

## *Preparation and Characterization of Ti<sub>2</sub>O<sub>3</sub> Films Deposited on Sapphire Substrate by Activated Reactive Evaporation Method*

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### SYNOPSIS

(001)-oriented Ti<sub>2</sub>O<sub>3</sub> films were epitaxially grown on a (001)-face of sapphire single-crystalline substrate by an activated reactive evaporation method. The formation ranges of stoichiometric and nonstoichiometric Ti<sub>2</sub>O<sub>3</sub> films were determined as a function of the substrate temperature (Ts), the oxygen pressure (Po<sub>2</sub>) and the deposition rate. Stoichiometric Ti<sub>2</sub>O<sub>3</sub> films were grown at Ts ≥ 673K under Po<sub>2</sub> ≥ 1.0 × 10<sup>-4</sup> Torr, which showed the metal-insulator transition with a sharp change in electrical resistivity from 3.5 × 10<sup>-2</sup> to 2.6 × 10<sup>-3</sup> Ωcm at 361K. Nonstoichiometric films prepared under less oxidized conditions did not exhibit the transition. The nonstoichiometry of the Ti<sub>2</sub>O<sub>3</sub> films was discussed in terms of excess Ti ions.

### 1. INTRODUCTION

The crystal structure of titanium sesquioxide (Ti<sub>2</sub>O<sub>3</sub>) can be described as a corundum-type structure. The metal-insulator transition in Ti<sub>2</sub>O<sub>3</sub> is a very unique and well-known phenomenon. The intrinsic electrical properties of this oxide causes the transition.<sup>1-3)</sup> The bulky single-crystal of Ti<sub>2</sub>O<sub>3</sub> grown by a Czochralski method exhibits the transition at 435K with a sharp decrease in electrical resistivity from 9 × 10<sup>-3</sup> to 3 × 10<sup>-4</sup> Ωcm on increasing temperature.<sup>4)</sup> Although Ti<sub>2</sub>O<sub>3</sub> thin films are expected to be useful in a practical view point such as an application to the thermistor in the electric devices, there are few investigations of preparation and physical properties of Ti<sub>2</sub>O<sub>3</sub> thin films. In general, the electrical properties of thin films are sensitive to morphological, crystallographic and chemical properties such as shape, grain size, orientation and stoichiometry of films. These properties depend upon preparation method as well as preparation conditions.

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The activated reactive vapor deposition method, in which metals evaporate to react with activated gases, is a powerful technique to prepare well-crystallized ceramic films with good properties. Through this method, YBC superconducting films with high  $J_c^{5,6}$ , ferroelectric  $BaTiO_3$  films<sup>7</sup>, and transparent Al-doped ZnO films with good conductivity<sup>8</sup> were reported.

The aim of this paper is first to clarify optimum preparation conditions for epitaxial growth of  $Ti_2O_3$  on a (001)-face of sapphire. For this purpose, the effects of the substrate temperature, oxygen pressure and deposition rate on the morphology, crystallographic properties and stoichiometry of the films were discussed. Secondly the electrical properties of the obtained films were studied with a particular attention to the metal-insulator transition.

## 2. EXPERIMENTAL

Fig.1 shows a schematic diagram of an activated reaction evaporation system (JEOL JST-EB1000) used. Ti metal with a purity of 99.9% (Furuuchi Chemical Co. Ltd.) was evaporated by heating with an electron-beam gun (JEOL JEBG-102UB), and deposited on a (001)-face of  $\alpha-Al_2O_3$ . The source-substrate distance was about 77cm. The substrate was heated by a resistance heater and the substrate temperature ( $T_s$ ) was measured with an alumel-chromel thermocouple. During the deposition, the substrate was kept at a constant temperature of 473, 673, or 873K. The film thickness and the deposition rate ( $R_d$ ) was controlled by a thickness controller with a quartz-crystal oscillator. Oxygen gas was introduced into the chamber as a reactive gas, the pressure ( $P_{O_2}$ ) of which was measured by an ionization vacuum gauge. During the deposition oxygen plasma was generated in the chamber by a rf power supply (150W) in order to increase the reactivity of introduced oxygen gas and evaporated titanium atoms. After deposition the sample films were cooled down to room temperature in a vacuum, and were not post annealed. The thickness of the obtained films were measured using a surface texture measuring instrument (SURFCOM 1500A). The deposition conditions are summarized in Table 1.

The crystallinity and phases of the deposited films were examined by X-ray diffraction (XRD) method using a conventional  $\theta$ - $2\theta$  scanning mode. The distribution of the crystallographic orientation was also examined by X-ray rocking curves taken in the following

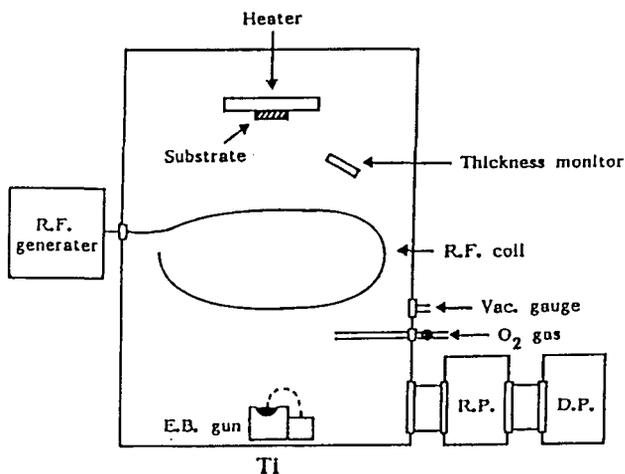


Fig.1 Schematic diagram of an activated reactive evaporation system.

way : the  $2\theta$  axis was fixed at about 39.54 deg, the angle of (006)-diffraction of  $Ti_2O_3$ , and then only the  $\theta$  axis was scanned. The surface morphology of the films was observed by SEM (JEOL JCSA-733). The electrical resistivity was measured by a dc two-probe method with gold electrodes. The measurement was performed in the temperature range between 300 and 600K in a vacuum ( $10^{-3}$ Torr). For this measurement the films with a thickness of 2000Å were used.

Table 1 Deposition conditions

Substrate	sapphire (001)-face
Substrate temperature	473~873K
Evaporating source	Ti metal (99.9%)
Reactive gas	$O_2 : (0.5\sim 3.0)\times 10^{-4}$ Torr
r.f. power	150W
Deposition rate	1.0~5.0Å/s

### 3. RESULT AND DISCUSSION

#### 3.1 Formation of $Ti_2O_3$ film

Fig.2 shows a typical XRD pattern of a  $Ti_2O_3$  film deposited on a (001)-face of sapphire ( $\alpha-Al_2O_3$ ) at  $T_s=873$ K,  $P_{O_2}=1.0\times 10^{-4}$ Torr and  $R_d=1.0\text{Å/s}$ . Only two diffraction peaks ( $2\theta=39.5$  and 85.1 deg) were observed besides the peaks of the substrate. The d-spacings corresponding to these peaks are 2.277 and 1.138Å, which are consistent with those of the (006) and (0012) planes of  $Ti_2O_3$  crystal, respectively. Both  $Ti_2O_3$  and  $\alpha-Al_2O_3$  have the similar corundum structure. The metal-insulator transition was clearly observed in this film as mentioned in the following section. It is thus reasonable to consider that the (001)-oriented  $Ti_2O_3$  film was epitaxially grown on the (001)-face of sapphire.

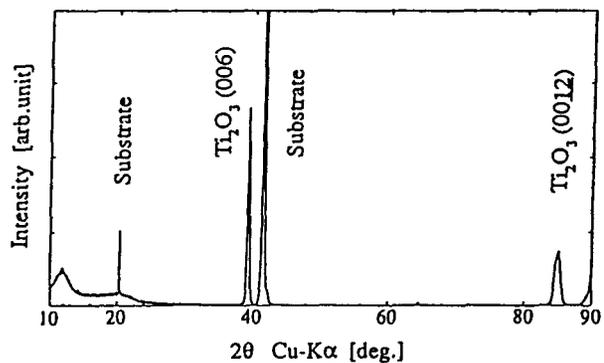


Fig.2 Typical X-ray diffraction pattern of a  $Ti_2O_3$  film deposited on a (001)-face of sapphire at  $T_s=873$ K,  $P_{O_2}=1.0\times 10^{-4}$ Torr and  $R_d=1.0\text{Å/s}$ .

Typical rocking curves of (006) diffraction peaks are shown in Fig.3 for the films prepared at different substrate temperatures of 673 and 873K. The peak widths of the films prepared at  $T_s=673$  and 873K were 1.04 and 0.75 deg, respectively. These results reveal that the films have good orientation. And with increasing the substrate temperature, the crystallinity and the orientation of the films became better. It suggests that a part of the crystallization energy of the film is supplied by the thermal energy of the substrate to make the adatoms migrated on the substrate surface.

The SEM image in Fig.4 shows that the film was homogeneous and had a flat surface. The film is also found to consist of small grains with diameters of  $<1000\text{\AA}$ . Small particles observed on the surface were the clusters of Au atoms deposited to prevent from charging up of the film.

A formation map of  $\text{Ti}_2\text{O}_3$  films are illustrated in Fig.5 as a function of  $T_s$ ,  $P_{\text{O}_2}$  and  $R_d$ . Stoichiometric  $\text{Ti}_2\text{O}_3$  was grown at  $T_s \geq 673\text{K}$  and  $P_{\text{O}_2} \geq 1.0 \times 10^{-4}\text{Torr}$ . With decreasing  $T_s$  and  $P_{\text{O}_2}$  and increasing  $R_d$ , the peak position of the (006) diffraction was shifted toward smaller angles than that of the stoichiometric film ( $2\theta=39.5$  deg), and the color of the films changed to dark blue from faint blue. It means that the oxidation degree of  $\text{Ti}_2\text{O}_3$  films depends on  $T_s$ ,  $P_{\text{O}_2}$  and  $R_d$ . Note that the nonstoichiometric  $\text{Ti}_2\text{O}_3$ , the less oxidized phase, was formed at relatively low  $P_{\text{O}_2}$  and high  $R_d$ . The substrate temperature would also influence the numbers of both the chemisorbed  $\text{O}_2$  molecules and condensed Ti atoms on the surface. Ti/O ratio of this system would increase with a decrease in  $T_s$ . The lattice parameters of nonstoichiometric  $\text{Ti}_2\text{O}_3$  films increased with increasing Ti/O ratio. Therefore, the nonstoichiometry of  $\text{Ti}_2\text{O}_3$  films probably results from the excess Ti ions not from the oxygen vacancies. Details of structural properties of nonstoichiometric  $\text{Ti}_2\text{O}_3$  films will be reported elsewhere.

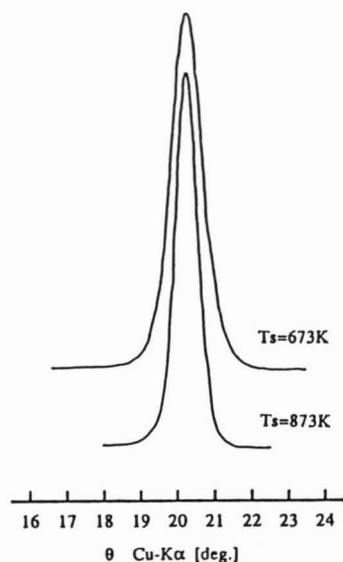


Fig.3 Rocking curves of (006) diffraction of  $\text{Ti}_2\text{O}_3$  films prepared at  $T_s=673$  and 873K.

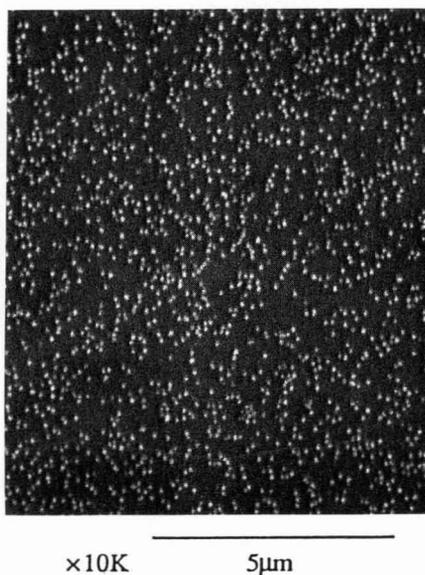


Fig.4 SEM image of a (001)-oriented  $\text{Ti}_2\text{O}_3$  film prepared at  $T_s=873\text{K}$ ,  $P_{\text{O}_2}=1.5 \times 10^{-4}\text{Torr}$  and  $R_d=1.0\text{\AA}/\text{s}$ .

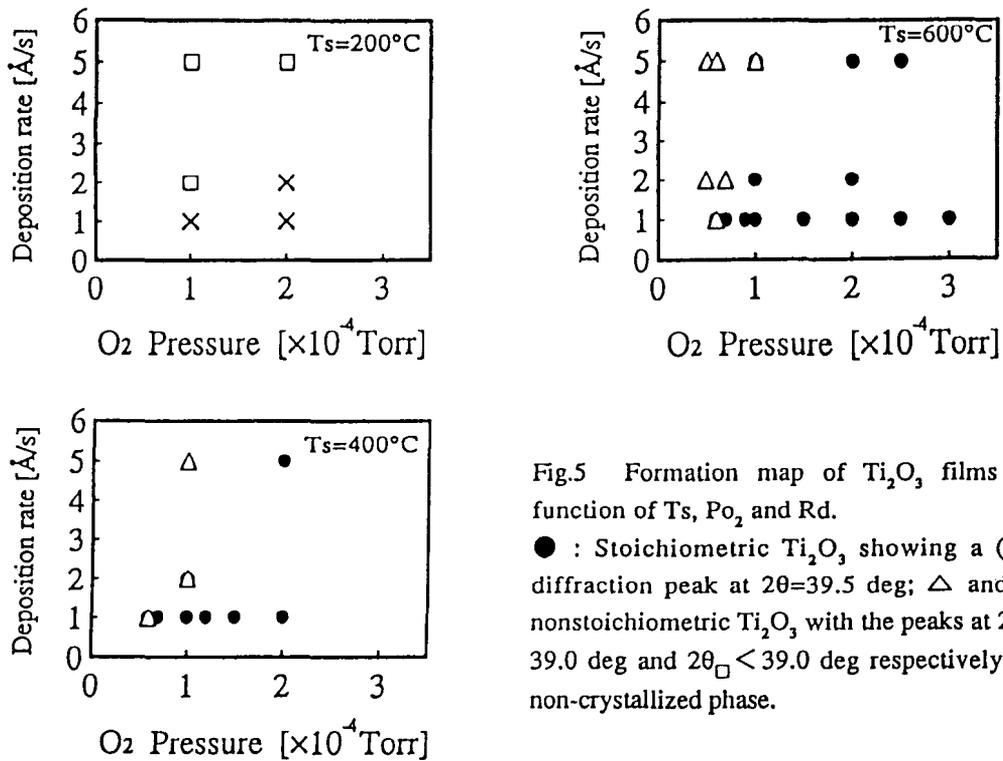


Fig.5 Formation map of  $Ti_2O_3$  films as a function of  $T_s$ ,  $P_{O_2}$  and  $R_d$ .

● : Stoichiometric  $Ti_2O_3$  showing a (006)-diffraction peak at  $2\theta = 39.5$  deg;  $\triangle$  and  $\square$  : nonstoichiometric  $Ti_2O_3$  with the peaks at  $2\theta_{\triangle} \cong 39.0$  deg and  $2\theta_{\square} < 39.0$  deg respectively;  $\times$  : non-crystallized phase.

### 3.2 Electrical properties

Fig.6 shows the electrical resistivity of  $Ti_2O_3$  films as a function of  $P_{O_2}$  at 673 and 873K. All films were prepared at  $R_d = 1.0 \text{\AA}/s$  and the resistivity was measured at room temperature. It was clearly indicated that the resistivity was decreased with decreasing  $P_{O_2}$  and  $T_s$ . Stoichiometric  $Ti_2O_3$  is a n-type semiconductor. Nonstoichiometric films prepared at low  $P_{O_2}$  and low  $T_s$  contained a number of structural defects caused by the excess titanium ions. The excess cations would supply the electrons into the conduction band. Thus the resistivity of nonstoichiometric  $Ti_2O_3$  films was smaller than that of stoichiometric ones.

Fig.7 shows the temperature dependence of the resistivity of stoichiometric  $Ti_2O_3$  films prepared

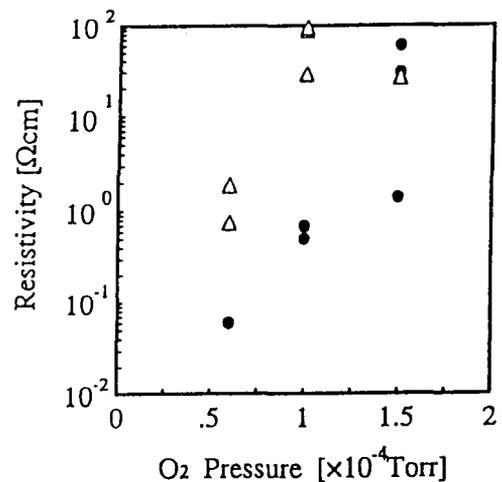


Fig.6 Electrical resistivity of  $Ti_2O_3$  films prepared at  $R_d = 1.0 \text{\AA}/s$  as a function of  $P_{O_2}$  at  $T_s = 673\text{K}$  (●) and  $873\text{K}$  ( $\triangle$ ).

at  $T_s=673\text{K}$ ,  $P_{O_2}=0.6\times 10^{-4}\text{Torr}$  and  $R_d=1.0\text{\AA}/\text{s}$ . A change in resistivity due to the metal-insulator transition was clearly detected. The transition commenced at  $361\text{K}$  and the resistivity was dropped from  $3.5\times 10^{-2}$  to  $2.6\times 10^{-3}\Omega\text{cm}$  on increasing temperature. But the transition temperature was about  $80\text{K}$  lower than that of the bulk crystal. The difference in the temperature and the magnitude of the transition may be due to the morphological and chemical properties such as grain size, orientation, stoichiometry and lattice strain. It is noteworthy that the films prepared under less oxidized conditions did not show the transition.

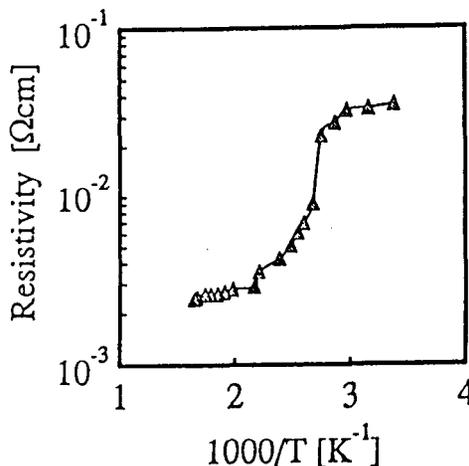


Fig.7 Variation of electrical resistivity with temperature in a stoichiometric  $\text{Ti}_2\text{O}_3$  film prepared at  $T_s=673\text{K}$ ,  $P_{O_2}=0.6\times 10^{-4}\text{Torr}$  and  $R_d=1.0\text{\AA}/\text{s}$ .

#### 4. CONCLUSIONS

Stoichiometric and nonstoichiometric  $\text{Ti}_2\text{O}_3$  films have been prepared on a (001)-face of sapphire single crystalline substrate by an activated reactive evaporation method. The stoichiometric and (001)-oriented  $\text{Ti}_2\text{O}_3$  films were epitaxially grown at  $T_s \geq 673\text{K}$  and  $P_{O_2} \geq 1.0 \times 10^{-4}\text{Torr}$ . The films with the smooth and homogeneous surface consisted of small grains with diameters of  $<1000\text{\AA}$ . The stoichiometric films exhibited the metal-insulator transition at about  $360\text{K}$ . The resistivity was sharply dropped by about one order of magnitude. But the nonstoichiometric films formed under the less oxidized conditions did not show the transition. The lattice spacing of  $\text{Ti}_2\text{O}_3$  films increased with increasing nonstoichiometry. This tendency was interpreted by the excess Ti ions in the lattice of  $\text{Ti}_2\text{O}_3$  films.

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