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The identity (xy)ˆn = xˆnyˆn and commutativity of rings

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THE IDENTITY $(xy)^n = x^n y^n$ AND COMMUTATIVITY OF RINGS

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We shall give a commutativity theorem for rings with identity element. It contains some known results which have been obtained by several authors. Throughout this paper R represents a ring with 1, and N denotes the set of all positive integers.

1. Statement of Theorem. Let S be a semigroup or a ring. The subset $E(S)$ of N defined by

$$E(S) = \{n \in N \mid (xy)^n = x^n y^n \text{ for all } x, y \in S\}$$

forms a multiplicative subsemigroup of N and is called the *exponent semigroup* of S (Tamura [9]). The purpose of this paper is to prove the following

Theorem. *Let R be a ring with* 1. *If $E(R)$ contains integers $n_1, \cdots, n_r \geqq 2$ such that $(n_1(n_1-1), \cdots, n_r(n_r-1)) = 2$ and some of n_i is even, then R is commutative.*

The theorem contains the following well-known result: *If $E(R)$ contains three consecutive positive integers, R is commutative.* This was proved by Luh [7] under the additional condition that R is a primary ring. Ligh and Richoux [6] removed the condition and gave a complete and elementary proof. Our theorem contains also the following more general result: *If $E(R)$ contains m, $m+1$, n and $n+1$ such that (m, n) is either* 1 *or* 2, *then R is commutative.* In case $(m, n) = 1$, this result was proved by Bell [1, Theorem 2]. In case $(m, n) = 2$, this was first proved by Yen [10, Theorem 2] under the condition that R is primary, and Mogami [8] removed the condition (even in a localized version).

As the simplest case of the theorem we have the following: *If $2 \in E(R)$, R is commutative.* This was given by Johnsen, Outcalt and Yaqub [3]. Let us consider the case $3 \in E(R)$. Then, R is commutative, if $E(R)$ contains some n such that $n \equiv 2 \pmod 6$. Note that the commutativity of R need not follow only from the condition $3 \in E(R)$.

2. Proof of Theorem. To prove our theorem, we need the following result which follows from a more general theorem on the structure of exponent semigroups (Kobayashi [4, Theorem 3]). However, for the convenience of the reader, we shall give a direct proof of it in the last section.

Lemma 1. *Let S be a cancellative semigroup. If $E(S)$ contains integers $n_1, \cdots, n_r \geqq 2$ such that $(n_1(n_1-1), \cdots, (n_r(n_r-1)) = 2$, then S is commutative.*

Lemma 2. *Let $x, y \in R$. Then under the assumption in Theorem, $xy = 0$ implies $yx = 0$.*

Proof. Let $n \in E(R)$ and $n \geqq 2$. Assume that $xy = 0$. Then we have

$$y^n + y^n x = (y+yx)^n = y^n(1+x)^n.$$

It follows that

$$(n-1)\, y^n x = -y^n x \sum_{i=2}^{n} \binom{n}{i} x^{i-1}.$$

Using this equality $n-1$ times, we get

$$(n-1)^{n-1} y^n x = (-1)^{n-1} y^n x \Big(\sum_{i=2}^{n} \binom{n}{i} x^{i-1}\Big)^{n-1}.$$

Since $y^n x^n = (yx)^n = 0$, we obtain $(n-1)^{n-1} y^n x = 0$. By the assumption there are integers $n_1, \cdots, n_r \geqq 2$ in $E(R)$ such that $(n_1-1, \cdots, n_r-1) = 1$. Thus we get the equalities

$$(n_i-1)^{n_i-1} y^{m_1} x = 0 \quad (i=1, \cdots, r),$$

where $m_1 = \max\{n_1, \cdots, n_r\}$. It follows that $y^{m_1}x = 0$. A similar argument starting with the equation $(x+yx)^n = (1+y)^n x^n$ yields $yx^{m_1} = 0$.

On the other hand, we have

$$(1+x)^n + (1+y)^n - 1 = (1+x)^n(1+y)^n = (1+x+y)^n$$
$$= (1+x)^n + (1+y)^n - 1 + \sum_{\substack{i, j \geqq 1 \\ i+j \leqq n}} \binom{n}{i+j} y^i x^j.$$

It follows that

$$\binom{n}{2} yx = -\sum_{\substack{i, j \geqq 1 \\ n \geqq i+j \geqq 3}} \binom{n}{i+j} y^i x^j$$

Using this equality repeatedly, we obtain

$$\binom{n}{2}^{m_1+m_0-2} yx = \sum_{i+j \geqq m_1+m_0} a_{i,j} y^i x^j,$$

where $m_0 = \min\{n \mid n \in E(R),\ n \geqq 2\}$ and $a_{i,j}$ are integers. Since $y^{m_0} x^{m_0} = yx^{m_1} = y^{m_1}x = 0$, it follows that $\binom{n}{2}^{m_1+m_0-2} yx = 0$. By the assumption that there are integers $n_1, \cdots, n_r \geqq 2$ in $E(R)$ such that $(\binom{n_1}{2}, \cdots, \binom{n_r}{2}) = 1$, we conclude that $yx = 0$.

Proof of Theorem. Let us assume the condition in Theorem is satisfied. By Lemma 2 there is no distinction between left and right zero-divisors in R, and for any subset S of R, the left and the right annihilator of S coincide and form a two-sided ideal of R, which we denote by $\mathrm{Ann}(S)$. Let D be the set of all zero divisors of R (together with 0). To prove the theorem we may assume that R is subdirectly irreducible. Let H be the unique nonzero minimal ideal of R. We claim that $D = \mathrm{Ann}(H)$. Clearly $D \supset \mathrm{Ann}(H)$. Conversely, let d be any element in D. Since $\mathrm{Ann}(d)$ is a nonzero ideal of R, it contains H. This means $d \in \mathrm{Ann}(H)$, proving the claim. In particular we see that D is an ideal of R. It follows that $R \backslash D$ generates R. Since $R \backslash D$ is a cancellative semigroup by multiplication, it is commutative by Lemma 1. Therefore R is also commutative.

3. Remarks. In Theorem the existence of 1 in R is essential, because there is a non-commutative ring without 1 whose exponent semigroup contains all positive integers ([3, Example 1]).

The condition that $(n_1(n_1-1), \cdots, n_r(n_r-1)) = 2$ is also indispensable as the following example shows.

Example (c.f. Kobayashi [5, Example 4]). Let $q \geqq 2$ be an integer and $\boldsymbol{Z}_q$ the residue class ring of integers modulo q. Let N be a non-commutative algebra over $\boldsymbol{Z}_q$ such that $N^3 = 0$. We consider the ring R whose additive group is the direct sum $\boldsymbol{Z}_q \oplus N$ with multiplication given by $(a+x)\cdot(b+y) = ab+(ay+bx+xy)$ for $a, b \in \boldsymbol{Z}_q$ and $x, y \in N$. Then, R is a ring with 1 and satisfies the identity $(xy)^n = x^n y^n$ for any positive integer n such that $n(n-1)/2 \equiv 0 \pmod q$. But, R is not commutative.

The second condition that some of n_i is even can be removed when R is a primary ring. In fact, let R be a primary ring, that is, the Jacobson radical J of R is maximal, and assume that there are integers $n_1, \cdots, n_r \geqq 2$ in $E(R)$ such that $(n_1(n_1-1), \cdots, n_r(n_r-1)) = 2$. Then, R/J is commutative by Herstein [2, Theorem 1], so it is a field. It follows that R is generated by its units. Hence, R is commutative by Lemma 1.

We do not know if Theorem remains true in general after removing the second condition.

4. Proof of Lemma 1. Let S be a cancellative semigroup satisfying the condition in Lemma 1. Let ι denote the equality relation on S. For $n \in \boldsymbol{N}$ we define the relation π_n on S as follows: For $x, y \in S$, $x\pi_n y$ if $x^{n^e} = y^{n^e}$ for some $e \in \boldsymbol{N}$. S is called *n-power cancellative* if $\pi_n = \iota$. If $n \in E(S)$, it is readily seen that π_n is a congruence on S and the quotient semigroup S/π_n is an n-power cancellative, cancellative semigroup. We set $P(S) = \{n \in E(S) \mid \pi_n = \iota\}$.

We claim that if $m_1, \cdots, m_s$ are positive integers such that $(m_1, \cdots, m_s) = 1$, then $\pi_{m_1} \cap \cdots \cap \pi_{m_s} = \iota$. Let $x, y \in S$ and suppose that $x\pi_{m_i} y$ for $i = 1, \cdots, s$, that is, $x^{k_i} = y^{k_i}$ for some power k_i of m_i $(i = 1, \cdots, s)$. Since $(k_1, \cdots, k_s) = 1$, by renumbering k_i if necessary, we can find non-negative integers $l_1, \cdots, l_s$ such that $l_1k_1 + \cdots + l_tk_t = l_{t+1}k_{t+1} + \cdots + l_sk_s + 1$ $(1 \leqq t < s)$. Then we have

$$\prod_{i=1}^{t} x^{k_il_i} = \prod_{i=1}^{t} y^{k_il_i} = \Big(\prod_{i=t+1}^{s} y^{k_il_i}\Big)y = \Big(\prod_{i=t+1}^{s} x^{k_il_i}\Big)y.$$

By the cancellation law we then get $x = y$, proving the claim.

Now, we set $R(S) = \{n \in \boldsymbol{N} \mid (xy)^n = y^nx^n$ for all $x, y \in S\}$. If n $(\geqq 2)$ is in $E(S)$, then $n-1 \in R(S)$ by cancellation. So, if $2 \in E(S)$, then $1 \in R(S)$ and S is commutative. Let $n \geqq 3$ and $n \in E(S)$. Then $(n-1)^2 \geqq 4$ and $(n-1)^2 \in E(S)$. Since $(n, (n-1)^2) = 1$, we get $\pi_n \cap \pi_{(n-1)^2} = \iota$ by the claim above. Thus S is isomorphic to a subdirect product of S/π_n and $S/\pi_{(n-1)^2}$. To show the commutativity of S, it suffices to show it for S/π_n and $S/\pi_{(n-1)^2}$ which are n-power cancellative and $(n-1)^2$-power cancellative respectively. So we may assume from the first that $P(S)\setminus\{1\} \neq \varnothing$.

We claim that if m $(\geqq 2)$ is in $P(S)$, then $m-1 \in E(S)$ and x^{m-1} is in the center of S for every $x \in S$. If $m \in P(S)$, then $(m-1)^2 \in E(S)$ as above. Hence $m(m-2) = (m-1)^2 - 1 \in R(S)$. Since $m \in P(S)$, it follows that $m-2 \in R(S)$. Thus we find $m-1 \in E(S)$. So we have $x^my^m = (xy)^m = xyx^{m-1}y^{m-1}$ for any $x, y \in S$. By cancellation we obtain $x^{m-1}y = yx^{m-1}$, proving the claim.

Let m be the smallest integer in $P(S)\setminus\{1\}$. We proceed by induction on m. If $m = 2$, S is commutative. Let assume that $m \geqq 3$ and the assertion of the lemma holds for any cancellative semigroup S' for which $P(S')$ contains an integer m' such that $m > m' \geqq 2$. Let $n_1, \cdots, n_r$ be in $E(S)$ and $(n_1(n_1-1), \cdots, n_r(n_r-1)) = 2$. If $m-1$ divides n_i or n_i-1 for every $i = 1, \cdots, r$, then $m-1$ is either 1 or 2. In either case $2 \in E(S)$ and consequently S is commutative. Henceforth, assume that there is $n \in E(S)$ such that $n \not\equiv 0, 1 \pmod{m-1}$. Let $n = l(m-1)+k$, $2 \leqq k \leqq$

$m-2$. Since $m-1 \in E(S)$ and x^{m-1} and y^{m-1} are in the center for any $x, y \in S$, we have

$$x^n y^n = (xy)^{l(m-1)+k} = (x^{m-1}y^{m-1})^l (xy)^k = x^{l(m-1)}(xy)^k y^{l(m-1)}.$$

The cancellation law gives $x^k y^k = (xy)^k$, showing $k \in E(S)$. Since $m-2$, $k-1 \in R(S)$, we see that $(m-2)(k-1) = (k-2)(m-1) + (m-k) \in E(S)$. In the same way as above we find that $m-k \in E(S)$. Note that $m > m-1$, k, $m-k \geqq 2$ and $(m-1, k, m-k) = 1$. Thus by the first claim we see that $\pi_{m-1} \cap \pi_k \cap \pi_{m-k} = \iota$, that is, S is isomorphic to a subdirect product of S/π_{m-1}, S/π_k and S/π_{m-k}, which are $(m-1)$-, k- and $(m-k)$- power cancellative respectively. By the induction hypothesis they are all commutative. Consequently S is also commutative, this completes the proof.

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