found to be the only independent factor associated with 'invasive' adenocarcinoma. High expression levels of GLUT1 have previously been reported as a significant predictor of a poor outcome in 47 cases of stage IA and IB adenocarcinoma (16). In the present study, we demonstrated that GLUT1 and Ki-67 were the most significant factors associated with a poor clinical outcome with regard to the DFS and OS, respectively. Of interest, GLUT1 and Ki-67 double-positive cases had the poorest DFS and OS time, suggesting that this population exhibited a high degree of biological malignancy. These findings also suggest that these markers may be useful for predicting the recurrence of disease after the complete resection of early-stage lung adenocarcinoma and may be useful for the selection of patients requiring adjuvant therapy.

In conclusion, positive GLUT1 expression is frequently observed in 'invasive type' early-stage lung adenocarcinoma, as classified according to the IASLC/ATS/ERS classification. Our results strongly suggest that GLUT1, together with Ki-67, plays an important role in the acquisition of biological malignant potential in early-stage lung adenocarcinoma.

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